

Appendix B

**Air Quality and Greenhouse Gas Analysis Technical Data**

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## **Construction Assumptions and Calculations**

### **Criteria Pollutants and Greenhouse Gases**

Mass criteria pollutant and greenhouse gas (GHG) emissions from heavy-duty equipment, on-road vehicle trips, and land disturbance were estimated using the California Emissions Estimator Model (CalEEMod) (version 2013.2.2) and the California Air Resources Board (ARB) EMFAC2011 model. Vehicle and equipment assumptions were provided by the JPB per the preliminary construction schedule (Cocke pers. comm.). Horsepower and load factors were based on CalEEMod default data for equipment types similar to those expected for Proposed Project construction. Re-entrained road dust from construction vehicle operation in the project area was calculated using PM emission factors obtained from the United States Environmental Protection Agency (2011).

### **Toxic Air Contaminants**

Exposure to construction-related diesel particulate matter (DPM) was assessed by predicting the health risks in terms of excess cancer, non-cancer hazard impacts, and elevated PM2.5 concentrations. A screening-level health risk assessment (HRA) was performed according to the following steps.

1. Evaluation of increased DPM cancer risk and the DPM non-cancer hazard impact based on the mass emissions of PM10 and PM2.5 exhaust estimated with CalEEMod.
2. Using EPA's AERSCREEN model, which is the screening-level model for AERMOD, prediction of PM10 and PM2.5 hourly concentrations at sensitive land uses based on the maximum daily exhaust emissions for each construction period.
3. Calculation of the project-level cancer risk, non-cancer hazard index (HI), and annual PM2.5 concentrations for each Proposed Project phase based on the AERSCREEN hourly concentrations and the construction durations using Bay Area Air Quality Management District (BAAQMD)-approved methodology.
4. Identification of background stationary sources within 1,000 feet of Caltrain corridor using Google Earth map files provided by BAAQMD. The Google Earth map files include estimated risk and hazard impacts at nearby receptors from these sources (BAAQMD 2011).
5. Calculation of the cumulative health risks by adding the background health risk sources identified in step 4 to the project-level health risk and hazard impacts estimated in step 3.

### **Carbon Stock (Tree Removal)**

The *Draft Tree Inventory and Canopy Assessment* (HortScience 2014, see Appendix F) identifies approximately 2,200 trees that would need to be removed as a result of Proposed Project construction. Removal of these trees would result in a one-time loss of stored carbon within the tree. Carbon stock values for the impacted trees were obtained from the U.S. Forest Service's (2011) Tree Carbon Calculator (CTCC) for each tree species. An average diameter breast height (DBH) of 16 inches was assumed for all trees, based on the tree inventory. Lost carbon stock was calculated by multiplying the TCC values by the number of removed trees.

**2015 Offroad Assumptions**

2015	Equipment	#	Hrs/ Day	Days	HP	LF	g/hp-hr (CalEEMod)								
							ROG	NOX	CO	PM10	PM2.5	SO2	CO2	CH4	N2O
<b>Utilities</b>															
<u>Advance Utility Relocation</u>															
Off-Highway Truck	1	3	270	400	0.38		0.38	4.53	2.04	0.17	0.16	0.00	515.84	0.15	0.01
Excavator	1	3	90	163	0.38		0.38	4.48	3.17	0.22	0.20	0.00	511.69	0.15	0.01
Tractor/Loader/Backhoe	1	3	90	98	0.37		0.57	5.42	3.83	0.42	0.39	0.00	517.37	0.15	0.01
Concrete Industrial Saws	1	2	90	81	0.42		0.68	4.79	3.65	0.37	0.37	0.01	568.30	0.06	0.01
Generator Sets	1	4	90	84	0.42		0.65	4.77	3.50	0.35	0.35	0.01	568.30	0.06	0.01
Bore/Drill Rigs	1	3	90	206	0.5		0.21	3.32	1.18	0.10	0.09	0.00	506.50	0.15	0.01
<b>Traction Power Substation Installation</b>															
<u>Advance Site Preparation - Traction Power Sites</u>															
Off-Highway Trucks	0	0	0	400	0.38		0.38	4.53	2.04	0.17	0.16	0.00	515.84	0.15	0.01
Chainsaws (gas)	0	0	0	1	0.5		132.54	2.93	355.82	0.91	0.91	0.04	884.65	8.24	0.03
Trimmers/Edgers/Brush Cutters (gas)	0	0	0	1	0.5		21.45	8.45	402.11	0.54	0.54	0.03	858.88	1.21	0.03
Chippers/Stump Grinders (gas)	0	0	0	18	0.78		13.99	8.05	566.73	6.98	6.98	0.02	858.88	0.78	0.03
<u>Traction Power Equipment Foundation Construction</u>															
Off-Highway Truck	0	0	0	400	0.38		0.38	4.53	2.04	0.17	0.16	0.00	515.84	0.15	0.01
Tractor/Loader/Backhoe	0	0	0	98	0.37		0.57	5.42	3.83	0.42	0.39	0.00	517.37	0.15	0.01
Rubber Tired Loaders	0	0	0	200	0.36		0.41	5.37	1.48	0.18	0.17	0.00	508.91	0.15	0.01
Pumps	0	0	0	84	0.42		0.68	4.84	3.55	0.36	0.36	0.01	568.30	0.06	0.01
Vibratory Plate	0	0	0	8	0.42		0.66	4.14	3.47	0.16	0.16	0.01	568.30	0.06	0.01
Concrete Mixers	0	0	0	9	0.42		0.66	4.17	3.47	0.17	0.17	0.01	568.30	0.06	0.01
Crane	0	0	0	226	0.29		0.64	7.62	2.65	0.35	0.32	0.00	512.45	0.15	0.01
<u>Traction Power Equipment Installation</u>															
Off-Highway Truck	0	0	0	400	0.38		0.38	4.53	2.04	0.17	0.16	0.00	515.84	0.15	0.01
Crane	0	0	0	226	0.29		0.64	7.62	2.65	0.35	0.32	0.00	512.45	0.15	0.01
Tractor/Loader/Backhoe	0	0	0	98	0.37		0.57	5.42	3.83	0.42	0.39	0.00	517.37	0.15	0.01
<u>Traction Power Equipment Testing</u>															
Generator Set	0	0	0	84	0.42		0.65	4.77	3.50	0.35	0.35	0.01	568.30	0.06	0.01
<b>Overhead Contact System (OCS)</b>															
<u>OCS Pole Foundation Construction - Crew #1</u>															
Off-Highway Truck	0	0	0	400	0.38		0.38	4.53	2.04	0.17	0.16	0.00	515.84	0.15	0.01
Bore/Drill Rigs	0	0	0	206	0.5		0.21	3.32	1.18	0.10	0.09	0.00	506.50	0.15	0.01
Rubber Tired Loaders	0	0	0	200	0.36		0.41	5.37	1.48	0.18	0.17	0.00	508.91	0.15	0.01
Generators	0	0	0	84	0.42		0.65	4.77	3.50	0.35	0.35	0.01	568.30	0.06	0.01
Pumps	0	0	0	84	0.42		0.68	4.84	3.55	0.36	0.36	0.01	568.30	0.06	0.01
Concrete Mixers	0	0	0	9	0.42		0.66	4.17	3.47	0.17	0.17	0.01	568.30	0.06	0.01
Crane	0	0	0	226	0.29		0.64	7.62	2.65	0.35	0.32	0.00	512.45	0.15	0.01
<u>OCS Pole Foundation Construction - Crew #2</u>															
Off-Highway Truck	0	0	0	400	0.38		0.38	4.53	2.04	0.17	0.16	0.00	515.84	0.15	0.01

Bore/Drill Rigs	0	0	0	206	0.5	0.21	3.32	1.18	0.10	0.09	0.00	506.50	0.15	0.01
Rubber Tired Loaders	0	0	0	200	0.36	0.41	5.37	1.48	0.18	0.17	0.00	508.91	0.15	0.01
Generators	0	0	0	84	0.42	0.65	4.77	3.50	0.35	0.35	0.01	568.30	0.06	0.01
Pumps	0	0	0	84	0.42	0.68	4.84	3.55	0.36	0.36	0.01	568.30	0.06	0.01
Concrete Mixers	0	0	0	9	0.42	0.66	4.17	3.47	0.17	0.17	0.01	568.30	0.06	0.01
Crane	0	0	0	226	0.29	0.64	7.62	2.65	0.35	0.32	0.00	512.45	0.15	0.01
<b>OCS Pole &amp; Cantilever Installation - Crew #1</b>														
Off-Highway Truck	0	0	0	400	0.38	0.38	4.53	2.04	0.17	0.16	0.00	515.84	0.15	0.01
Crane	0	0	0	226	0.29	0.64	7.62	2.65	0.35	0.32	0.00	512.45	0.15	0.01
Generator	0	0	0	84	0.42	0.65	4.77	3.50	0.35	0.35	0.01	568.30	0.06	0.01
Backhoe	0	0	0	98	0.37	0.57	5.42	3.83	0.42	0.39	0.00	517.37	0.15	0.01
<b>OCS Pole &amp; Cantilever Installation - Crew #2</b>														
Off-Highway Truck	0	0	0	400	0.38	0.38	4.53	2.04	0.17	0.16	0.00	515.84	0.15	0.01
Crane	0	0	0	226	0.29	0.64	7.62	2.65	0.35	0.32	0.00	512.45	0.15	0.01
Generator	0	0	0	84	0.42	0.65	4.77	3.50	0.35	0.35	0.01	568.30	0.06	0.01
Backhoe	0	0	0	98	0.37	0.57	5.42	3.83	0.42	0.39	0.00	517.37	0.15	0.01
<b>OCS Wire Installation &amp; Testing</b>														
On-Track Wire Installation Equipment	0	0	0	172	0.42	0.56	6.23	3.38	0.33	0.30	0.00	509.31	0.15	0.01
<b>Signal &amp; Grade Crossings</b>														
<b>Signaling Equipment Installation at CPs &amp; Houses</b>														
Off-Highway Truck	0	0	0	400	0.38	0.38	4.53	2.04	0.17	0.16	0.00	515.84	0.15	0.01
Generators	0	0	0	84	0.42	0.65	4.77	3.50	0.35	0.35	0.01	568.30	0.06	0.01
<b>Grade Crossing Modifications</b>														
Off-Highway Truck	0	0	0	400	0.38	0.38	4.53	2.04	0.17	0.16	0.00	515.84	0.15	0.01
Backhoe	0	0	0	98	0.37	0.57	5.42	3.83	0.42	0.39	0.00	517.37	0.15	0.01
Generators	0	0	0	84	0.42	0.65	4.77	3.50	0.35	0.35	0.01	568.30	0.06	0.01
<b>Communications</b>														
<b>Communication System Installation (incl. SCADA)</b>														
Off-Highway Truck	0	0	0	400	0.38	0.38	4.53	2.04	0.17	0.16	0.00	515.84	0.15	0.01
Backhoe	0	0	0	98	0.37	0.57	5.42	3.83	0.42	0.39	0.00	517.37	0.15	0.01
Generators	0	0	0	84	0.42	0.65	4.77	3.50	0.35	0.35	0.01	568.30	0.06	0.01
<b>Integration / Commissioning</b>														
<b>Integration Testing &amp; Commissioning</b>														
Generators	0	0	0	84	0.42	0.65	4.77	3.50	0.35	0.35	0.01	568.30	0.06	0.01

**2015 Onroad Assumptions**

2015	Vehicle Type	Trips/ Day	VMT/ day	VMT/ year	Days	grams per mile (EMFAC)													
						ROG	NOX	CO	PM10	PM2.5	SO2	CO2	CH4	N2O	Other				
<b>Utilities</b>																			
<u>Advance Utility Relocation</u>																			
Employee Vehicle		15	186	50,220	270	0.04	0.15	1.45	0.00	0.00	0.00	344.50	-	-	17.22				
Flatbed		0	0	0	0	0.28	9.02	1.31	0.11	0.10	0.00	1,784.42	0.10	0.05	0.00				
Dump		1	50	13,500	270	0.28	9.02	1.31	0.11	0.10	0.00	1,784.42	0.10	0.05	0.00				
<b>Traction Power Substation Installation</b>																			
<u>Advance Site Preparation - Traction Power Sites</u>																			
Employee Vehicle		0	0	0	0	0.04	0.15	1.45	0.00	0.00	0.00	344.50	-	-	17.22				
Flatbed		0	0	0	0	0.28	9.02	1.31	0.11	0.10	0.00	1,784.42	0.10	0.05	0.00				
Dump		0	0	0	0	0.28	9.02	1.31	0.11	0.10	0.00	1,784.42	0.10	0.05	0.00				
<u>Traction Power Equipment Foundation Construction</u>																			
Employee Vehicle		0	0	0	0	0.04	0.15	1.45	0.00	0.00	0.00	344.50	-	-	17.22				
Flatbed		0	0	0	0	0.28	9.02	1.31	0.11	0.10	0.00	1,784.42	0.10	0.05	0.00				
Dump		0	0	0	0	0.28	9.02	1.31	0.11	0.10	0.00	1,784.42	0.10	0.05	0.00				
<u>Traction Power Equipment Installation</u>																			
Employee Vehicle		0	0	0	0	0.04	0.15	1.45	0.00	0.00	0.00	344.50	-	-	17.22				
Flatbed		0	0	0	0	0.28	9.02	1.31	0.11	0.10	0.00	1,784.42	0.10	0.05	0.00				
Dump		0	0	0	0	0.28	9.02	1.31	0.11	0.10	0.00	1,784.42	0.10	0.05	0.00				
<u>Traction Power Equipment Testing</u>																			
Employee Vehicle		0	0	0	0	0.04	0.15	1.45	0.00	0.00	0.00	344.50	-	-	17.22				
Flatbed		0	0	0	0	0.28	9.02	1.31	0.11	0.10	0.00	1,784.42	0.10	0.05	0.00				
Dump		0	0	0	0	0.28	9.02	1.31	0.11	0.10	0.00	1,784.42	0.10	0.05	0.00				
<b>Overhead Contact System (OCS)</b>																			
<u>OCS Pole Foundation Construction - Crew #1</u>																			
Employee Vehicle		0	0	0	0	0.04	0.15	1.45	0.00	0.00	0.00	344.50	-	-	17.22				
Flatbed		0	0	0	0	0.28	9.02	1.31	0.11	0.10	0.00	1,784.42	0.10	0.05	0.00				
Dump		0	0	0	0	0.28	9.02	1.31	0.11	0.10	0.00	1,784.42	0.10	0.05	0.00				
<u>OCS Pole Foundation Construction - Crew #2</u>																			
Employee Vehicle		0	0	0	0	0.04	0.15	1.45	0.00	0.00	0.00	344.50	-	-	17.22				
Flatbed		0	0	0	0	0.28	9.02	1.31	0.11	0.10	0.00	1,784.42	0.10	0.05	0.00				
Dump		0	0	0	0	0.28	9.02	1.31	0.11	0.10	0.00	1,784.42	0.10	0.05	0.00				
<u>OCS Pole &amp; Cantilever Installation - Crew #1</u>																			
Employee Vehicle		0	0	0	0	0.04	0.15	1.45	0.00	0.00	0.00	344.50	-	-	17.22				
Flatbed		0	0	0	0	0.28	9.02	1.31	0.11	0.10	0.00	1,784.42	0.10	0.05	0.00				
Dump		0	0	0	0	0.28	9.02	1.31	0.11	0.10	0.00	1,784.42	0.10	0.05	0.00				
<u>OCS Pole &amp; Cantilever Installation - Crew #2</u>																			
Employee Vehicle		0	0	0	0	0.04	0.15	1.45	0.00	0.00	0.00	344.50	-	-	17.22				
Flatbed		0	0	0	0	0.28	9.02	1.31	0.11	0.10	0.00	1,784.42	0.10	0.05	0.00				
Dump		0	0	0	0	0.28	9.02	1.31	0.11	0.10	0.00	1,784.42	0.10	0.05	0.00				

<u>OCS Wire Installation &amp; Testing</u>	Employee Vehicle	0	0	0	0	0.04	0.15	1.45	0.00	0.00	0.00	344.50	-	-	17.22
	Flatbed	0	0	0	0	0.28	9.02	1.31	0.11	0.10	0.00	1,784.42	0.10	0.05	0.00
	Dump	0	0	0	0	0.28	9.02	1.31	0.11	0.10	0.00	1,784.42	0.10	0.05	0.00
<b>Signal &amp; Grade Crossings</b>															
<u>Signaling Equipment Installation at CPs &amp; Houses</u>															
	Employee Vehicle	0	0	0	0	0.04	0.15	1.45	0.00	0.00	0.00	344.50	-	-	17.22
	Flatbed	0	0	0	0	0.28	9.02	1.31	0.11	0.10	0.00	1,784.42	0.10	0.05	0.00
	Dump	0	0	0	0	0.28	9.02	1.31	0.11	0.10	0.00	1,784.42	0.10	0.05	0.00
<u>Grade Crossing Modifications</u>															
	Employee Vehicle	0	0	0	0	0.04	0.15	1.45	0.00	0.00	0.00	344.50	-	-	17.22
	Flatbed	0	0	0	0	0.28	9.02	1.31	0.11	0.10	0.00	1,784.42	0.10	0.05	0.00
	Dump	0	0	0	0	0.28	9.02	1.31	0.11	0.10	0.00	1,784.42	0.10	0.05	0.00
<b>Communications</b>															
<u>Communication System Installation (incl. SCADA)</u>															
	Employee Vehicle	0	0	0	0	0.04	0.15	1.45	0.00	0.00	0.00	344.50	-	-	17.22
	Flatbed	0	0	0	0	0.28	9.02	1.31	0.11	0.10	0.00	1,784.42	0.10	0.05	0.00
	Dump	0	0	0	0	0.28	9.02	1.31	0.11	0.10	0.00	1,784.42	0.10	0.05	0.00
<b>Integration / Commissioning</b>															
<u>Integration Testing &amp; Commissioning</u>															
	Employee Vehicle	0	0	0	0	0.04	0.15	1.45	0.00	0.00	0.00	344.50	-	-	17.22
	Flatbed	0	0	0	0	0.28	9.02	1.31	0.11	0.10	0.00	1,784.42	0.10	0.05	0.00
	Dump	0	0	0	0	0.28	9.02	1.31	0.11	0.10	0.00	1,784.42	0.10	0.05	0.00

**2016 Offroad Assumptions**

2016	Equipment	# Day	Hrs/ Day	Days	HP	LF	g/hp-hr (CalEEMod)								
							ROG	NOX	CO	PM10	PM2.5	SO2	CO2	CH4	N2O
<b>Utilities</b>															
Advance Utility Relocation															
Off-Highway Truck	1	3	90	400	0.4		0.35	4.05	1.89	0.15	0.14	0.00	509.86	0.15	0.01
Excavator	1	3	90	163	0.4		0.36	4.08	3.16	0.20	0.18	0.00	506.50	0.15	0.01
Tractor/Loader/Backhoe	1	3	90	98	0.4		0.54	5.14	3.81	0.40	0.36	0.00	511.35	0.15	0.01
Concrete Industrial Saws	1	2	90	81	0.4		0.62	4.43	3.62	0.33	0.33	0.01	568.30	0.06	0.01
Generator Sets	1	4	90	84	0.4		0.58	4.41	3.47	0.31	0.31	0.01	568.30	0.05	0.01
Bore/Drill Rigs	0	0	0	206	0.5		0.19	2.90	1.13	0.09	0.08	0.00	502.13	0.15	0.01
<b>Traction Power Substation Installation</b>															
Advance Site Preparation - Traction Power Sites															
Off-Highway Trucks	1	3	180	400	0.4		0.35	4.05	1.89	0.15	0.14	0.00	509.86	0.15	0.01
Chainsaws (gas)	1	2	90	1	0.5		129.47	2.92	350.34	0.84	0.84	0.04	884.65	8.05	0.03
Trimmers/Edgers/Brush Cutters (gas)	1	2	90	1	0.5		20.98	8.49	396.35	0.50	0.50	0.03	858.88	1.18	0.03
Chippers/Stump Grinders (gas)	1	2	90	18	0.8		13.82	8.10	563.50	7.02	7.02	0.02	858.88	0.77	0.03
Traction Power Equipment Foundation Construction															
Off-Highway Truck	1	3	180	400	0.4		0.35	4.05	1.89	0.15	0.14	0.00	509.86	0.15	0.01
Tractor/Loader/Backhoe	1	3	180	98	0.4		0.54	5.14	3.81	0.40	0.36	0.00	511.35	0.15	0.01
Rubber Tired Loaders	1	3	180	200	0.4		0.39-1	5.12	1.45	0.17	0.16	0.00	503.65	0.15	0.01
Pumps	0	0	0	84	0.4		0.61	4.48	3.52	0.33	0.33	0.01	568.30	0.06	0.01
Vibratory Plate	0	0	0	8	0.4		0.66	4.14	3.47	0.16	0.16	0.01	568.30	0.06	0.01
Concrete Mixers	0	0	0	9	0.4		0.66	4.15	3.47	0.17	0.17	0.01	568.30	0.06	0.01
Crane	0	0	0	226	0.3		0.62	7.38	2.58	0.33	0.31	0.00	507.16	0.15	0.01
Traction Power Equipment Installation															
Off-Highway Truck	0	0	0	400	0.4		0.35	4.05	1.89	0.15	0.14	0.00	509.86	0.15	0.01
Crane	0	0	0	226	0.3		0.62	7.38	2.58	0.33	0.31	0.00	507.16	0.15	0.01
Tractor/Loader/Backhoe	0	0	0	98	0.4		0.54	5.14	3.81	0.40	0.36	0.00	511.35	0.15	0.01
Traction Power Equipment Testing															
Generator Set	0	0	0	84	0.4		0.58	4.41	3.47	0.31	0.31	0.01	568.30	0.05	0.01
<b>Overhead Contact System (OCS)</b>															
OCS Pole Foundation Construction - Crew #1															
Off-Highway Truck	1	2	90	400	0.4		0.35	4.05	1.89	0.15	0.14	0.00	509.86	0.15	0.01
Bore/Drill Rigs	1	3	90	206	0.5		0.19	2.90	1.13	0.09	0.08	0.00	502.13	0.15	0.01
Rubber Tired Loaders	1	4	90	200	0.4		0.39	5.12	1.45	0.17	0.16	0.00	503.65	0.15	0.01
Generators	1	4	90	84	0.4		0.58	4.41	3.47	0.31	0.31	0.01	568.30	0.05	0.01
Pumps	0	0	0	84	0.4		0.61	4.48	3.52	0.33	0.33	0.01	568.30	0.06	0.01
Concrete Mixers	0	0	0	9	0.4		0.66	4.15	3.47	0.17	0.17	0.01	568.30	0.06	0.01
Crane	0	0	0	226	0.3		0.62	7.38	2.58	0.33	0.31	0.00	507.16	0.15	0.01
OCS Pole Foundation Construction - Crew #2															
Off-Highway Truck	1	2	90	400	0.4		0.35	4.05	1.89	0.15	0.14	0.00	509.86	0.15	0.01

Bore/Drill Rigs	1	3	90	206	0.5	0.19	2.90	1.13	0.09	0.08	0.00	502.13	0.15	0.01
Rubber Tired Loaders	1	4	90	200	0.4	0.39	5.12	1.45	0.17	0.16	0.00	503.65	0.15	0.01
Generators	1	4	90	84	0.4	0.58	4.41	3.47	0.31	0.31	0.01	568.30	0.05	0.01
Pumps	0	0	0	84	0.4	0.61	4.48	3.52	0.33	0.33	0.01	568.30	0.06	0.01
Concrete Mixers	0	0	0	9	0.4	0.66	4.15	3.47	0.17	0.17	0.01	568.30	0.06	0.01
Crane	0	0	0	226	0.3	0.62	7.38	2.58	0.33	0.31	0.00	507.16	0.15	0.01
<b>OCS Pole &amp; Cantilever Installation - Crew #1</b>														
Off-Highway Truck	0	0	0	400	0.4	0.35	4.05	1.89	0.15	0.14	0.00	509.86	0.15	0.01
Crane	0	0	0	226	0.3	0.62	7.38	2.58	0.33	0.31	0.00	507.16	0.15	0.01
Generator	0	0	0	84	0.4	0.58	4.41	3.47	0.31	0.31	0.01	568.30	0.05	0.01
Backhoe	0	0	0	98	0.4	0.54	5.14	3.81	0.40	0.36	0.00	511.35	0.15	0.01
<b>OCS Pole &amp; Cantilever Installation - Crew #2</b>														
Off-Highway Truck	0	0	0	400	0.4	0.35	4.05	1.89	0.15	0.14	0.00	509.86	0.15	0.01
Crane	0	0	0	226	0.3	0.62	7.38	2.58	0.33	0.31	0.00	507.16	0.15	0.01
Generator	0	0	0	84	0.4	0.58	4.41	3.47	0.31	0.31	0.01	568.30	0.05	0.01
Backhoe	0	0	0	98	0.4	0.54	5.14	3.81	0.40	0.36	0.00	511.35	0.15	0.01
<b>OCS Wire Installation &amp; Testing</b>														
On-Track Wire Installation Equipment	0	0	0	172	0.4	0.52	5.82	3.36	0.31	0.28	0.00	503.96	0.15	0.01
<b>Signal &amp; Grade Crossings</b>														
<b>Signaling Equipment Installation at CPs &amp; Houses</b>														
Off-Highway Truck	1	0	90	400	0.4	0.35	4.05	1.89	0.15	0.14	0.00	509.86	0.15	0.01
Generators	1	0	90	84	0.4	0.58	4.41	3.47	0.31	0.31	0.01	568.30	0.05	0.01
<b>Grade Crossing Modifications</b>														
Off-Highway Truck	0	0	0	400	0.4	0.35	4.05	1.89	0.15	0.14	0.00	509.86	0.15	0.01
Backhoe	0	0	0	98	0.4	0.54	5.14	3.81	0.40	0.36	0.00	511.35	0.15	0.01
Generators	0	0	0	84	0.4	0.58	4.41	3.47	0.31	0.31	0.01	568.30	0.05	0.01
<b>Communications</b>														
<b>Communication System Installation (incl. SCADA)</b>														
Off-Highway Truck	0	0	0	400	0.4	0.35	4.05	1.89	0.15	0.14	0.00	509.86	0.15	0.01
Backhoe	0	0	0	98	0.4	0.54	5.14	3.81	0.40	0.36	0.00	511.35	0.15	0.01
Generators	0	0	0	84	0.4	0.58	4.41	3.47	0.31	0.31	0.01	568.30	0.05	0.01
<b>Integration / Commissioning</b>														
<b>Integration Testing &amp; Commissioning</b>														
Generators	0	0	0	84	0.4	0.58	4.41	3.47	0.31	0.31	0.01	568.30	0.05	0.01

**2016 Onroad Assumptions**

2016	Vehicle Type	Trips/ Day	VMT/ day	VMT/ year	Days	grams per mile (EMFAC)													
						ROG	NOX	CO	PM10	PM2.5	SO2	CO2	CH4	N2O	Other				
<b>Utilities</b>																			
<u>Advance Utility Relocation</u>																			
Employee Vehicle		15	186	16,740	90	0.04	0.13	1.29	0.00	0.00	0.00	344.71	-	-	17.24				
Flatbed		0	0	0	0	0.24	7.60	1.01	0.08	0.07	0.00	1,780.94	0.10	0.05	0.00				
Dump		1	50	4,500	90	0.24	7.60	1.01	0.08	0.07	0.00	1,780.94	0.10	0.05	0.00				
<b>Traction Power Substation Installation</b>																			
<u>Advance Site Preparation - Traction Power Sites</u>																			
Employee Vehicle		10	124	22,320	180	0.04	0.13	1.29	0.00	0.00	0.00	344.71	-	-	17.24				
Flatbed		0	0	0	0	0.24	7.60	1.01	0.08	0.07	0.00	1,780.94	0.10	0.05	0.00				
Dump		1	50	9,000	180	0.24	7.60	1.01	0.08	0.07	0.00	1,780.94	0.10	0.05	0.00				
<u>Traction Power Equipment Foundation Construction</u>																			
Employee Vehicle		17.5	217	39,060	180	0.04	0.13	1.29	0.00	0.00	0.00	344.71	-	-	17.24				
Flatbed		2	100	18,000	180	0.24	7.60	1.01	0.08	0.07	0.00	1,780.94	0.10	0.05	0.00				
Dump		1	50	9,000	180	0.24	7.60	1.01	0.08	0.07	0.00	1,780.94	0.10	0.05	0.00				
<u>Traction Power Equipment Installation</u>																			
Employee Vehicle		0	0	0	0	0.04	0.13	1.29	0.00	0.00	0.00	344.71	-	-	17.24				
Flatbed		0	0	0	0	0.24	7.60	1.01	0.08	0.07	0.00	1,780.94	0.10	0.05	0.00				
Dump		0	0	0	0	0.24	7.60	1.01	0.08	0.07	0.00	1,780.94	0.10	0.05	0.00				
<u>Traction Power Equipment Testing</u>																			
Employee Vehicle		0	0	0	0	0.04	0.13	1.29	0.00	0.00	0.00	344.71	-	-	17.24				
Flatbed		0	0	0	0	0.24	7.60	1.01	0.08	0.07	0.00	1,780.94	0.10	0.05	0.00				
Dump		0	0	0	0	0.24	7.60	1.01	0.08	0.07	0.00	1,780.94	0.10	0.05	0.00				
<b>Overhead Contact System (OCS)</b>																			
<u>OCS Pole Foundation Construction - Crew #1</u>																			
Employee Vehicle		17.5	217	19,530	90	0.04	0.13	1.29	0.00	0.00	0.00	344.71	-	-	17.24				
Flatbed		1	50	4,500	90	0.24	7.60	1.01	0.08	0.07	0.00	1,780.94	0.10	0.05	0.00				
Dump		1	50	4,500	90	0.24	7.60	1.01	0.08	0.07	0.00	1,780.94	0.10	0.05	0.00				
<u>OCS Pole Foundation Construction - Crew #2</u>																			
Employee Vehicle		17.5	217	19,530	90	0.04	0.13	1.29	0.00	0.00	0.00	344.71	-	-	17.24				
Flatbed		1	50	4,500	90	0.24	7.60	1.01	0.08	0.07	0.00	1,780.94	0.10	0.05	0.00				
Dump		1	50	4,500	90	0.24	7.60	1.01	0.08	0.07	0.00	1,780.94	0.10	0.05	0.00				
<u>OCS Pole &amp; Cantilever Installation - Crew #1</u>																			
Employee Vehicle		0	0	0	0	0.04	0.13	1.29	0.00	0.00	0.00	344.71	-	-	17.24				
Flatbed		0	0	0	0	0.24	7.60	1.01	0.08	0.07	0.00	1,780.94	0.10	0.05	0.00				
Dump		0	0	0	0	0.24	7.60	1.01	0.08	0.07	0.00	1,780.94	0.10	0.05	0.00				
<u>OCS Pole &amp; Cantilever Installation - Crew #2</u>																			
Employee Vehicle		0	0	0	0	0.04	0.13	1.29	0.00	0.00	0.00	344.71	-	-	17.24				
Flatbed		0	0	0	0	0.24	7.60	1.01	0.08	0.07	0.00	1,780.94	0.10	0.05	0.00				
Dump		0	0	0	0	0.24	7.60	1.01	0.08	0.07	0.00	1,780.94	0.10	0.05	0.00				

<u>OCS Wire Installation &amp; Testing</u>	Employee Vehicle	0	0	0	0	0.04	0.13	1.29	0.00	0.00	0.00	344.71	-	-	17.24
	Flatbed	0	0	0	0	0.24	7.60	1.01	0.08	0.07	0.00	1,780.94	0.10	0.05	0.00
	Dump	0	0	0	0	0.24	7.60	1.01	0.08	0.07	0.00	1,780.94	0.10	0.05	0.00
<b>Signal &amp; Grade Crossings</b>															
<u>Signaling Equipment Installation at CPs &amp; Houses</u>															
	Employee Vehicle	5	62	5,580	90	0.04	0.13	1.29	0.00	0.00	0.00	344.71	-	-	17.24
	Flatbed	1	50	4,500	90	0.24	7.60	1.01	0.08	0.07	0.00	1,780.94	0.10	0.05	0.00
	Dump	0	0	0	0	0.24	7.60	1.01	0.08	0.07	0.00	1,780.94	0.10	0.05	0.00
<b>Grade Crossing Modifications</b>															
	Employee Vehicle	0	0	0	0	0.04	0.13	1.29	0.00	0.00	0.00	344.71	-	-	17.24
	Flatbed	0	0	0	0	0.24	7.60	1.01	0.08	0.07	0.00	1,780.94	0.10	0.05	0.00
	Dump	0	0	0	0	0.24	7.60	1.01	0.08	0.07	0.00	1,780.94	0.10	0.05	0.00
<b>Communications</b>															
<u>Communication System Installation (incl. SCADA)</u>															
	Employee Vehicle	0	0	0	0	0.04	0.13	1.29	0.00	0.00	0.00	344.71	-	-	17.24
	Flatbed	0	0	0	0	0.24	7.60	1.01	0.08	0.07	0.00	1,780.94	0.10	0.05	0.00
	Dump	0	0	0	0	0.24	7.60	1.01	0.08	0.07	0.00	1,780.94	0.10	0.05	0.00
<b>Integration / Commissioning</b>															
<u>Integration Testing &amp; Commissioning</u>															
	Employee Vehicle	0	0	0	0	0.04	0.13	1.29	0.00	0.00	0.00	344.71	-	-	17.24
	Flatbed	0	0	0	0	0.24	7.60	1.01	0.08	0.07	0.00	1,780.94	0.10	0.05	0.00
	Dump	0	0	0	0	0.24	7.60	1.01	0.08	0.07	0.00	1,780.94	0.10	0.05	0.00

**2017 Offroad Assumptions**

2017	Equipment	# Day	Hrs/ Day	Days	HP	LF	g/hp-hr (CalEEMod)								
							ROG	NOX	CO	PM10	PM2.5	SO2	CO2	CH4	N2O
<b>Utilities</b>															
Advance Utility Relocation															
Off-Highway Truck	0	0	0	400	0.38		0.33	3.67	1.75	0.14	0.13	0.00	501.44	0.15	0.01
Excavator	0	0	0	163	0.38		0.33	3.70	3.15	0.18	0.17	0.00	498.52	0.15	0.01
Tractor/Loader/Backhoe	0	0	0	98	0.37		0.50	4.81	3.78	0.36	0.33	0.00	502.80	0.15	0.01
Concrete Industrial Saws	0	0	0	81	0.42		0.56	4.09	3.60	0.29	0.29	0.01	568.30	0.05	0.01
Generator Sets	0	0	0	84	0.42		0.52	4.07	3.44	0.27	0.27	0.01	568.30	0.05	0.01
Bore/Drill Rigs	0	0	0	206	0.5		0.17	2.52	1.10	0.07	0.07	0.00	494.14	0.15	0.01
<b>Traction Power Substation Installation</b>															
Advance Site Preparation - Traction Power Sites															
Off-Highway Trucks	0	0	0	400	0.38		0.33	3.67	1.75	0.14	0.13	0.00	501.44	0.15	0.01
Chainsaws (gas)	0	0	0	1	0.5		127.28	2.91	346.19	0.79	0.79	0.04	884.65	7.91	0.03
Trimmers/Edgers/Brush Cutters (gas)	0	0	0	1	0.5		20.58	8.53	391.40	0.46	0.46	0.03	858.88	1.16	0.03
Chippers/Stump Grinders (gas)	0	0	0	18	0.78		13.67	8.14	560.46	7.05	7.05	0.02	858.88	0.76	0.03
<b>Traction Power Equipment Foundation Construction</b>															
Off-Highway Truck	1	3	360	400	0.38		0.33	3.67	1.75	0.14	0.13	0.00	501.44	0.15	0.01
Tractor/Loader/Backhoe	1	3	300	98	0.37		0.50	4.81	3.78	0.36	0.33	0.00	502.80	0.15	0.01
Rubber Tired Loaders	1	3	180	200	0.36		0.37	4.75	1.42	0.16	0.15	0.00	495.95	0.15	0.01
Pumps	1	4	120	84	0.42		0.55	4.13	3.50	0.29	0.29	0.01	568.30	0.05	0.01
Vibratory Plate	1	4	120	8	0.42		0.66	4.14	3.47	0.16	0.16	0.01	568.30	0.06	0.01
Concrete Mixers	1	7	120	9	0.42		0.66	4.15	3.47	0.17	0.17	0.01	568.30	0.06	0.01
Crane	1	4	120	226	0.29		0.56	6.66	2.38	0.30	0.27	0.00	499.37	0.15	0.01
<b>Traction Power Equipment Installation</b>															
Off-Highway Truck	0	0	0	400	0.38		0.33	3.67	1.75	0.14	0.13	0.00	501.44	0.15	0.01
Crane	0	0	0	226	0.29		0.56	6.66	2.38	0.30	0.27	0.00	499.37	0.15	0.01
Tractor/Loader/Backhoe	0	0	0	98	0.37		0.50	4.81	3.78	0.36	0.33	0.00	502.80	0.15	0.01
<b>Traction Power Equipment Testing</b>															
Generator Set	0	0	0	84	0.42		0.52	4.07	3.44	0.27	0.27	0.01	568.30	0.05	0.01
<b>Overhead Contact System (OCS)</b>															
<b>OCS Pole Foundation Construction - Crew #1</b>															
Off-Highway Truck	1	2	360	400	0.38		0.33	3.67	1.75	0.14	0.13	0.00	501.44	0.15	0.01
Bore/Drill Rigs	1	3	70	206	0.5		0.17	2.52	1.10	0.07	0.07	0.00	494.14	0.15	0.01
Rubber Tired Loaders	1	4	150	200	0.36		0.37	4.75	1.42	0.16	0.15	0.00	495.95	0.15	0.01
Generators	1	4	360	84	0.42		0.52	4.07	3.44	0.27	0.27	0.01	568.30	0.05	0.01
Pumps	1	4	100	84	0.42		0.55	4.13	3.50	0.29	0.29	0.01	568.30	0.05	0.01
Concrete Mixers	1	7	100	9	0.42		0.66	4.15	3.47	0.17	0.17	0.01	568.30	0.06	0.01
Crane	1	4	100	226	0.29		0.56	6.66	2.38	0.30	0.27	0.00	499.37	0.15	0.01

<u>OCS Pole Foundation Construction - Crew #2</u>	1	2	360	400	0.38	0.33	3.67	1.75	0.14	0.13	0.00	501.44	0.15	0.01
Off-Highway Truck	1	3	160	206	0.5	0.17	2.52	1.10	0.07	0.07	0.00	494.14	0.15	0.01
Bore/Drill Rigs	1	4	285	200	0.36	0.37	4.75	1.42	0.16	0.15	0.00	495.95	0.15	0.01
Rubber Tired Loaders	1	4	360	84	0.42	0.52	4.07	3.44	0.27	0.27	0.01	568.30	0.05	0.01
Generators	1	4	100	84	0.42	0.55	4.13	3.50	0.29	0.29	0.01	568.30	0.05	0.01
Pumps	1	7	100	9	0.42	0.66	4.15	3.47	0.17	0.17	0.01	568.30	0.06	0.01
Concrete Mixers	1	7	100	226	0.29	0.56	6.66	2.38	0.30	0.27	0.00	499.37	0.15	0.01
Crane	1	7	100	226	0.29	0.56	6.66	2.38	0.30	0.27	0.00	499.37	0.15	0.01
<u>OCS Pole &amp; Cantilever Installation - Crew #1</u>	1	3	360	400	0.38	0.33	3.67	1.75	0.14	0.13	0.00	501.44	0.15	0.01
Off-Highway Truck	1	4	180	226	0.29	0.56	6.66	2.38	0.30	0.27	0.00	499.37	0.15	0.01
Crane	1	3	360	84	0.42	0.52	4.07	3.44	0.27	0.27	0.01	568.30	0.05	0.01
Generator	1	3	180	98	0.37	0.50	4.81	3.78	0.36	0.33	0.00	502.80	0.15	0.01
<u>OCS Pole &amp; Cantilever Installation - Crew #2</u>	1	3	270	400	0.38	0.33	3.67	1.75	0.14	0.13	0.00	501.44	0.15	0.01
Off-Highway Truck	1	4	210	226	0.29	0.56	6.66	2.38	0.30	0.27	0.00	499.37	0.15	0.01
Crane	1	2	270	84	0.42	0.52	4.07	3.44	0.27	0.27	0.01	568.30	0.05	0.01
Generator	1	2	210	98	0.37	0.50	4.81	3.78	0.36	0.33	0.00	502.80	0.15	0.01
<u>OCS Wire Installation &amp; Testing</u>	1	7	210	172	0.42	0.50	5.49	3.34	0.29	0.27	0.00	495.93	0.15	0.01
<b>Signal &amp; Grade Crossings</b>														
<u>Signaling Equipment Installation at CPs &amp; Houses</u>														
Off-Highway Truck	1	3	150	400	0.38	0.33	3.67	1.75	0.14	0.13	0.00	501.44	0.15	0.01
Generators	1	4	150	84	0.42	0.52	4.07	3.44	0.27	0.27	0.01	568.30	0.05	0.01
<u>Grade Crossing Modifications</u>														
Off-Highway Truck	0	0	0	400	0.38	0.33	3.67	1.75	0.14	0.13	0.00	501.44	0.15	0.01
Backhoe	0	0	0	98	0.37	0.50	4.81	3.78	0.36	0.33	0.00	502.80	0.15	0.01
Generators	0	0	0	84	0.42	0.52	4.07	3.44	0.27	0.27	0.01	568.30	0.05	0.01
<b>Communications</b>														
<u>Communication System Installation (incl. SCADA)</u>														
Off-Highway Truck	0	0	0	400	0.38	0.33	3.67	1.75	0.14	0.13	0.00	501.44	0.15	0.01
Backhoe	0	0	0	98	0.37	0.50	4.81	3.78	0.36	0.33	0.00	502.80	0.15	0.01
Generators	0	0	0	84	0.42	0.52	4.07	3.44	0.27	0.27	0.01	568.30	0.05	0.01
<b>Integration / Commissioning</b>														
<u>Integration Testing &amp; Commissioning</u>														
Generators	0	0	0	84	0.42	0.52	4.07	3.44	0.27	0.27	0.01	568.30	0.05	0.01

**2017 Onroad Assumptions**

2017	Vehicle Type	Trips/ Day	VMT/ day	VMT/ year	Days	grams per mile (EMFAC)									
						ROG	NOX	CO	PM10	PM2.5	SO2	CO2	CH4	N2O	Other
<b>Utilities</b>															
<u>Advance Utility Relocation</u>	Employee Vehicle	0	0	0	0	0.03	0.12	1.14	0.00	0.00	0.00	344.87	-	-	17.24
	Flatbed	0	0	0	0	0.22	6.73	0.94	0.07	0.06	0.00	1,777.07	0.10	0.05	0.00
	Dump	0	0	0	0	0.22	6.73	0.94	0.07	0.06	0.00	1,777.07	0.10	0.05	0.00
<b>Traction Power Substation Installation</b>															
<u>Advance Site Preparation - Traction Power Sites</u>	Employee Vehicle	0	0	0	0	0.03	0.12	1.14	0.00	0.00	0.00	344.87	-	-	17.24
	Flatbed	0	0	0	0	0.22	6.73	0.94	0.07	0.06	0.00	1,777.07	0.10	0.05	0.00
	Dump	0	0	0	0	0.22	6.73	0.94	0.07	0.06	0.00	1,777.07	0.10	0.05	0.00
<u>Traction Power Equipment Foundation Construction</u>															
	Employee Vehicle	17.5	217	78,120	360	0.03	0.12	1.14	0.00	0.00	0.00	344.87	-	-	17.24
	Flatbed	2	100	36,000	360	0.22	6.73	0.94	0.07	0.06	0.00	1,777.07	0.10	0.05	0.00
	Dump	1	50	18,000	360	0.22	6.73	0.94	0.07	0.06	0.00	1,777.07	0.10	0.05	0.00
<u>Traction Power Equipment Installation</u>															
	Employee Vehicle	0	0	0	0	0.03	0.12	1.14	0.00	0.00	0.00	344.87	-	-	17.24
	Flatbed	0	0	0	0	0.22	6.73	0.94	0.07	0.06	0.00	1,777.07	0.10	0.05	0.00
	Dump	0	0	0	0	0.22	6.73	0.94	0.07	0.06	0.00	1,777.07	0.10	0.05	0.00
<u>Traction Power Equipment Testing</u>															
	Employee Vehicle	0	0	0	0	0.03	0.12	1.14	0.00	0.00	0.00	344.87	-	-	17.24
	Flatbed	0	0	0	0	0.22	6.73	0.94	0.07	0.06	0.00	1,777.07	0.10	0.05	0.00
	Dump	0	0	0	0	0.22	6.73	0.94	0.07	0.06	0.00	1,777.07	0.10	0.05	0.00
<b>Overhead Contact System (OCS)</b>															
<u>OCS Pole Foundation Construction - Crew #1</u>															
	Employee Vehicle	17.5	217	78,120	360	0.03	0.12	1.14	0.00	0.00	0.00	344.87	-	-	17.24
	Flatbed	1	50	18,000	360	0.22	6.73	0.94	0.07	0.06	0.00	1,777.07	0.10	0.05	0.00
	Dump	1	50	18,000	360	0.22	6.73	0.94	0.07	0.06	0.00	1,777.07	0.10	0.05	0.00
<u>OCS Pole Foundation Construction - Crew #2</u>															
	Employee Vehicle	17.5	217	78,120	360	0.03	0.12	1.14	0.00	0.00	0.00	344.87	-	-	17.24
	Flatbed	1	50	18,000	360	0.22	6.73	0.94	0.07	0.06	0.00	1,777.07	0.10	0.05	0.00
	Dump	1	50	18,000	360	0.22	6.73	0.94	0.07	0.06	0.00	1,777.07	0.10	0.05	0.00
<u>OCS Pole &amp; Cantilever Installation - Crew #1</u>															
	Employee Vehicle	10	124	44,640	360	0.03	0.12	1.14	0.00	0.00	0.00	344.87	-	-	17.24
	Flatbed	1	50	18,000	360	0.22	6.73	0.94	0.07	0.06	0.00	1,777.07	0.10	0.05	0.00
	Dump	0	0	0	0	0.22	6.73	0.94	0.07	0.06	0.00	1,777.07	0.10	0.05	0.00
<u>OCS Pole &amp; Cantilever Installation - Crew #2</u>															
	Employee Vehicle	10	124	33,480	270	0.03	0.12	1.14	0.00	0.00	0.00	344.87	-	-	17.24
	Flatbed	1	50	13,500	270	0.22	6.73	0.94	0.07	0.06	0.00	1,777.07	0.10	0.05	0.00
	Dump	0	0	0	0	0.22	6.73	0.94	0.07	0.06	0.00	1,777.07	0.10	0.05	0.00

<u>OCS Wire Installation &amp; Testing</u>																
Employee Vehicle	2.5	31	6,510	210	0.03	0.12	1.14	0.00	0.00	0.00	344.87	-	-	-	17.24	
Flatbed	0	0	0	0	0.22	6.73	0.94	0.07	0.06	0.00	1,777.07	0.10	0.05	0.00	0.00	
Dump	0	0	0	0	0.22	6.73	0.94	0.07	0.06	0.00	1,777.07	0.10	0.05	0.00	0.00	
<b>Signal &amp; Grade Crossings</b>																
<u>Signaling Equipment Installation at CPs &amp; Houses</u>																
Employee Vehicle	5	62	9,300	150	0.03	0.12	1.14	0.00	0.00	0.00	344.87	-	-	-	17.24	
Flatbed	1	50	7,500	150	0.22	6.73	0.94	0.07	0.06	0.00	1,777.07	0.10	0.05	0.00	0.00	
Dump	0	0	0	0	0.22	6.73	0.94	0.07	0.06	0.00	1,777.07	0.10	0.05	0.00	0.00	
<u>Grade Crossing Modifications</u>																
Employee Vehicle	0	0	0	0	0.03	0.12	1.14	0.00	0.00	0.00	344.87	-	-	-	17.24	
Flatbed	0	0	0	0	0.22	6.73	0.94	0.07	0.06	0.00	1,777.07	0.10	0.05	0.00	0.00	
Dump	0	0	0	0	0.22	6.73	0.94	0.07	0.06	0.00	1,777.07	0.10	0.05	0.00	0.00	
<b>Communications</b>																
<u>Communication System Installation (incl. SCADA)</u>																
Employee Vehicle	0	0	0	0	0.03	0.12	1.14	0.00	0.00	0.00	344.87	-	-	-	17.24	
Flatbed	0	0	0	0	0.22	6.73	0.94	0.07	0.06	0.00	1,777.07	0.10	0.05	0.00	0.00	
Dump	0	0	0	0	0.22	6.73	0.94	0.07	0.06	0.00	1,777.07	0.10	0.05	0.00	0.00	
<b>Integration / Commissioning</b>																
<u>Integration Testing &amp; Commissioning</u>																
Employee Vehicle	0	0	0	0	0.03	0.12	1.14	0.00	0.00	0.00	344.87	-	-	-	17.24	
Flatbed	0	0	0	0	0.22	6.73	0.94	0.07	0.06	0.00	1,777.07	0.10	0.05	0.00	0.00	
Dump	0	0	0	0	0.22	6.73	0.94	0.07	0.06	0.00	1,777.07	0.10	0.05	0.00	0.00	

2      3      4      5      6      7      8      9      10      11

**2018 Offroad Assumptions**

2018	Equipment	# Day	Hrs/ Day	Days	HP	LF	g/hp-hr (CalEEMod)								
							ROG	NOX	CO	PM10	PM2.5	SO2	CO2	CH4	N2O
<b>Utilities</b>															
Advance Utility Relocation															
Off-Highway Truck	0	0	0	400	0.38		0.29	3.09	1.56	0.11	0.10	0.00	493.51	0.15	0.01
Excavator	0	0	0	163	0.38		0.27	2.92	3.09	0.14	0.13	0.00	490.67	0.15	0.01
Tractor/Loader/Backhoe	0	0	0	98	0.37		0.42	4.15	3.69	0.29	0.27	0.00	494.12	0.15	0.01
Concrete Industrial Saws	0	0	0	81	0.42		0.50	3.75	3.57	0.26	0.26	0.01	568.30	0.04	0.01
Generator Sets	0	0	0	84	0.42		0.46	3.75	3.42	0.24	0.24	0.01	568.30	0.04	0.01
Bore/Drill Rigs	0	0	0	206	0.5		0.15	2.15	1.07	0.06	0.06	0.00	484.56	0.15	0.01
<b>Traction Power Substation Installation</b>															
Advance Site Preparation - Traction Power Sites															
Off-Highway Trucks	0	0	0	400	0.38		0.29	3.09	1.56	0.11	0.10	0.00	493.51	0.15	0.01
Chainsaws (gas)	0	0	0	1	0.5		125.38	2.89	342.56	0.74	0.74	0.04	884.65	7.79	0.03
Trimmers/Edgers/Brush Cutters (gas)	0	0	0	1	0.5		20.24	8.55	387.19	0.42	0.42	0.03	858.88	1.14	0.03
Chippers/Stump Grinders (gas)	0	0	0	18	0.78		13.52	8.18	557.81	7.08	7.08	0.02	858.88	0.75	0.03
<b>Traction Power Equipment Foundation Construction</b>															
Off-Highway Truck	0	0	0	400	0.38		0.29	3.09	1.56	0.11	0.10	0.00	493.51	0.15	0.01
Tractor/Loader/Backhoe	0	0	0	98	0.37		0.42	4.15	3.69	0.29	0.27	0.00	494.12	0.15	0.01
Rubber Tired Loaders	0	0	0	200	0.36		0.33	4.13	1.35	0.14	0.13	0.00	487.90	0.15	0.01
Pumps	0	0	0	84	0.42		0.49	3.81	3.47	0.25	0.25	0.01	568.30	0.04	0.01
Vibratory Plate	0	0	0	8	0.42		0.66	4.14	3.47	0.16	0.16	0.01	568.30	0.06	0.01
Concrete Mixers	0	0	0	9	0.42		0.66	4.14	3.47	0.16	0.16	0.01	568.30	0.06	0.01
Crane	0	0	0	226	0.29		0.48	5.77	2.13	0.25	0.23	0.00	491.41	0.15	0.01
<b>Traction Power Equipment Installation</b>															
Off-Highway Truck	1	3	360	400	0.38		0.29	3.09	1.56	0.11	0.10	0.00	493.51	0.15	0.01
Crane	2	3	240	226	0.29		0.48	5.77	2.13	0.25	0.23	0.00	491.41	0.15	0.01
Tractor/Loader/Backhoe	1	3	240	98	0.37		0.42	4.15	3.69	0.29	0.27	0.00	494.12	0.15	0.01
<b>Traction Power Equipment Testing</b>															
Generator Set	1	7	210	84	0.42		0.46	3.75	3.42	0.24	0.24	0.01	568.30	0.04	0.01
<b>Overhead Contact System (OCS)</b>															
<b>OCS Pole Foundation Construction - Crew #1</b>															
Off-Highway Truck	1	2	120	400	0.38		0.29	3.09	1.56	0.11	0.10	0.00	493.51	0.15	0.01
Bore/Drill Rigs	0	0	0	206	0.5		0.15	2.15	1.07	0.06	0.06	0.00	484.56	0.15	0.01
Rubber Tired Loaders	0	0	0	200	0.36		0.33	4.13	1.35	0.14	0.13	0.00	487.90	0.15	0.01
Generators	1	4	120	84	0.42		0.46	3.75	3.42	0.24	0.24	0.01	568.30	0.04	0.01
Pumps	1	4	60	84	0.42		0.49	3.81	3.47	0.25	0.25	0.01	568.30	0.04	0.01
Concrete Mixers	1	7	60	9	0.42		0.66	4.14	3.47	0.16	0.16	0.01	568.30	0.06	0.01
Crane	1	4	60	226	0.29		0.48	5.77	2.13	0.25	0.23	0.00	491.41	0.15	0.01
<b>OCS Pole Foundation Construction - Crew #2</b>															
Off-Highway Truck	1	2	300	400	0.38		0.29	3.09	1.56	0.11	0.10	0.00	493.51	0.15	0.01

Bore/Drill Rigs	0	0	0	206	0.5	0.15	2.15	1.07	0.06	0.06	0.00	484.56	0.15	0.01
Rubber Tired Loaders	0	0	0	200	0.36	0.33	4.13	1.35	0.14	0.13	0.00	487.90	0.15	0.01
Generators	1	4	300	84	0.42	0.46	3.75	3.42	0.24	0.24	0.01	568.30	0.04	0.01
Pumps	1	4	150	84	0.42	0.49	3.81	3.47	0.25	0.25	0.01	568.30	0.04	0.01
Concrete Mixers	1	7	150	9	0.42	0.66	4.14	3.47	0.16	0.16	0.01	568.30	0.06	0.01
Crane	1	7	150	226	0.29	0.48	5.77	2.13	0.25	0.23	0.00	491.41	0.15	0.01
<b>OCS Pole &amp; Cantilever Installation - Crew #1</b>														
Off-Highway Truck	1	3	210	400	0.38	0.29	3.09	1.56	0.11	0.10	0.00	493.51	0.15	0.01
Crane	1	4	180	226	0.29	0.48	5.77	2.13	0.25	0.23	0.00	491.41	0.15	0.01
Generator	1	3	210	84	0.42	0.46	3.75	3.42	0.24	0.24	0.01	568.30	0.04	0.01
Backhoe	1	3	180	98	0.37	0.42	4.15	3.69	0.29	0.27	0.00	494.12	0.15	0.01
<b>OCS Pole &amp; Cantilever Installation - Crew #2</b>														
Off-Highway Truck	1	3	360	400	0.38	0.29	3.09	1.56	0.11	0.10	0.00	493.51	0.15	0.01
Crane	1	4	270	226	0.29	0.48	5.77	2.13	0.25	0.23	0.00	491.41	0.15	0.01
Generator	1	2	360	84	0.42	0.46	3.75	3.42	0.24	0.24	0.01	568.30	0.04	0.01
Backhoe	1	2	270	98	0.37	0.42	4.15	3.69	0.29	0.27	0.00	494.12	0.15	0.01
<b>OCS Wire Installation &amp; Testing</b>														
On-Track Wire Installation Equipment	1	7	360	172	0.42	0.44	4.75	3.26	0.25	0.23	0.00	487.99	0.15	0.01
<b>Signal &amp; Grade Crossings</b>														
<b>Signaling Equipment Installation at CPs &amp; Houses</b>														
Off-Highway Truck	0	0	0	400	0.38	0.29	3.09	1.56	0.11	0.10	0.00	493.51	0.15	0.01
Generators	0	0	0	84	0.42	0.46	3.75	3.42	0.24	0.24	0.01	568.30	0.04	0.01
<b>Grade Crossing Modifications</b>														
Off-Highway Truck	1	3	240	400	0.38	0.29	3.09	1.56	0.11	0.10	0.00	493.51	0.15	0.01
Backhoe	1	3	150	98	0.37	0.42	4.15	3.69	0.29	0.27	0.00	494.12	0.15	0.01
Generators	1	4	240	84	0.42	0.46	3.75	3.42	0.24	0.24	0.01	568.30	0.04	0.01
<b>Communications</b>														
<b>Communication System Installation (incl. SCADA)</b>														
Off-Highway Truck	1	3	360	400	0.38	0.29	3.09	1.56	0.11	0.10	0.00	493.51	0.15	0.01
Backhoe	1	3	180	98	0.37	0.42	4.15	3.69	0.29	0.27	0.00	494.12	0.15	0.01
Generators	1	4	360	84	0.42	0.46	3.75	3.42	0.24	0.24	0.01	568.30	0.04	0.01
<b>Integration / Commissioning</b>														
<b>Integration Testing &amp; Commissioning</b>														
Generators	0	0	0	84	0.42	0.46	3.75	3.42	0.24	0.24	0.01	568.30	0.04	0.01

<u>OCS Wire Installation &amp; Testing</u>																
Employee Vehicle	2.5	31	6,510	210	0.03	0.12	1.14	0.00	0.00	0.00	344.87	-	-	-	17.24	
Flatbed	0	0	0	0	0.22	6.73	0.94	0.07	0.06	0.00	1,777.07	0.10	0.05	0.00	0.00	
Dump	0	0	0	0	0.22	6.73	0.94	0.07	0.06	0.00	1,777.07	0.10	0.05	0.00	0.00	
<b>Signal &amp; Grade Crossings</b>																
<u>Signaling Equipment Installation at CPs &amp; Houses</u>																
Employee Vehicle	5	62	9,300	150	0.03	0.12	1.14	0.00	0.00	0.00	344.87	-	-	-	17.24	
Flatbed	1	50	7,500	150	0.22	6.73	0.94	0.07	0.06	0.00	1,777.07	0.10	0.05	0.00	0.00	
Dump	0	0	0	0	0.22	6.73	0.94	0.07	0.06	0.00	1,777.07	0.10	0.05	0.00	0.00	
<u>Grade Crossing Modifications</u>																
Employee Vehicle	0	0	0	0	0.03	0.12	1.14	0.00	0.00	0.00	344.87	-	-	-	17.24	
Flatbed	0	0	0	0	0.22	6.73	0.94	0.07	0.06	0.00	1,777.07	0.10	0.05	0.00	0.00	
Dump	0	0	0	0	0.22	6.73	0.94	0.07	0.06	0.00	1,777.07	0.10	0.05	0.00	0.00	
<b>Communications</b>																
<u>Communication System Installation (incl. SCADA)</u>																
Employee Vehicle	0	0	0	0	0.03	0.12	1.14	0.00	0.00	0.00	344.87	-	-	-	17.24	
Flatbed	0	0	0	0	0.22	6.73	0.94	0.07	0.06	0.00	1,777.07	0.10	0.05	0.00	0.00	
Dump	0	0	0	0	0.22	6.73	0.94	0.07	0.06	0.00	1,777.07	0.10	0.05	0.00	0.00	
<b>Integration / Commissioning</b>																
<u>Integration Testing &amp; Commissioning</u>																
Employee Vehicle	0	0	0	0	0.03	0.12	1.14	0.00	0.00	0.00	344.87	-	-	-	17.24	
Flatbed	0	0	0	0	0.22	6.73	0.94	0.07	0.06	0.00	1,777.07	0.10	0.05	0.00	0.00	
Dump	0	0	0	0	0.22	6.73	0.94	0.07	0.06	0.00	1,777.07	0.10	0.05	0.00	0.00	

**2018 Onroad Assumptions**

2018	Vehicle Type	Trips/ Day	VMT/ day	VMT/ year	Days	grams per mile (EMFAC)													
						ROG	NOX	CO	PM10	PM2.5	SO2	CO2	CH4	N2O	Other				
<b>Utilities</b>																			
<u>Advance Utility Relocation</u>																			
Employee Vehicle		0	0	0	0	0.02	0.11	1.01	0.00	0.00	0.00	345.03	-	-	17.25				
Flatbed		0	0	0	0	0.23	5.99	0.94	0.06	0.06	0.00	1,773.36	0.10	0.05	0.00				
Dump		0	0	0	0	0.23	5.99	0.94	0.06	0.06	0.00	1,773.36	0.10	0.05	0.00				
<b>Traction Power Substation Installation</b>																			
<u>Advance Site Preparation - Traction Power Sites</u>																			
Employee Vehicle		0	0	0	0	0.02	0.11	1.01	0.00	0.00	0.00	345.03	-	-	17.25				
Flatbed		0	0	0	0	0.23	5.99	0.94	0.06	0.06	0.00	1,773.36	0.10	0.05	0.00				
Dump		0	0	0	0	0.23	5.99	0.94	0.06	0.06	0.00	1,773.36	0.10	0.05	0.00				
<u>Traction Power Equipment Foundation Construction</u>																			
Employee Vehicle		0	0	0	0	0.02	0.11	1.01	0.00	0.00	0.00	345.03	-	-	17.25				
Flatbed		0	0	0	0	0.23	5.99	0.94	0.06	0.06	0.00	1,773.36	0.10	0.05	0.00				
Dump		0	0	0	0	0.23	5.99	0.94	0.06	0.06	0.00	1,773.36	0.10	0.05	0.00				
<u>Traction Power Equipment Installation</u>																			
Employee Vehicle		10	124	44,640	360	0.02	0.11	1.01	0.00	0.00	0.00	345.03	-	-	17.25				
Flatbed		1	50	18,000	360	0.23	5.99	0.94	0.06	0.06	0.00	1,773.36	0.10	0.05	0.00				
Dump		0	0	0	0	0.23	5.99	0.94	0.06	0.06	0.00	1,773.36	0.10	0.05	0.00				
<u>Traction Power Equipment Testing</u>																			
Employee Vehicle		2.5	31	6,510	210	0.02	0.11	1.01	0.00	0.00	0.00	345.03	-	-	17.25				
Flatbed		0	0	0	0	0.23	5.99	0.94	0.06	0.06	0.00	1,773.36	0.10	0.05	0.00				
Dump		0	0	0	0	0.23	5.99	0.94	0.06	0.06	0.00	1,773.36	0.10	0.05	0.00				
<b>Overhead Contact System (OCS)</b>																			
<u>OCS Pole Foundation Construction - Crew #1</u>																			
Employee Vehicle		17.5	217	26,040	120	0.02	0.11	1.01	0.00	0.00	0.00	345.03	-	-	17.25				
Flatbed		1	50	6,000	120	0.23	5.99	0.94	0.06	0.06	0.00	1,773.36	0.10	0.05	0.00				
Dump		1	50	6,000	120	0.23	5.99	0.94	0.06	0.06	0.00	1,773.36	0.10	0.05	0.00				
<u>OCS Pole Foundation Construction - Crew #2</u>																			
Employee Vehicle		17.5	217	65,100	300	0.02	0.11	1.01	0.00	0.00	0.00	345.03	-	-	17.25				
Flatbed		1	50	15,000	300	0.23	5.99	0.94	0.06	0.06	0.00	1,773.36	0.10	0.05	0.00				
Dump		1	50	15,000	300	0.23	5.99	0.94	0.06	0.06	0.00	1,773.36	0.10	0.05	0.00				
<u>OCS Pole &amp; Cantilever Installation - Crew #1</u>																			
Employee Vehicle		10	124	26,040	210	0.02	0.11	1.01	0.00	0.00	0.00	345.03	-	-	17.25				
Flatbed		1	50	10,500	210	0.23	5.99	0.94	0.06	0.06	0.00	1,773.36	0.10	0.05	0.00				
Dump		0	0	0	0	0.23	5.99	0.94	0.06	0.06	0.00	1,773.36	0.10	0.05	0.00				
<u>OCS Pole &amp; Cantilever Installation - Crew #2</u>																			
Employee Vehicle		10	124	44,640	360	0.02	0.11	1.01	0.00	0.00	0.00	345.03	-	-	17.25				

	Flatbed	1	50	18,000	360	0.23	5.99	0.94	0.06	0.06	0.00	1,773.36	0.10	0.05	0.00
	Dump	0	0	0	0	0.23	5.99	0.94	0.06	0.06	0.00	1,773.36	0.10	0.05	0.00
<b>OCS Wire Installation &amp; Testing</b>															
	Employee Vehicle	2.5	31	11,160	360	0.02	0.11	1.01	0.00	0.00	0.00	345.03	-	-	17.25
	Flatbed	0	0	0	0	0.23	5.99	0.94	0.06	0.06	0.00	1,773.36	0.10	0.05	0.00
	Dump	0	0	0	0	0.23	5.99	0.94	0.06	0.06	0.00	1,773.36	0.10	0.05	0.00
<b>Signal &amp; Grade Crossings</b>															
<u>Signaling Equipment Installation at CPs &amp; Houses</u>															
	Employee Vehicle	0	0	0	0	0.02	0.11	1.01	0.00	0.00	0.00	345.03	-	-	17.25
	Flatbed	0	0	0	0	0.23	5.99	0.94	0.06	0.06	0.00	1,773.36	0.10	0.05	0.00
	Dump	0	0	0	0	0.23	5.99	0.94	0.06	0.06	0.00	1,773.36	0.10	0.05	0.00
<u>Grade Crossing Modifications</u>															
	Employee Vehicle	7.5	93	22,320	240	0.02	0.11	1.01	0.00	0.00	0.00	345.03	-	-	17.25
	Flatbed	1	50	12,000	240	0.23	5.99	0.94	0.06	0.06	0.00	1,773.36	0.10	0.05	0.00
	Dump	0	0	0	0	0.23	5.99	0.94	0.06	0.06	0.00	1,773.36	0.10	0.05	0.00
<b>Communications</b>															
<u>Communication System Installation (incl. SCADA)</u>															
	Employee Vehicle	7.5	93	33,480	360	0.02	0.11	1.01	0.00	0.00	0.00	345.03	-	-	17.25
	Flatbed	1	50	18,000	360	0.23	5.99	0.94	0.06	0.06	0.00	1,773.36	0.10	0.05	0.00
	Dump	0	0	0	0	0.23	5.99	0.94	0.06	0.06	0.00	1,773.36	0.10	0.05	0.00
<b>Integration / Commissioning</b>															
<u>Integration Testing &amp; Commissioning</u>															
	Employee Vehicle	0	0	0	0	0.02	0.11	1.01	0.00	0.00	0.00	345.03	-	-	17.25
	Flatbed	0	0	0	0	0.23	5.99	0.94	0.06	0.06	0.00	1,773.36	0.10	0.05	0.00
	Dump	0	0	0	0	0.23	5.99	0.94	0.06	0.06	0.00	1,773.36	0.10	0.05	0.00

**2019 Offroad Assumptions**

2019	Equipment	#	Hrs/	Days	HP	LF	g/hp-hr (CalEEMod)								
		Day	Day				ROG	NOX	CO	PM10	PM2.5	SO2	CO2	CH4	N2O
<b>Utilities</b>															
Advance Utility Relocation															
Off-Highway Truck	0	0	0	400	0.38		0.26	2.67	1.48	0.10	0.09	0.00	485.38	0.15	0.01
Excavator	0	0	0	163	0.38		0.25	2.53	3.08	0.12	0.11	0.00	482.68	0.15	0.01
Tractor/Loader/Backhoe	0	0	0	98	0.37		0.37	3.69	3.64	0.25	0.23	0.00	485.85	0.15	0.01
Concrete Industrial Saws	0	0	0	81	0.42		0.44	3.44	3.55	0.22	0.22	0.01	568.30	0.04	0.01
Generator Sets	0	0	0	84	0.42		0.41	3.45	3.40	0.21	0.21	0.01	568.30	0.04	0.01
Bore/Drill Rigs	0	0	0	206	0.5		0.14	1.89	1.06	0.05	0.05	0.00	475.79	0.15	0.01
<b>Traction Power Substation Installation</b>															
Advance Site Preparation - Traction Power Sites															
Off-Highway Trucks	0	0	0	400	0.38		0.26	2.67	1.48	0.10	0.09	0.00	485.38	0.15	0.01
Chainsaws (gas)	0	0	0	1	0.5		123.70	2.88	339.38	0.70	0.70	0.04	884.65	7.69	0.03
Trimmers/Edgers/Brush Cutters (gas)	0	0	0	1	0.5		19.98	8.57	384.06	0.39	0.39	0.03	858.88	1.12	0.03
Chippers/Stump Grinders (gas)	0	0	0	18	0.78		13.43	8.20	556.11	7.10	7.10	0.02	858.88	0.75	0.03
<b>Traction Power Equipment Foundation Construction</b>															
Off-Highway Truck	0	0	0	400	0.38		0.26	2.67	1.48	0.10	0.09	0.00	485.38	0.15	0.01
Tractor/Loader/Backhoe	0	0	0	98	0.37		0.37	3.69	3.64	0.25	0.23	0.00	485.85	0.15	0.01
Rubber Tired Loaders	0	0	0	200	0.36		0.31	3.74	1.30	0.13	0.12	0.00	480.10	0.15	0.01
Pumps	0	0	0	84	0.42		0.43	3.50	3.45	0.22	0.22	0.01	568.30	0.04	0.01
Vibratory Plate	0	0	0	8	0.42		0.66	4.14	3.47	0.16	0.16	0.01	568.30	0.06	0.01
Concrete Mixers	0	0	0	9	0.42		0.66	4.14	3.47	0.16	0.16	0.01	568.30	0.06	0.01
Crane	0	0	0	226	0.29		0.43	5.08	1.94	0.22	0.20	0.00	483.46	0.15	0.01
<b>Traction Power Equipment Installation</b>															
Off-Highway Truck	1	3	120	400	0.38		0.26	2.67	1.48	0.10	0.09	0.00	485.38	0.15	0.01
Crane	2	3	120	226	0.29		0.43	5.08	1.94	0.22	0.20	0.00	483.46	0.15	0.01
Tractor/Loader/Backhoe	1	3	120	98	0.37		0.37	3.69	3.64	0.25	0.23	0.00	485.85	0.15	0.01
<b>Traction Power Equipment Testing</b>															
Generator Set	1	7	180	84	0.42		0.41	3.45	3.40	0.21	0.21	0.01	568.30	0.04	0.01
<b>Overhead Contact System (OCS)</b>															
<b>OCS Pole Foundation Construction - Crew #1</b>															
Off-Highway Truck	0	0	0	400	0.38		0.26	2.67	1.48	0.10	0.09	0.00	485.38	0.15	0.01
Bore/Drill Rigs	0	0	0	206	0.5		0.14	1.89	1.06	0.05	0.05	0.00	475.79	0.15	0.01
Rubber Tired Loaders	0	0	0	200	0.36		0.31	3.74	1.30	0.13	0.12	0.00	480.10	0.15	0.01
Generators	0	0	0	84	0.42		0.41	3.45	3.40	0.21	0.21	0.01	568.30	0.04	0.01
Pumps	0	0	0	84	0.42		0.43	3.50	3.45	0.22	0.22	0.01	568.30	0.04	0.01
Concrete Mixers	0	0	0	9	0.42		0.66	4.14	3.47	0.16	0.16	0.01	568.30	0.06	0.01
Crane	0	0	0	226	0.29		0.43	5.08	1.94	0.22	0.20	0.00	483.46	0.15	0.01
<b>OCS Pole Foundation Construction - Crew #2</b>															
Off-Highway Truck	0	0	0	400	0.38		0.26	2.67	1.48	0.10	0.09	0.00	485.38	0.15	0.01

Bore/Drill Rigs	0	0	0	206	0.5	0.14	1.89	1.06	0.05	0.05	0.00	475.79	0.15	0.01
Rubber Tired Loaders	0	0	0	200	0.36	0.31	3.74	1.30	0.13	0.12	0.00	480.10	0.15	0.01
Generators	0	0	0	84	0.42	0.41	3.45	3.40	0.21	0.21	0.01	568.30	0.04	0.01
Pumps	0	0	0	84	0.42	0.43	3.50	3.45	0.22	0.22	0.01	568.30	0.04	0.01
Concrete Mixers	0	0	0	9	0.42	0.66	4.14	3.47	0.16	0.16	0.01	568.30	0.06	0.01
Crane	0	0	0	226	0.29	0.43	5.08	1.94	0.22	0.20	0.00	483.46	0.15	0.01
<b><u>OCS Pole &amp; Cantilever Installation - Crew #1</u></b>														
Off-Highway Truck	0	0	0	400	0.38	0.26	2.67	1.48	0.10	0.09	0.00	485.38	0.15	0.01
Crane	0	0	0	226	0.29	0.43	5.08	1.94	0.22	0.20	0.00	483.46	0.15	0.01
Generator	0	0	0	84	0.42	0.41	3.45	3.40	0.21	0.21	0.01	568.30	0.04	0.01
Backhoe	0	0	0	98	0.37	0.37	3.69	3.64	0.25	0.23	0.00	485.85	0.15	0.01
<b><u>OCS Pole &amp; Cantilever Installation - Crew #2</u></b>														
Off-Highway Truck	1	3	60	400	0.38	0.26	2.67	1.48	0.10	0.09	0.00	485.38	0.15	0.01
Crane	1	4	0	226	0.29	0.43	5.08	1.94	0.22	0.20	0.00	483.46	0.15	0.01
Generator	1	2	60	84	0.42	0.41	3.45	3.40	0.21	0.21	0.01	568.30	0.04	0.01
Backhoe	1	2	0	98	0.37	0.37	3.69	3.64	0.25	0.23	0.00	485.85	0.15	0.01
<b><u>OCS Wire Installation &amp; Testing</u></b>														
On-Track Wire Installation Equipment	1	7	150	172	0.42	0.41	4.43	3.26	0.23	0.21	0.00	480.45	0.15	0.01
<b><u>Signal &amp; Grade Crossings</u></b>														
<b><u>Signaling Equipment Installation at CPs &amp; Houses</u></b>														
Off-Highway Truck	0	0	0	400	0.38	0.26	2.67	1.48	0.10	0.09	0.00	485.38	0.15	0.01
Generators	0	0	0	84	0.42	0.41	3.45	3.40	0.21	0.21	0.01	568.30	0.04	0.01
<b><u>Grade Crossing Modifications</u></b>														
Off-Highway Truck	1	3	150	400	0.38	0.26	2.67	1.48	0.10	0.09	0.00	485.38	0.15	0.01
Backhoe	1	3	90	98	0.37	0.37	3.69	3.64	0.25	0.23	0.00	485.85	0.15	0.01
Generators	1	4	150	84	0.42	0.41	3.45	3.40	0.21	0.21	0.01	568.30	0.04	0.01
<b><u>Communications</u></b>														
<b><u>Communication System Installation (incl. SCADA)</u></b>														
Off-Highway Truck	1	3	150	400	0.38	0.26	2.67	1.48	0.10	0.09	0.00	485.38	0.15	0.01
Backhoe	0	0	0	98	0.37	0.37	3.69	3.64	0.25	0.23	0.00	485.85	0.15	0.01
Generators	1	4	150	84	0.42	0.41	3.45	3.40	0.21	0.21	0.01	568.30	0.04	0.01
<b><u>Integration / Commissioning</u></b>														
<b><u>Integration Testing &amp; Commissioning</u></b>														
Generators	1	4	210	84	0.42	0.41	3.45	3.40	0.21	0.21	0.01	568.30	0.04	0.01

	Flatbed	1	50	18,000	360	0.23	5.99	0.94	0.06	0.06	0.00	1,773.36	0.10	0.05	0.00
	Dump	0	0	0	0	0.23	5.99	0.94	0.06	0.06	0.00	1,773.36	0.10	0.05	0.00
<b>OCS Wire Installation &amp; Testing</b>															
	Employee Vehicle	2.5	31	11,160	360	0.02	0.11	1.01	0.00	0.00	0.00	345.03	-	-	17.25
	Flatbed	0	0	0	0	0.23	5.99	0.94	0.06	0.06	0.00	1,773.36	0.10	0.05	0.00
	Dump	0	0	0	0	0.23	5.99	0.94	0.06	0.06	0.00	1,773.36	0.10	0.05	0.00
<b>Signal &amp; Grade Crossings</b>															
<u>Signaling Equipment Installation at CPs &amp; Houses</u>															
	Employee Vehicle	0	0	0	0	0.02	0.11	1.01	0.00	0.00	0.00	345.03	-	-	17.25
	Flatbed	0	0	0	0	0.23	5.99	0.94	0.06	0.06	0.00	1,773.36	0.10	0.05	0.00
	Dump	0	0	0	0	0.23	5.99	0.94	0.06	0.06	0.00	1,773.36	0.10	0.05	0.00
<u>Grade Crossing Modifications</u>															
	Employee Vehicle	7.5	93	22,320	240	0.02	0.11	1.01	0.00	0.00	0.00	345.03	-	-	17.25
	Flatbed	1	50	12,000	240	0.23	5.99	0.94	0.06	0.06	0.00	1,773.36	0.10	0.05	0.00
	Dump	0	0	0	0	0.23	5.99	0.94	0.06	0.06	0.00	1,773.36	0.10	0.05	0.00
<b>Communications</b>															
<u>Communication System Installation (incl. SCADA)</u>															
	Employee Vehicle	7.5	93	33,480	360	0.02	0.11	1.01	0.00	0.00	0.00	345.03	-	-	17.25
	Flatbed	1	50	18,000	360	0.23	5.99	0.94	0.06	0.06	0.00	1,773.36	0.10	0.05	0.00
	Dump	0	0	0	0	0.23	5.99	0.94	0.06	0.06	0.00	1,773.36	0.10	0.05	0.00
<b>Integration / Commissioning</b>															
<u>Integration Testing &amp; Commissioning</u>															
	Employee Vehicle	0	0	0	0	0.02	0.11	1.01	0.00	0.00	0.00	345.03	-	-	17.25
	Flatbed	0	0	0	0	0.23	5.99	0.94	0.06	0.06	0.00	1,773.36	0.10	0.05	0.00
	Dump	0	0	0	0	0.23	5.99	0.94	0.06	0.06	0.00	1,773.36	0.10	0.05	0.00

**2019 Onroad Assumptions**

2019	Vehicle Type	Trips/ Day	VMT/ day	VMT/ year	Days	grams per mile (EMFAC)													
						ROG	NOX	CO	PM10	PM2.5	SO2	CO2	CH4	N2O	Other				
<b>Utilities</b>																			
<u>Advance Utility Relocation</u>																			
Employee Vehicle		0	0	0	0	0.02	0.10	0.91	0.00	0.00	0.00	345.16	-	-	17.26				
Flatbed		0	0	0	0	0.22	5.40	0.93	0.06	0.06	0.00	1,770.35	0.10	0.05	0.00				
Dump		0	0	0	0	0.22	5.40	0.93	0.06	0.06	0.00	1,770.35	0.10	0.05	0.00				
<b>Traction Power Substation Installation</b>																			
<u>Advance Site Preparation - Traction Power Sites</u>																			
Employee Vehicle		0	0	0	0	0.02	0.10	0.91	0.00	0.00	0.00	345.16	-	-	17.26				
Flatbed		0	0	0	0	0.22	5.40	0.93	0.06	0.06	0.00	1,770.35	0.10	0.05	0.00				
Dump		0	0	0	0	0.22	5.40	0.93	0.06	0.06	0.00	1,770.35	0.10	0.05	0.00				
<u>Traction Power Equipment Foundation Construction</u>																			
Employee Vehicle		0	0	0	0	0.02	0.10	0.91	0.00	0.00	0.00	345.16	-	-	17.26				
Flatbed		0	0	0	0	0.22	5.40	0.93	0.06	0.06	0.00	1,770.35	0.10	0.05	0.00				
Dump		0	0	0	0	0.22	5.40	0.93	0.06	0.06	0.00	1,770.35	0.10	0.05	0.00				
<u>Traction Power Equipment Installation</u>																			
Employee Vehicle		10	124	14,880	120	0.02	0.10	0.91	0.00	0.00	0.00	345.16	-	-	17.26				
Flatbed		1	50	6,000	120	0.22	5.40	0.93	0.06	0.06	0.00	1,770.35	0.10	0.05	0.00				
Dump		0	0	0	0	0.22	5.40	0.93	0.06	0.06	0.00	1,770.35	0.10	0.05	0.00				
<u>Traction Power Equipment Testing</u>																			
Employee Vehicle		2.5	31	5,580	180	0.02	0.10	0.91	0.00	0.00	0.00	345.16	-	-	17.26				
Flatbed		0	0	0	0	0.22	5.40	0.93	0.06	0.06	0.00	1,770.35	0.10	0.05	0.00				
Dump		0	0	0	0	0.22	5.40	0.93	0.06	0.06	0.00	1,770.35	0.10	0.05	0.00				
<b>Overhead Contact System (OCS)</b>																			
<u>OCS Pole Foundation Construction - Crew #1</u>																			
Employee Vehicle		0	0	0	0	0.02	0.10	0.91	0.00	0.00	0.00	345.16	-	-	17.26				
Flatbed		0	0	0	0	0.22	5.40	0.93	0.06	0.06	0.00	1,770.35	0.10	0.05	0.00				
Dump		0	0	0	0	0.22	5.40	0.93	0.06	0.06	0.00	1,770.35	0.10	0.05	0.00				
<u>OCS Pole Foundation Construction - Crew #2</u>																			
Employee Vehicle		0	0	0	0	0.02	0.10	0.91	0.00	0.00	0.00	345.16	-	-	17.26				
Flatbed		0	0	0	0	0.22	5.40	0.93	0.06	0.06	0.00	1,770.35	0.10	0.05	0.00				
Dump		0	0	0	0	0.22	5.40	0.93	0.06	0.06	0.00	1,770.35	0.10	0.05	0.00				
<u>OCS Pole &amp; Cantilever Installation - Crew #1</u>																			
Employee Vehicle		0	0	0	0	0.02	0.10	0.91	0.00	0.00	0.00	345.16	-	-	17.26				
Flatbed		0	0	0	0	0.22	5.40	0.93	0.06	0.06	0.00	1,770.35	0.10	0.05	0.00				
Dump		0	0	0	0	0.22	5.40	0.93	0.06	0.06	0.00	1,770.35	0.10	0.05	0.00				
<u>OCS Pole &amp; Cantilever Installation - Crew #2</u>																			
Employee Vehicle		10	124	7,440	60	0.02	0.10	0.91	0.00	0.00	0.00	345.16	-	-	17.26				

	Flatbed	1	50	3,000	60	0.22	5.40	0.93	0.06	0.06	0.00	1,770.35	0.10	0.05	0.00
	Dump	0	0	0	0	0.22	5.40	0.93	0.06	0.06	0.00	1,770.35	0.10	0.05	0.00
<b>OCS Wire Installation &amp; Testing</b>															
	Employee Vehicle	2.5	31	4,650	150	0.02	0.10	0.91	0.00	0.00	0.00	345.16	-	-	17.26
	Flatbed	0	0	0	0	0.22	5.40	0.93	0.06	0.06	0.00	1,770.35	0.10	0.05	0.00
	Dump	0	0	0	0	0.22	5.40	0.93	0.06	0.06	0.00	1,770.35	0.10	0.05	0.00
<b>Signal &amp; Grade Crossings</b>															
<u>Signaling Equipment Installation at CPs &amp; Houses</u>															
	Employee Vehicle	0	0	0	0	0.02	0.10	0.91	0.00	0.00	0.00	345.16	-	-	17.26
	Flatbed	0	0	0	0	0.22	5.40	0.93	0.06	0.06	0.00	1,770.35	0.10	0.05	0.00
	Dump	0	0	0	0	0.22	5.40	0.93	0.06	0.06	0.00	1,770.35	0.10	0.05	0.00
<u>Grade Crossing Modifications</u>															
	Employee Vehicle	7.5	93	13,950	150	0.02	0.10	0.91	0.00	0.00	0.00	345.16	-	-	17.26
	Flatbed	1	50	7,500	150	0.22	5.40	0.93	0.06	0.06	0.00	1,770.35	0.10	0.05	0.00
	Dump	0	0	0	0	0.22	5.40	0.93	0.06	0.06	0.00	1,770.35	0.10	0.05	0.00
<b>Communications</b>															
<u>Communication System Installation (incl. SCADA)</u>															
	Employee Vehicle	7.5	93	13,950	150	0.02	0.10	0.91	0.00	0.00	0.00	345.16	-	-	17.26
	Flatbed	1	50	7,500	150	0.22	5.40	0.93	0.06	0.06	0.00	1,770.35	0.10	0.05	0.00
	Dump	0	0	0	0	0.22	5.40	0.93	0.06	0.06	0.00	1,770.35	0.10	0.05	0.00
<b>Integration / Commissioning</b>															
<u>Integration Testing &amp; Commissioning</u>															
	Employee Vehicle	2.5	31	6,510	210	0.02	0.10	0.91	0.00	0.00	0.00	345.16	-	-	17.26
	Flatbed	0	0	0	0	0.22	5.40	0.93	0.06	0.06	0.00	1,770.35	0.10	0.05	0.00
	Dump	0	0	0	0	0.22	5.40	0.93	0.06	0.06	0.00	1,770.35	0.10	0.05	0.00

## Re-Entrained Road Dust Calculations

### Methodology

Calculation Methodology: USEPA AP-42, Paved Roads, Section 13.2.1, Revised January 2011:

<http://www.epa.gov/ttn/chief/ap42/ch13/final/c13s0201.pdf>

Avg Vehicle Weight, Silt Loading, and Precipitation Days (>0.254mm) for BAAQMD

### Emission Factor Calculation

$$E_{ext} = [ k (sL)^{0.91} \times (W)^{1.02} ] (1 - P/4N)$$

Pollutant	Variables					Emission Factor (lbs per VMT)
	k	sL	W	P	N	
PM <sub>10</sub>	0.0022	0.1	2.4	64	365	0.00063
PM <sub>2.5</sub>	0.00054	0.1	2.4	64	365	0.00016

E = particulate emission factor (grams of particulate matter/VMT)

k = particle size multiplier (lb/VMT)

*default from AP-42*

sL = roadway silt loading (g/m<sup>2</sup>)

*Caleemod Default*

W = average weight of vehicles on the road (tons)

*Caleemod Default*

P = number of wet days with > 0.254mm of precipitation

*Caleemod Default for BAAQMD*

N = number of days in the averaging period

*annual days*

Segment/Phase	2015			2016		
	Daily VMT	Daily PM10	Daily PM2.5	Daily VMT	Daily PM10	Daily PM2.5
<b>Utilities</b>	<b>236</b>	<b>0.15</b>	<b>0.04</b>	<b>236</b>	<b>0.15</b>	<b>0.04</b>
Advance Utility Relocation	236	0.15	0.04	236	0.15	0.04
<b>Traction Power Substation Installation</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>541</b>	<b>0.34</b>	<b>0.08</b>
Advance Site Preparation - Traction Power Sites	0	0.00	0.00	174	0.11	0.03
Traction Power Equipment Foundation Construction	0	0.00	0.00	367	0.23	0.06
Traction Power Equipment Installation	0	0.00	0.00	0	0.00	0.00
Traction Power Equipment Testing	0	0.00	0.00	0	0.00	0.00
<b>Overhead Contact System (OCS)</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>634</b>	<b>0.40</b>	<b>0.10</b>
OCS Pole Foundation Construction - Crew #1	0	0.00	0.00	317	0.20	0.05
OCS Pole Foundation Construction - Crew #2	0	0.00	0.00	317	0.20	0.05
OCS Pole & Cantilever Installation - Crew #1	0	0.00	0.00	0	0.00	0.00
OCS Pole & Cantilever Installation - Crew #2	0	0.00	0.00	0	0.00	0.00
OCS Wire Installation & Testing	0	0.00	0.00	0	0.00	0.00
<b>Signal &amp; Grade Crossings</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>112</b>	<b>0.07</b>	<b>0.02</b>

Signaling Equipment Installation at CPs & Houses	0	0.00	0.00	112	0.07	0.02
Grade Crossing Modifications	0	0.00	0.00	0	0.00	0.00
<b>Communications</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>
Communication System Installation (incl. SCADA)	0	0.00	0.00	0	0.00	0.00
<b>Integration / Commissioning</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>
Integration Testing & Commissioning	0	0.00	0.00	0	0.00	0.00
	<b>2017</b>			<b>2018</b>		
Segment/Phase	Daily VMT	Daily PM10	Daily PM2.5	Daily VMT	Daily PM10	Daily PM2.5
<b>Utilities</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>
Advance Utility Relocation	0	0.00	0.00	0	0.00	0.00
<b>Traction Power Substation Installation</b>	<b>367</b>	<b>0.23</b>	<b>0.06</b>	<b>205</b>	<b>0.13</b>	<b>0.03</b>
Advance Site Preparation - Traction Power Sites	0	0.00	0.00	0	0.00	0.00
Traction Power Equipment Foundation Construction	367	0.23	0.06	0	0.00	0.00
Traction Power Equipment Installation	0	0.00	0.00	174	0.11	0.03
Traction Power Equipment Testing	0	0.00	0.00	31	0.02	0.00
<b>Overhead Contact System (OCS)</b>	<b>1,013</b>	<b>0.64</b>	<b>0.16</b>	<b>1,013</b>	<b>0.64</b>	<b>0.16</b>
OCS Pole Foundation Construction - Crew #1	317	0.20	0.05	317	0.20	0.05
OCS Pole Foundation Construction - Crew #2	317	0.20	0.05	317	0.20	0.05
OCS Pole & Cantilever Installation - Crew #1	174	0.11	0.03	174	0.11	0.03
OCS Pole & Cantilever Installation - Crew #2	174	0.11	0.03	174	0.11	0.03
OCS Wire Installation & Testing	31	0.02	0.00	31	0.02	0.00
<b>Signal &amp; Grade Crossings</b>	<b>112</b>	<b>0.07</b>	<b>0.02</b>	<b>143</b>	<b>0.09</b>	<b>0.02</b>
Signaling Equipment Installation at CPs & Houses	112	0.07	0.02	0	0.00	0.00
Grade Crossing Modifications	0	0.00	0.00	143	0.09	0.02
<b>Communications</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>143</b>	<b>0.09</b>	<b>0.02</b>
Communication System Installation (incl. SCADA)	0	0.00	0.00	143	0.09	0.02
<b>Integration / Commissioning</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>
Integration Testing & Commissioning	0	0.00	0.00	0	0.00	0.00
	<b>2019</b>					
Segment/Phase	Daily VMT	Daily PM10	Daily PM2.5			
<b>Utilities</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>			
Advance Utility Relocation	0	0.00	0.00			
<b>Traction Power Substation Installation</b>	<b>205</b>	<b>0.13</b>	<b>0.03</b>			
Advance Site Preparation - Traction Power Sites	0	0.00	0.00			
Traction Power Equipment Foundation Construction	0	0.00	0.00			
Traction Power Equipment Installation	174	0.11	0.03			
Traction Power Equipment Testing	31	0.02	0.00			
<b>Overhead Contact System (OCS)</b>	<b>205</b>	<b>0.13</b>	<b>0.03</b>			
OCS Pole Foundation Construction - Crew #1	0	0.00	0.00			
OCS Pole Foundation Construction - Crew #2	0	0.00	0.00			
OCS Pole & Cantilever Installation - Crew #1	0	0.00	0.00			
OCS Pole & Cantilever Installation - Crew #2	174	0.11	0.03			
OCS Wire Installation & Testing	31	0.02	0.00			

<b>Signal &amp; Grade Crossings</b>	<b>143</b>	<b>0.09</b>	<b>0.02</b>
Signaling Equipment Installation at CPs & Houses	0	0.00	0.00
Grade Crossing Modifications	143	0.09	0.02
<b>Communications</b>	<b>143</b>	<b>0.09</b>	<b>0.02</b>
Communication System Installation (incl. SCADA)	143	0.09	0.02
<b>Integration / Commissioning</b>	<b>31</b>	<b>0.02</b>	<b>0.00</b>
Integration Testing & Commissioning	31	0.02	0.00

### **Grading Calculations**

<b>Phase</b>	<b>SF</b>	<b>Acres</b>	<b>Acres/Day</b>	<b>PM10</b>	<b>PM2.5</b>
Advance Site Preparation - Traction Power Sites	120,960	2.78	0.69	6.94	1.44

## Average Daily Equipment Hours

The maximum daily hours were used to evaluate criteria pollutant emissions. Annual greenhouse gas emissions were evaluated using the following average daily assumptions.

Electrification Activities	Equipment	Ave Hr per day
<b>Utilities</b>		
Advance Utility Relocation	Off-Highway Truck	1.8
	Excavator	1.8
	Tractor/Loader/Backhoe	1.8
	Concrete Industrial Saws	1.2
	Generator Sets	2.4
	Bore/Drill Rigs	1.8
<b>Traction Power Substation Installation</b>		
Advance Site Preparation - Traction Power Sites	Off-Highway Truck	1.8
	Chainsaw	1.2
	Trimmer/Edger/Brush Cutter	1.2
	Chippers/Stump Grinders	1.2
Traction Power Equipment Foundation Construction	Off-Highway Truck	1.8
	Tractor/Loader/Backhoe	1.8
	Rubber Tired Loaders	1.8
	Pumps	2
	Vibratory Plate	2
	Concrete Mixers	4
	Crane	2
Traction Power Equipment Installation	Off-Highway Truck	1.8
	Crane	1.8
	Tractor/Loader/Backhoe	1.8
Traction Power Equipment Testing	Generator Set	4
<b>Overhead Contact System (OCS)</b>		
OCS Pole Foundation Construction - Crew #1	Off-Highway Truck	1.2
	Bore/Drill Rigs	1.8
	Rubber Tired Loaders	2.4
	Generators	2.4
	Pumps	2
	Concrete Mixers	4
	Crane	2
OCS Pole Foundation Construction - Crew #2	Off-Highway Truck	1.2
	Bore/Drill Rigs	1.8
	Rubber Tired Loaders	2.4
	Generators	2.4
	Pumps	2
	Concrete Mixers	4
	Crane	2
OCS Pole & Cantilever Installation - Crew #1	Off-Highway Truck	1.2
	Crane	2.4
	Generator	1.2
	Backhoe	1.2
OCS Pole & Cantilever Installation - Crew #2	Off-Highway Truck	1.2
	Crane	2.4
	Generator	1.2
	Backhoe	1.2
OCS Wire Installation & Testing	On-Track Wire Installation Equipment	4
<b>Signal &amp; Grade Crossings</b>		

<i>Signaling Equipment Installation at CPs &amp; Houses</i>	Off-Highway Truck	1.2
	Generators	2.4
<i>Grade Crossing Modifications</i>	Off-Highway Truck	1.2
	Backhoe	1.2
	Generators	2.4
<b>Communications</b>		
<i>Communication System Installation (incl. SCADA)</i>	Off-Highway Truck	1.2
	Backhoe	1.2
	Generators	2.4
<b>Integration / Commissioning</b>		
<i>Integration Testing &amp; Commissioning</i>	Generators	2.4

## Caltrain Electrification

### Screen Level Health Risk Assessment - Construction

#### Construction Emissions and Emission Rates\*

Construction Phase	PM10 Emissions (tons/year)	PM2.5 Emissions (tons/year)	Average hours/day	Average days/phase	PM10 Emission Rate (g/s)	PM2.5 Emission Rate (g/s)
Utilities (2015)	0.03	0.02	1.8	120	0.03037	0.028
Traction Power Substation Installation (2016)	0.04	0.04	2.0	66.0	0.07562	0.071
Overhead Contact System (OCS) (2017)	0.14	0.13	2.1	215.4	0.07975	0.075
Signal & Grade Crossings (2016)	0.01	0.01	1.7	36.0	0.02206	0.021
Communications (2018)	0.02	0.02	1.6	300.0	0.00972	0.009
Integration / Commissioning (2019)	0.00	0.00	2.4	210	0.00192	0.002

\*DPM emissions are assumed to be equal to PM exhaust emission. Analysis conducted for highest DPM emissions.

#### Construction Health Risk (Table 3.2-8. Maximum Project-Level Health Risks during Construction)

Construction Phase	Max Receptor Distance (m)	Concentrations (AERMOD)			Project-Level Risk		
		Hourly PM10 Concentration (ug/m3)	Hourly PM2.5 Concentration (ug/m3)	Annual DPM Concentration (ug/m3)	Chronic Non- Cancer Hazard Index (HI)	DPM Cancer Risk (per Million)	Annual PM2.5 Concentration (ug/m3)
Utilities (2015)	50	0.19	0.18	0.0193	0.004	0.149	0.000
Traction Power Substation Installation (2016)	50	0.48	0.45	0.0481	0.010	0.372	0.001
Overhead Contact System (OCS) (2017)	50	0.51	0.48	0.0507	0.010	0.392	0.002
Signal & Grade Crossings (2016)	50	0.14	0.13	0.0141	0.003	0.109	0.000
Communications (2018)	50	0.06	0.06	0.0062	0.001	0.048	0.000
Integration / Commissioning (2019)	50	0.01	0.01	0.0012	0.000	0.009	0.000

#### BAAQMD Thresholds

##### Health Risk Calculation Factors

Hourly to Annual Concentration scaling factor 0.1 (per BAAQMD 2007 guidance)  
 Chronic Reference Exposure Level 5 (per OEHHA)

Daily Breath Rate - adult (L/kg-day) 302

Daily Breath Rate - child (L/kg-day) 581

Conversion Factor (mg/ug ) 0.001

Conversion Factor (m3/L ) 0.001

DPM Cancer Potency Factor ([mg/kg-day]<sup>-1</sup>) 1.1

Average Age Sensitivity Factor - residence 1.7

Average Age Sensitivity Factor - office 1

Average Age Sensitivity Factor - school 3

Average Life Time (years) 70

Average Life Time (days) 25550

##### Exposure Frequency

	Hours/day	Days/year	Years
Residence	24	350	70
School	8	200	6
Office	12	280	30

## Carbon Stock Calculations

Common Name	Scientific Name	%	Trees Removed	Carbon Stock Removed (MT CO2)
Acacia	<i>Acacia sp.</i>	6%	134	201.50
Calif. Buckeye	<i>Aesculus californica</i>	0%	1	1.33
Eucalyptus	<i>Eucalyptus sp.</i>	8%	185	348.87
Fig	<i>Ficus sp.</i>	0%	2	1.74
Monterey Cypress	<i>Hesperocyparis macrocarpa</i>	1%	20	16.18
Myoporum	<i>Myoporum sp.</i>	0%	6	7.03
London plane	<i>Platanus x hispanica</i>	3%	73	94.53
Alder	<i>Alnus sp.</i>	0%	3	3.29
Brisbane box	<i>Lophostemon confertus</i>	2%	36	21.63
Mayten	<i>Maytenus boaria</i>	0%	1	0.73
Pine	<i>Pinus sp.</i>	4%	88	86.63
Pittosporum	<i>Pittosporum sp.</i>	0%	7	7.83
Willow	<i>Salix sp.</i>	0%	5	6.66
Pepper	<i>Schinus sp.</i>	2%	48	50.51
Bottlebrush	<i>Melaleuca citrina</i>	0%	2	0.63
Lombardy poplar	<i>Populus nigra</i>	1%	27	56.33
Canary Island Date Palm	<i>Phoenix canariensis</i>	0%	10	3.32
Oak	<i>Quercus sp.</i>	8%	188	410.66
Maple	<i>Acer sp.</i>	0%	6	9.90
Citrus	<i>Citrus sp.</i>	0%	1	1.04
Ash	<i>Fraxinus sp.</i>	1%	21	28.28
Black walnut	<i>Juglans nigra</i>	2%	37	55.60
Glossy privet	<i>Ligustrum lucidum</i>	1%	15	18.26
Purple leaf plum	<i>Prunus cerasifera</i>	0%	2	0.95
Coast redwood	<i>Sequoia sempervirens</i>	10%	227	179.10
Bailey acacia	<i>Acacia baileyana</i>	1%	17	25.82
Blackwood acacia	<i>Acacia melanoxylon</i>	1%	29	44.03
Blue gum	<i>Eucalyptus globulus</i>	0%	7	12.33
Coast live oak	<i>Quercus agrifolia</i>	8%	182	398.42
Monterey pine	<i>Pinus radiata</i>	0%	10	9.50
Mulberry	<i>Morus alba</i>	0%	0	0.24
Plum	<i>Prunus domestica</i>	0%	1	2.86
River red gum	<i>Eucalyptus camaldulensis</i>	0%	0	0.37
Valley oak	<i>Quercus lobata</i>	0%	11	23.44
Carrotwood	<i>Cupaniopsis anacardioides</i>	0%	1	0.72
Melaleuca	<i>Melaleuca sp.</i>	3%	73	41.46
Palm	<i>Phoenix sp. or Washingtonia</i>	1%	23	7.85
African sumac	<i>Rhus lancea</i>	0%	5	4.75
Zelkova	<i>Zelkova sp.</i>	0%	1	1.80
Canary Island palm	<i>Phoenix canariensis</i>	0%	4	1.24
Canary island pine	<i>Pinus canariensis</i>	0%	1	0.95
Pear	<i>Pyrus sp.</i>	2%	54	89.69
Evergreen pear	<i>Pyrus kawakamii</i>	1%	13	21.42
She-oak	<i>Casuarina sp.</i>	0%	5	10.80
Italian cypress	<i>Cupressus sempervirens</i>	2%	46	36.22
Dragon tree	<i>Dracaena draco</i>	0%	1	1.84
Locust	<i>Robinia sp.</i>	0%	5	10.09
Redwood	<i>Sequoia sempervirens</i>	0%	4	3.49
Elm	<i>Ulmus sp.</i>	0%	7	9.52
Crape myrtle	<i>Lagerstroemia indica</i>	2%	37	33.56
Camphor	<i>Cinnamomum camphora</i>	2%	35	40.20
Sweetgum	<i>Liquidambar styraciflua</i>	3%	60	67.37
Tree of heaven	<i>Ailanthus altissima</i>	4%	96	120.16
Hackberry	<i>Celtis occidentalis</i>	0%	1	3.04

Hawthorn	<i>Crataegus sp.</i>	0%	3	8.04
Southern magnolia	<i>Magnolia grandiflora</i>	0%	3	4.37
Chinese pistache	<i>Pistacia chinensis</i>	0%	7	5.26
American elm	<i>Ulmus americana</i>	0%	3	6.18
California black walnut	<i>Juglans hindsii</i>	0%	1	1.55
Callery pear	<i>Pyrus calleryana</i>	0%	1	1.72
Compact blue gum	<i>Eucalyptus globulus</i>	0%	6	11.78
Italian buckthorn	<i>Rhamnus alaternus</i>	0%	2	2.61
Pittosporum sp.	<i>Pittosporum sp.</i>	0%	9	9.96
Podocarpus	<i>Podocarpus sp.</i>	1%	20	5.62
Arizona cypress	<i>Cupressus arizonica</i>	0%	1	1.34
California black	n/a	0%	0	0.00
English walnut	<i>Juglans regia</i>	0%	3	3.96
Italian stone pine	<i>Pinus pinea</i>	0%	1	0.91
Fremont	n/a	0%	0	0.00
cottonwood	<i>Populus fremontii</i>	0%	1	2.10
Portuguese laurel	<i>Prunus lusitanica</i>	0%	2	1.53
Holly Oak	<i>Quercus ilex</i>	0%	1	2.34
n/a	<i>Robinia x ambigua</i>	0%	0	0.00
Windmill palm	<i>Trachycarpus fortunei</i>	0%	1	0.37
California bay	<i>Umbellularia californica</i>	0%	3	4.02
Casuarina	<i>Casuarina sp.</i>	0%	1	1.57
Walnut	<i>Juglans sp.</i>	0%	5	8.08
Fremont cottonwood	<i>Populus fremontii</i>	0%	4	7.14
Chinese elm	<i>Ulmus parvifolia</i>	1%	15	21.14
Deodar cedar	<i>Cedrus deodara</i>	0%	9	6.34
Carob	<i>Ceratonia siliqua</i>	0%	7	7.28
Blue gum	<i>Eucalyptus globulus</i>	0%	7	12.33
Compacta	n/a	0%	2	2.73
Manna gum	n/a	0%	2	2.43
Juniper	n/a	0%	1	1.37
Glossy privet	n/a	0%	2	2.73
Austrian pine	n/a	0%	7	5.58
Monterey pine	n/a	0%	2	2.16
Victorian box	n/a	0%	2	2.32
Almond	n/a	0%	1	1.37
Coast Live Oak	n/a	3%	57	124.00
Holly Oak	n/a	0%	4	9.54
California pepper	n/a	0%	2	2.30
Jacaranda	<i>Jacaranda mimosifolia</i>	1%	24	9.95
Olive	<i>Olea europaea</i>	0%	3	1.98
Silk tree	<i>Albizia julibrissin</i>	0%	10	12.98
Strawberry tree	<i>Arbutus unedo</i>	0%	5	6.49
Loquat	<i>Eriobotrya sp.</i>	0%	5	6.49
Australian willow	<i>Geijera parviflora</i>	1%	16	23.18
Bottle brush	<i>Melaleuca citrina</i>	3%	73	28.24
Madrone	<i>Arbutus menziesii</i>	0%	2	2.51
Fruit	<i>Prunus sp.</i>	0%	3	6.91
Box elder	<i>Acer negundo</i>	0%	2	2.77
Pistache	<i>Pistacia sp.</i>	0%	2	1.23
Elderberry	<i>Sambucus sp.</i>	0%	2	2.05
Total			2,220	3,035

## Construction Results (Criteria Pollutants and GHGs)

**Table 1. Maximum Unmitigated Construction Emissions (pounds per day)**

Year	ROG	NO <sub>x</sub>	CO	PM10		PM2.5	
				Exhaust	Dust	Exhaust	Dust
2015	1	13	7	1	0	1	0
2016	3	39	45	1	7	1	2
2017	6	<u>75</u>	36	3	1	3	0
2018	5	<u>60</u>	33	3	1	2	0
2019	3	32	21	1	0	1	0
Threshold	54	54	-	82	BMPs	54	BMPs

**Table 2. Construction GHG Emissions (metric tons CO<sub>2</sub>e)**

Construction Phase	2015	2016	2017	2018	2019	Phase Total
Utilities	105	42	0	0	0	146
Traction Power Substation Installation	0	157	211	153	67	589
Overhead Contact System	0	105	601	434	38	1178
Signal and At-Grade Crossings	0	19	31	56	34	140
Communications	0	0	0	83	33	115
Integration / Commissioning	0	0	0	0	13	13
<b>Construction Subtotal</b>	<b>105</b>	<b>323</b>	<b>844</b>	<b>726</b>	<b>184</b>	<b>2,181</b>
<i>Loss of carbon stock due to tree removal (one-time loss)</i>						3,035
<b>Construction Total</b>	<b>105</b>	<b>323</b>	<b>844</b>	<b>726</b>	<b>184</b>	<b>5,216</b>

## **Operational Assumptions and Calculations**

### **Criteria Pollutants and Greenhouse Gases**

Locomotive fuel consumption data for existing conditions, the Proposed Project and No Project scenarios were provided by JPB (Cocke pers. comm.) and regional vehicle miles traveled (VMT) in the study area were provided by Santa Clara Valley Transportation Authority travel forecasting model (see Appendix I). Criteria pollutants and GHGs generated by locomotive fuel consumption were estimated using emission factors obtained from the EPA and Climate Registry. Mass emissions from changes in regional VMT and onroad fuel consumption were quantified using the Caltrans' CT-EMFAC emissions model. GHG emissions associated with electricity generation and transmission were calculated based on expected energy demand and utility emission factors published by Pacific Gas and Electric Company (2013), Delucchi (2003), and CalEEMod.

### **Carbon Sequestration (Tree Removal and Planting)**

The *Draft Tree Inventory and Canopy Assessment* (HortScience 2014, see Appendix F) identifies approximately 2,200 trees that would need to be removed as a result of Proposed Project construction. Removal of these trees would result in an annual loss of CO<sub>2</sub> that would have been sequestered through photosynthesis. Carbon sequestration values for the impacted trees were obtained from the U.S. Forest Service's (2011) CTCC for each tree species. An average diameter breast height (DBH) of 16 inches was assumed for all trees, based on the tree inventory. Lost CO<sub>2</sub> sequestration was calculated by multiplying the TCC values by the number of removed trees.

Pursuant to Mitigation Measure BIO-5 in Section 3.3, *Biological Resources*, the 2,200 trees removed by the Proposed Project would, for the most part, be replaced either on-site or off-site. While replacement requirements vary, on an overall project basis, Mitigation Measure BIO-5 should result in somewhat more than 2,200 trees being planted but for this analysis it was assumed that 2,200 trees would be planted as a conservative assumption. Newly planted trees would sequester CO<sub>2</sub>, but at a relatively slow rate until they mature. It was assumed that the trees would be planted in 2019. Annual CO<sub>2</sub> sequestration was estimated in 2020 and 2040, assuming the trees would be 1-year and 21-years old, respectively. The net effect on GHG emissions under 2020 and 2040 conditions represents the difference between the CO<sub>2</sub> sequestration rates for the removed and planted trees.

### Fuel and Electricity Consumption

Condition	Description	Diesel Consumption (gal/yr)	Electricity (kWh/yr)	Diesel Consumption (gal/day)	Electricity (kWh/day)
Existing/ 2020 No Project	All diesel	4,452,984	3,945,021	14,944	13,239
Project/ 2040 No Project	9 diesel locomotives 96 EMUs 45 trailer cars 6 diesel locomotives	1,124,048	83,131,139	4,323	319,735
2020 Project	146,615	104,855,697	564	403,291	
2040 Caltrain Full Elect 138 to 150 EMUs 31 trailer cars					

Source: Caltrain Operations

## Regional VMT Calculations

Speed,	2020 Project (Net)			2040 Caltrain Full Electrification (Net)		
	Peak	Off-peak	Daily	Peak	Off-peak	Daily
5 mph	-309	1,288	979	-35,915	-10,513	-46,428
10 mph	-5,260	-2,456	-7,716	-13,230	4,146	-9,084
15 mph	-24,534	-7,858	-32,392	-134,085	9,389	-124,696
20 mph	36,251	9,986	46,237	-34,720	-15,946	-50,666
25 mph	-91,214	-10,203	-101,417	-188,961	18,382	-170,579
30 mph	-90,101	25,813	-64,288	-110,861	-36,065	-146,926
35 mph	31,847	-23,413	8,434	59,458	-94,249	-34,791
40 mph	-332,910	-8,954	-341,864	-82,254	81,769	-485
45 mph	258,111	-2,158	255,953	147,545	-100,049	47,496
50 mph	18,832	-40,240	-21,408	-62,831	33,483	-29,348
55 mph	32,917	-10,300	22,617	200,962	182,213	383,175
60 mph	-56,364	87,567	31,203	-375,363	-165,788	-541,151
65 mph	35,129	-66,048	-30,919	112,954	-8,378	104,576
All	<b>-187,605</b>	<b>-46,976</b>	<b>-234,581</b>	<b>-517,301</b>	<b>-101,606</b>	<b>-618,907</b>

Source: Fehr & Peers Transportation Analysis, 2014 (see Appendix D)

## 2020 Project NET Emissions (lbs/day and MT/year)

Speed	Peak						Off Peak						Total					
	ROG	NOx	CO	PM10	PM2.5	CO2	ROG	NOx	CO	PM10	PM2.5	CO2	ROG	NOx	CO	PM10	PM2.5	CO2
5 mph	0	-1	-3	0	0	-149	2	4	14	0	0	619	1	3	10	0	0	471
10 mph	-6	-12	-46	-1	-1	-1,900	-3	-6	-22	0	0	-887	-9	-18	-68	-1	-1	-2,786
15 mph	-22	-45	-181	-4	-2	-6,840	-7	-14	-58	-1	-1	-2,191	-29	-60	-239	-5	-3	-9,030
20 mph	27	55	230	5	3	8,057	7	15	63	1	1	2,220	35	70	294	7	3	10,277
25 mph	-62	-128	-515	-12	-6	-16,999	-7	-14	-58	-1	-1	-1,901	-69	-143	-572	-14	-7	-18,900
30 mph	-57	-119	-460	-12	-6	-14,597	16	34	132	3	2	4,182	-41	-85	-328	-8	-4	-10,415
35 mph	19	40	150	4	2	4,639	-14	-30	-110	-3	-1	-3,411	5	11	40	1	1	1,229
40 mph	-194	-411	-1,466	-43	-20	-45,145	-5	-11	-39	-1	-1	-1,214	-200	-422	-1,506	-44	-21	-46,360
45 mph	148	315	1,087	33	16	33,745	-1	-3	-9	0	0	-282	147	313	1,078	33	16	33,462
50 mph	11	23	77	2	1	2,453	-23	-49	-166	-5	-3	-5,241	-12	-26	-88	-3	-1	-2,788
55 mph	19	42	136	4	2	4,425	-6	-13	-43	-1	-1	-1,385	13	29	94	3	1	3,041
60 mph	-34	-74	-243	-8	-4	-8,048	52	115	377	12	6	12,503	19	41	134	4	2	4,455
65 mph	22	47	164	5	2	5,523	-41	-89	-309	-9	-5	-10,385	-19	-42	-144	-4	-2	-4,861
All	-129	-268	-1,069	-25	-13	-34,834	-30	-62	-227	-6	-3	-7,372	-159	-330	-1,296	-32	-16	-42,207

## 2040 2040 Caltrain Full Electrification (lbs/day and MT/year)

Speed	Peak						Off Peak						Total					
	ROG	NOx	CO	PM10	PM2.5	CO2	ROG	NOx	CO	PM10	PM2.5	CO2	ROG	NOx	CO	PM10	PM2.5	CO2
5 mph	-55	-109	-379	-7	-5	-17,266	-16	-32	-111	-2	-1	-5,054	-71	-140	-490	-9	-6	-22,321
10 mph	-15	-31	-116	-2	-1	-4,778	5	10	36	1	0	1,497	-11	-21	-80	-2	-1	-3,280
15 mph	-121	-247	-988	-21	-12	-37,381	9	17	69	1	1	2,618	-113	-230	-919	-19	-11	-34,763
20 mph	-26	-53	-221	-5	-3	-7,717	-12	-24	-101	-2	-1	-3,544	-38	-77	-322	-7	-4	-11,261
25 mph	-128	-266	-1,066	-26	-13	-35,215	12	26	104	2	1	3,426	-116	-240	-963	-23	-12	-31,790
30 mph	-70	-147	-566	-15	-7	-17,960	-23	-48	-184	-5	-2	-5,843	-93	-195	-751	-19	-10	-23,802
35 mph	36	75	280	8	4	8,661	-57	-120	-443	-12	-6	-13,729	-21	-44	-164	-4	-2	-5,068
40 mph	-48	-102	-362	-11	-5	-11,154	48	101	360	10	5	11,089	0	-1	-2	0	0	-66
45 mph	85	180	621	19	9	19,290	-57	-122	-421	-13	-6	-13,080	27	58	200	6	3	6,209
50 mph	-36	-77	-259	-8	-4	-8,183	19	41	138	4	2	4,361	-17	-36	-121	-4	-2	-3,822
55 mph	116	254	832	26	13	27,016	106	230	754	24	12	24,496	222	484	1,586	50	25	51,512
60 mph	-224	-492	-1,616	-50	-25	-53,596	-99	-217	-714	-22	-11	-23,672	-323	-709	-2,330	-72	-36	-77,268
65 mph	70	153	528	16	8	17,760	-5	-11	-39	-1	-1	-1,317	65	141	489	14	7	16,443
All	-416	-860	-3,313	-76	-41	-120,523	-71	-149	-553	-14	-7	-18,754	-487	-1,009	-3,866	-90	-48	-139,277

## Re-entrained Paved Road Dust Emission Factor Calculation

### Methodology

Calculation Methodology: USEPA AP-42, Paved Roads, Section 13.2.1, Revised January 2011:

<http://www.epa.gov/ttn/chief/ap42/ch13/final/c13s0201.pdf>

Avg Vehicle Weight, Silt Loading, and Precipitation Days greater than 0.254mm for Santa Clara County

### Emission Factor Calculation

Pollutant	Variables					Emission Factor (lbs per VMT)
	k	sL	W	P	N	
PM <sub>10</sub>	0.0022	0.1	2.4	56	365	0.00064
PM <sub>2.5</sub>	0.00054	0.1	2.4	56	365	0.00016

E = particulate emission factor (grams of particulate matter/VMT)

k = particle size multiplier (lb/VMT)

*default from AP-42*

sL = roadway silt loading (g/m<sup>2</sup>)

*Caleemod Default*

W = average weight of vehicles on the road (tons)

*Caleemod Default*

P = number of wet days with at least 0.254mm of precipitation

*Caleemod Default for Santa Clara County*

N = number of days in the averaging period

*annual days (365)*

Condition	Daily VMT	PM10	PM2.5
Existing (2013)	0	0	0
2020 No Project	0	0	0
2020 Project	-234,581	-149	-37
2040 No Project	0	0	0
2040 Caltrain Full Electrification	-618,907	-393	-97

### Locomotive Emission Factors

Pollutant	Grams/Gallon			Source
	2013	2020	2040	
HC	6.9	3.1	0.5	EPA 2009 (Table 7)
ROG	7.3	3.3	0.5	Author calculation based on EPA 2009.
NOx	147	93	23	EPA 2009 (Table 5)
CO	26.6	26.6	26.6	EPA 2009 (Tables 1 and 3)
PM10	3.9	2.1	0.3	EPA 2009 (Table 6)
PM2.5	3.8	2.0	0.3	Author calculation based on EPA 2009.
CO2	10,210	10,210	10,210	The Climate Registry 2013 (Table 12.1)
CH4	0.8	0.8	0.8	The Climate Registry 2013 (Table 13.7)
N2O	0.26	0.26	0.26	The Climate Registry 2013 (Table 13.7)

## **Electricity Emission Factors**

### **Existing Emission Factors**

CO2	431 lbs/MWh	PG&E 2013
CH4	0.0290 lb/MWh	CalEEMod
N2O	0.0062 lb/MWh	CalEEMod
SF6	0.0002 lb/MWh	ARB 2013; CEC 2013
NMHC	0.0097 g/kWh	Delucchi 2003; PG&E 2004; PG&E 2010
NOx	0.2083 g/kWh	Delucchi 2003; PG&E 2004; PG&E 2010
CO	0.1709 g/kWh	Delucchi 2003; PG&E 2004; PG&E 2010
PM10	0.0102 g/kWh	Delucchi 2003; PG&E 2004; PG&E 2010

### **RPS Adjusted (2020+)**

CO2	290 lbs/MWh	PG&E 2013
CH4	0.0200 lb/MWh	CalEEMod; CEC 2012
N2O	0.0043 lb/MWh	CalEEMod; CEC 2012
SF6	0.0002 lb/MWh	ARB 2013; CEC 2013
NMHC	0.0065 g/kWh	Delucchi 2003; PG&E 2010
NOx	0.1398 g/kWh	Delucchi 2003; PG&E 2010
CO	0.1147 g/kWh	Delucchi 2003; PG&E 2010
PM10	0.0068 g/kWh	Delucchi 2003; PG&E 2010

## Carbon Sequestration Calculations

Common Name	Scientific Name	% Common Name	Number of Trees	2020 Sequestration (MT CO2)		2040 Sequestration (MT CO2)	
				Removed (Lost)	Removed (Gained)	Removed (Lost)	Removed (Gained)
Acacia	<i>Acacia sp.</i>	6%	134	18.36	0.08	18.36	6.83
Calif. Buckeye	<i>Aesculus californica</i>	0%	1	0.09	0.00	0.09	0.07
Eucalyptus	<i>Eucalyptus sp.</i>	8%	185	43.47	0.13	43.47	51.22
Fig	<i>Ficus sp.</i>	0%	2	0.10	0.00	0.10	0.10
Monterey Cypress	<i>Hesperocyparis macrocarpa</i>	1%	20	2.09	0.00	2.09	2.36
Myoporum	<i>Myoporum sp.</i>	0%	6	0.47	0.02	0.47	0.36
London plane	<i>Platanus x hispanica</i>	3%	73	7.16	0.01	7.16	4.76
Alder	<i>Alnus sp.</i>	0%	3	0.22	0.01	0.22	0.17
Brisbane box	<i>Lophostemon confertus</i>	2%	36	0.00	0.16	0.00	0.46
Mayten	<i>Maytenus boaria</i>	0%	1	0.05	0.00	0.05	0.04
Pine	<i>Pinus sp.</i>	4%	88	9.14	0.02	9.14	7.05
Pittosporum	<i>Pittosporum sp.</i>	0%	7	0.00	0.01	0.00	0.23
Willow	<i>Salix sp.</i>	0%	5	0.44	0.02	0.44	0.34
Pepper	<i>Schinus sp.</i>	2%	48	3.26	0.13	3.26	1.64
Bottlebrush	<i>Melaleuca citrina</i>	0%	2	0.00	0.00	0.00	0.01
Lombardy poplar	<i>Populus nigra</i>	1%	27	4.42	0.21	4.42	3.42
Canary Island Date Palm	<i>Phoenix canariensis</i>	0%	10	0.00	0.00	0.00	0.00
Oak	<i>Quercus sp.</i>	8%	188	33.25	0.36	33.25	24.61
Maple	<i>Acer sp.</i>	0%	6	0.51	0.01	0.51	0.13
Citrus	<i>Citrus sp.</i>	0%	1	0.07	0.00	0.07	0.05
Ash	<i>Fraxinus sp.</i>	1%	21	1.94	0.00	1.94	0.74
Black walnut	<i>Juglans nigra</i>	2%	37	3.52	0.00	3.52	2.36
Glossy privet	<i>Ligustrum lucidum</i>	1%	15	1.22	0.06	1.22	0.94
Purple leaf plum	<i>Prunus cerasifera</i>	0%	2	0.00	0.00	0.00	0.03
Coast redwood	<i>Sequoia sempervirens</i>	10%	227	23.14	0.04	23.14	26.10
Bailey acacia	<i>Acacia baileyana</i>	1%	17	2.35	0.01	2.35	0.87
Blackwood acacia	<i>Acacia melanoxylon</i>	1%	29	4.01	0.02	4.01	1.49
Blue gum	<i>Eucalyptus globulus</i>	0%	7	1.54	0.00	1.54	1.81
Coast live oak	<i>Quercus agrifolia</i>	8%	182	32.26	0.35	32.26	23.88
Monterey pine	<i>Pinus radiata</i>	0%	10	1.00	0.00	1.00	0.77
Mulberry	<i>Morus alba</i>	0%	0	0.02	0.00	0.02	0.01
Plum	<i>Prunus domestica</i>	0%	1	0.19	0.00	0.19	0.05
River red gum	<i>Eucalyptus camaldulensis</i>	0%	0	0.05	0.00	0.05	0.05
Valley oak	<i>Quercus lobata</i>	0%	11	1.90	0.02	1.90	1.40
Carrotwood	<i>Cupaniopsis anacardioides</i>	0%	1	0.00	0.00	0.00	0.02
Melaleuca	<i>Melaleuca sp.</i>	3%	73	3.40	0.03	3.40	2.53

Palm	<i>Phoenix sp. or Washingtonia</i>	1%	23	0.00	0.00	0.00	0.00
African sumac	<i>Rhus lancea</i>	0%	5	0.36	0.00	0.36	0.22
Zelkova	<i>Zelkova sp.</i>	0%	1	0.12	0.01	0.12	0.09
Canary Island palm	<i>Phoenix canariensis</i>	0%	4	0.00	0.00	0.00	0.00
Canary island pine	<i>Pinus canariensis</i>	0%	1	0.09	0.00	0.09	0.09
Pear	<i>Pyrus sp.</i>	2%	54	9.04	0.14	9.04	8.27
Evergreen pear	<i>Pyrus kawakamii</i>	1%	13	1.64	0.08	1.64	0.95
She-oak	<i>Casuarina sp.</i>	0%	5	0.87	0.01	0.87	0.65
Italian cypress	<i>Cupressus sempervirens</i>	2%	46	4.68	0.01	4.68	5.28
Dragon tree	<i>Dracaena draco</i>	0%	1	0.12	0.01	0.12	0.09
Locust	<i>Robinia sp.</i>	0%	5	0.80	0.01	0.80	0.50
Redwood	<i>Sequoia sempervirens</i>	0%	4	0.45	0.00	0.45	0.51
Elm	<i>Ulmus sp.</i>	0%	7	0.50	0.01	0.50	0.39
Crape myrtle	<i>Lagerstroemia indica</i>	2%	37	1.34	0.07	1.34	0.15
Camphor	<i>Cinnamomum camphora</i>	2%	35	2.12	0.09	2.12	0.41
Sweetgum	<i>Liquidambar styraciflua</i>	3%	60	4.37	0.01	4.37	3.03
Tree of heaven	<i>Ailanthus altissima</i>	4%	96	8.02	0.36	8.02	6.18
Hackberry	<i>Celtis occidentalis</i>	0%	1	0.13	0.05	0.13	0.13
Hawthorn	<i>Crataegus sp.</i>	0%	3	0.24	0.00	0.24	0.15
Southern magnolia	<i>Magnolia grandiflora</i>	0%	3	0.29	0.01	0.29	0.23
Chinese pistache	<i>Pistacia chinensis</i>	0%	7	0.00	0.00	0.00	0.10
American elm	<i>Ulmus americana</i>	0%	3	0.41	0.06	0.41	0.29
California black walnut	<i>Juglans hindsii</i>	0%	1	0.10	0.00	0.10	0.07
Callery pear	<i>Pyrus calleryana</i>	0%	1	0.17	0.00	0.17	0.16
Compact blue gum	<i>Eucalyptus globulus</i>	0%	6	1.47	0.00	1.47	1.73
Italian buckthorn	<i>Rhamnus alaternus</i>	0%	2	0.17	0.01	0.17	0.13
Pittosporum sp.	<i>Pittosporum sp.</i>	0%	9	0.00	0.01	0.00	0.29
Podocarpus	<i>Podocarpus sp.</i>	1%	20	0.00	0.01	0.00	0.13
Arizona cypress	<i>Cupressus arizonica</i>	0%	1	0.09	0.00	0.09	0.07
California black	n/a	0%	0	0.00	0.00	0.00	0.00
English walnut	<i>Juglans regia</i>	0%	3	0.26	0.01	0.26	0.20
Italian stone pine	<i>Pinus pinea</i>	0%	1	0.11	0.00	0.11	0.11
Fremont	n/a	0%	0	0.00	0.00	0.00	0.00
cottonwood	<i>Populus fremontii</i>	0%	1	0.18	0.01	0.18	0.18
Portuguese laurel	<i>Prunus lusitanica</i>	0%	2	0.09	0.00	0.09	0.09
Holly Oak	<i>Quercus ilex</i>	0%	1	0.19	0.00	0.19	0.14
n/a	<i>Robinia x ambigua</i>	0%	0	0.00	0.00	0.00	0.00
Windmill palm	<i>Trachycarpus fortunei</i>	0%	1	0.00	0.00	0.00	0.00
California bay	<i>Umbellularia californica</i>	0%	3	0.27	0.01	0.27	0.21
Casuarina	<i>Casuarina sp.</i>	0%	1	0.08	0.00	0.08	0.03
Walnut	<i>Juglans sp.</i>	0%	5	0.51	0.00	0.51	0.34

Fremont cottonwood	<i>Populus fremontii</i>	0%	4	0.61	0.02	0.61	0.63
Chinese elm	<i>Ulmus parvifolia</i>	1%	15	1.11	0.01	1.11	0.88
Deodar cedar	<i>Cedrus deodara</i>	0%	9	0.73	0.00	0.73	0.70
Carob	<i>Ceratonia siliqua</i>	0%	7	0.52	0.00	0.52	0.28
Blue gum	<i>Eucalyptus globulus</i>	0%	7	1.54	0.00	1.54	1.81
Compacta	n/a	0%	2	0.18	0.01	0.18	0.14
Manna gum	n/a	0%	2	0.16	0.00	0.16	0.11
Juniper	n/a	0%	1	0.09	0.00	0.09	0.07
Glossy privet	n/a	0%	2	0.18	0.01	0.18	0.14
Austrian pine	n/a	0%	7	0.43	0.01	0.43	0.27
Monterey pine	n/a	0%	2	0.23	0.00	0.23	0.18
Victorian box	n/a	0%	2	0.00	0.00	0.00	0.07
Almond	n/a	0%	1	0.09	0.00	0.09	0.07
Coast Live Oak	n/a	3%	57	10.04	0.11	10.04	7.43
Holly Oak	n/a	0%	4	0.77	0.01	0.77	0.57
California pepper	n/a	0%	2	0.15	0.01	0.15	0.07
Jacaranda	<i>Jacaranda mimosifolia</i>	1%	24	0.00	0.04	0.00	0.20
Olive	<i>Olea europaea</i>	0%	3	0.08	0.00	0.08	0.07
Silk tree	<i>Albizia julibrissin</i>	0%	10	0.87	0.04	0.87	0.67
Strawberry tree	<i>Arbutus unedo</i>	0%	5	0.43	0.02	0.43	0.33
Loquat	<i>Eriobotrya sp.</i>	0%	5	0.43	0.02	0.43	0.33
Australian willow	<i>Geijera parviflora</i>	1%	16	1.68	0.00	1.68	1.56
Bottle brush	<i>Melaleuca citrina</i>	3%	73	0.00	0.01	0.00	0.43
Madrone	<i>Arbutus menziesii</i>	0%	2	0.17	0.01	0.17	0.13
Fruit	<i>Prunus sp.</i>	0%	3	0.46	0.00	0.46	0.12
Box elder	<i>Acer negundo</i>	0%	2	0.24	0.08	0.24	0.26
Pistache	<i>Pistacia sp.</i>	0%	2	0.00	0.00	0.00	0.02
Elderberry	<i>Sambucus sp.</i>	0%	2	0.14	0.01	0.14	0.11
Total			<b>2,220</b>	<b>260</b>	<b>3</b>	<b>260</b>	<b>216</b>

## Operational Results (Criteria Pollutants and GHGs)

**Table 1. Estimated Operational Emissions (pounds per day)**

Condition	ROG	NOx	CO	PM10	PM2.5
<b>Existing (2013)</b>					
Caltrain Diesel Consumption	239	4,843	877	128	125
Caltrain Electricity Consumption	0	6	5	0	0
Total Caltrain System Emissions	240	4,849	882	129	125
<b>No Project (2020)</b>					
Caltrain Diesel Consumption	108	3,064	877	69	67
Caltrain Electricity Consumption	0	4	3	0	0
Total Caltrain System Emissions	108	3,068	880	69	67
<b>Project (2020)</b>					
Caltrain Diesel Consumption	31	886	254	20	19
Caltrain Electricity Consumption	5	99	81	5	5
Total Caltrain System Emissionsa	36	985	335	25	24
<i>Change in VMT emissions</i>	-159	-330	-1,296	-181	-53
Total Project Emissions	-123	655	-961	-156	-28
<b>No Project (2040)</b>					
Caltrain Diesel Consumption	17	758	877	10	10
Caltrain Electricity Consumption	0	4	3	0	0
Total Caltrain System Emissions	18	762	880	10	10
<b>Project with Full Electrification (2040)</b>					
Caltrain Diesel Consumption	1	29	33	0	0
Caltrain Electricity Consumption	6	124	135	6	6
Total Caltrain System Emissions	6	153	135	6	6
<i>Change in VMT Emissions</i>	-487	-1,009	-3,866	-483	-145
Total Project Emissions	-481	-856	-3,731	-477	-138
<b>Comparisons</b>					
2020 Caltrain System vs. Existing (2013)	-204	-3,864	-547	-104	-101
2040 Caltrain System with Full Electrification vs. Existing (2013)	-233	-4,696	-747	-122	-118
2020 Project vs. 2020 No Project	-231	-2,413	-1,842	-225	-96
2040 Project with Full Electrification vs. 2040 No Project	-498	-1,618	-4,611	-487	-148
<b>BAAQMD Thresholds</b>	<b>54</b>	<b>54</b>	--	<b>82</b>	<b>54</b>

**Table 2. Estimated Operational Emissions (metric tons per year)**

Condition	CO <sub>2</sub> e
<b>Existing (2013)</b>	
Caltrain Diesel Consumption	45,899
Caltrain Electricity Consumption	785
Total Caltrain System Emissions	46,684
<b>No Project (2020)</b>	
Caltrain Diesel Consumption	45,899
Caltrain Electricity Consumption	531
Total Caltrain System Emissions	46,430
<b>Project (2020)</b>	
Caltrain Diesel Consumption	11,586
Caltrain Electricity Consumption	11,192
Total Caltrain System Emissions	22,778
<i>Change in VMT from Increased Ridership</i>	-44,317
<i>Emissions due to loss in carbon sequestration due to tree removal</i>	260
Total Project Emissions	-21,279
<b>Cumulative No Build (2040)</b>	
Caltrain Diesel Consumption	45,899
Caltrain Electricity Consumption	531
Total Caltrain System Emissions	46,430
<b>Cumulative Project (2040)</b>	
Caltrain Diesel Consumption	1,511
Caltrain Electricity Consumption	14,117
Total Caltrain System Emissions	15,628
<i>Change in VMT from Increased Ridership</i>	-146,241
<i>Emissions due to loss in carbon sequestration due to tree removal</i>	260
Total Project Emissions	-130,353
2020 Caltrain System vs. Existing (2013)	-23,906
2040 Caltrain System with Full Electrification vs. Existing (2013)	-31,056
2020 Project vs. 2020 No Project	-67,709
2040 Project with Full Electrification vs. 2020 No Project	-176,783
Thresholds	1,100/10,000

## **Diesel Multiple Unit (DMU) Assumptions and Calculations**

Criteria pollutant and GHG emissions resulting from DMU operation were estimated using emission factors obtained from the EPA (2009). It was assumed that the DMU Alternative would result in the same increased ridership as the Proposed Project for 2020 but only 75 percent of the increased ridership as the Proposed Project in 2040 because the DMU Alternative would end at the San Francisco 4<sup>th</sup> and King Station, while the Proposed Project would terminate at the San Francisco Transbay Terminal Center downtown. Fuel consumption under DMU Alternative was estimated using a fuel consumption factor of 3.9 gallons per mile (EOT 2008). This value is consistent with published literature for similar 8-car DMUs. Emissions associated with the Gilroy shuttle and changes in regional VMT were assumed to remain consistent with what was analyzed for the Proposed Project.

### Assumptions

Single Level DMU Fuel Consumption	3.9	gallons/mile	Jacobs 2008
2020 trips per day (SF-SJ weekday)	4,500	miles/day	Calculated based on Proposed Project EMU trips/day
2020 trips per year (SF-SJ)	1,528,100	miles/year	Calculated based on Proposed Project EMU trips/day
2040 trips per day (SF-SJ weekday)	5,700	miles/day	Calculated based on Proposed Project EMU trips/day
2040 trips per year (SF-SJ)	1,841,300	miles/year	Calculated based on Proposed Project EMU trips/day
Non-Revenue Factor	1.00	-	Fuel consumption factor includes non-revenue moves and idling time
HC Emissions	0.04	g/bhp-hr	EPA 2009
NOx Emissions	1	g/bhp-hr	EPA 2009
CO Emissions	1.28	g/bhp-hr	EPA 2009
PM10 Emissions	0.015	g/bhp-hr	EPA 2009
Bhp-Gal Conversion	20.8	bhp-hr/gal	EPA 2009
VOC/HC Conversion	1.053	-	EPA 2009
PM2.5/PM10 Conversion	0.97	-	EPA 2009
ROG Emission Factor	0.9	g/gal	Calculated based on EPA 2009
NOx Emission Factor	20.8	g/gal	Calculated based on EPA 2009
CO Emission Factor	26.6	g/gal	Calculated based on EPA 2009
PM10 Emission Factor	0.3	g/gal	Calculated based on EPA 2009
PM25 Emission Factor	0.3	g/gal	Calculated based on EPA 2009
CO2 Emission Factor	10,210	g/gal	Climate Registry 2013
CH4 Emission Factor	0.8	g/gal	Climate Registry 2013
N2O Emission Factor	0.3	g/gal	Climate Registry 2013

Condition	Pounds per Day						Metric tons/ year		
	ROG	NOx	CO	PM10	PM2.5	CO2	CH4	N2O	CO2e
Existing (2013)	239	4,843	877	128	125	45,465	4	1	45,899
2020 No Build	108	3,064	877	69	67	45,465	4	1	45,899
2020 25% Diesel Mix	31	886	254	20	19	11,477	1	0	11,586
2040 No Build	17	758	877	10	10	45,465	4	1	45,899
2040 Gilroy Shuttle	1	29	33	0	0	1,497	0	0	1,511

### Daily Criteria Pollutant Analysis (pounds)

Type	Fuel (gal/d)	ROG	NOX	CO	PM10	PM2.5
2020 Single Level DMU Alternative	17,550	33.9	805	1030	12.1	11.7
2040 Single Level DMU Alternative	22,230	42.9	1019	1305	15.3	14.8
2020 Diesel Locomotive	4,323	-	-	-	-	-
2040 Diesel Locomotive	564	-	-	-	-	-
Total 2020 DMU Alternative	21,873	-	-	-	-	-
Total 2040 DMU Alternative	22,794	-	-	-	-	-

2020 No Project	14,944	-	-	-	-
2040 No Project	14,944	-	-	-	-

### Annual GHG Analysis (metric tons)

Type	Fuel (gal/y)	CO2	CH4	N2O	CO2e
2020 Single Level DMU Alternative	5,959,590	60,847	4.8	1.5	61,428
2040 Single Level DMU Alternative	7,181,070	73,319	5.7	1.9	74,019
2020 Diesel Locomotive	1,124,048	-	-	-	-
2040 Diesel Locomotive	146,615	-	-	-	-
Total 2020 DMU Alternative	7,083,638	-	-	-	-
Total 2040 DMU Alternative	7,327,685	-	-	-	-
2020 No Project	4,452,984	-	-	-	-
2040 No Project	4,452,984	-	-	-	-

### Results

Total Emissions - 2020	ROG	NOx	CO	PM10	PM2.5
Caltrain Diesel (DMU + Locomotive)	65	1,691	1,284	32	31
Electricity ("2020 No Project")	0	4	3	0	0
Subtotal	65	1,695	1,287	32	31
Change in VMT	-159	-330	-1,296	-181	-53
2020 DMU Alternative	-94	1,365	-9	-148	-21
2020 No Project	108	3,068	880	69	67
2020 Project	-123	655	-961	-156	-28
2020 DMU Alternative vs. 2020 No Project	-202	-1,703	-889	-218	-89
2020 Project vs. 2020 No Project	-231	-2,413	-1,842	-225	-96
2020 Project vs. 2020 DMU Alternative	-29	-710	-953	-7	-7

Total Emissions - 2040	ROG	NOx	CO	PM10	PM2.5
Caltrain Diesel (DMU + Locomotive)	44	1,048	1,338	16	15
Electricity ("2040 No Project")	0	4	3	0	0
Subtotal	44	1,052	1,341	16	15
Change in VMT	-365	-757	-2,900	-363	-108
2040 DMU Alternative	-322	295	-1,558	-347	-93
2040 No Project	18	762	880	10	10
2040 Project	-481	-856	-3,731	-477	-138
2040 DMU Alternative vs. 2040 No Project	-339	-466	-2,439	-357	-103
2040 Project vs. 2040 No Project	-498	-1,618	-4,611	-487	-148
2040 Project vs. 2040 DMU Alternative	-159	-1,151	-2,173	-130	-45

Total Emissions - 2020			CO2e
Caltrain Diesel (DMU + Locomotive)			73,014

Electricity ("2020 No Project")		531
Subtotal		73,546
Change in VMT		-44,317
2020 DMU Alternative		29,229
<i>2020 No Project</i>		46,430
<i>2020 Project</i>		-21,279
<b>2020 DMU Alternative vs. 2020 No Project</b>		<b>-67,709</b>
<b>2020 Project vs. 2020 No Project</b>		<b>-17,201</b>
<b>2020 Project vs. 2020 DMU Alternative</b>		<b>-50,508</b>
<b>Total Emissions - 2040</b>		
Caltrain Diesel (DMU + Locomotive)		75,530
Electricity ("2040 No Project")		531
Subtotal		76,061
Change in VMT		-109,681
2040 DMU Alternative		-33,620
<i>2040 No Project</i>		46,430
<i>2040 Project</i>		-130,353
<b>2040 DMU Alternative vs. 2040 No Project</b>		<b>-176,783</b>
<b>2040 Project vs. 2040 No Project</b>		<b>-80,050</b>
<b>2040 Project vs. 2040 DMU Alternative</b>		<b>-96,733</b>

## **Freight Service Assumptions and Calculations**

Nitrogen oxide (NO<sub>x</sub>) and GHGs emissions generated by freight movement via locomotives were estimated using emission factors obtained from the EPA (2009) and Climate Registry (2013). It was assumed that 15,000 tons of freight is hauled on the Caltrain corridor between San Francisco and Santa Clara on average per day, carried by three locomotives. This equates to 48.1 million ton-miles per year, based on a trip length of 37 miles and a service period 260 days. Emissions generated by locomotive fuel consumption were quantified by multiplying the annual ton-mileage by emission factors from the EPA (2009) and Climate Registry (2013).

Emissions generated by haul trucks used to transport an equivalent amount of freight were quantified based on a literature review of transportation efficiencies. The EPA has noted that, on a ton-mile basis, trains are 2 to 4 times more fuel efficient and have one-half to one-third the NO<sub>x</sub> emissions compared with trucks (United States Environmental Protection Agency 2010). The EPA also concluded that, on a ton-mile basis, trains emit one-third the GHG emissions of trucks. NO<sub>x</sub> and GHG emissions generated by haul trucks were estimated by multiplying the NO<sub>x</sub> rail emissions factors of two and three and multiplying the GHG rail emissions factor by three.

## Calculation of Freight Rail Emissions

Parameter	Value
tons/day	5,000
miles	37
locomotives/day	1-3
locomotive miles per day	37
ton-miles per day	185,000
day/year	260
locomotive miles per year	9,620
ton-miles per year	48,100,000

Pollutant	Grams/Ton-Mile		
	2020	2040	
NOx	0.25	0.07	Calculated from EPA 2009
CO2	25.53	25.53	Calculated from EPA 2009
Truck : Rail conversion (NOx low)	2	EPA 2010	
Truck : Rail conversion (NOx high; GHG)	3	EPA 2010	

## Summary of Criteria Pollutant Emissions for Rail (pounds per day)

Year	1 Train per day		3 Train per day	
	NOX - High	NOX - Low	NOX - High	NOX - Low
2020				
Truck	302.8	201.9	908.5	605.7
Rail	100.9	100.9	302.8	302.8
<i>Difference (Truck minus Rail)</i>	201.9	100.9	605.7	302.8
2040				
Truck	85.6	54.3	256.9	162.9
Rail	28.5	27.1	85.6	81.4
<i>Difference (Truck minus Rail)</i>	57.1	27.1	171.3	81.4

## Summary of GHG Emissions (metric tons CO2 per year)

Year	1 train per day	3 train per day
2020		
Truck	3,718	11,155
Rail	1,239	3,718
<i>Difference (Truck minus Rail)</i>	2,479	7,437
2040		
Truck	3,718	11,155
Rail	1,239	3,718
<i>Difference (Truck minus Rail)</i>	2,479	7,437

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