Highlighted items - Details to be developed during the final design process.

Assume all commitments will be performed in accordance with all applicable laws, rules and regulations of the federal, state and local governments

| CATEGORY | | | ACTION |
|----------|----------------------------------------------------------------------------------------------------------------------------------|-----|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| A. Noise | Eliminate objectionable noise during night time hours. | | PREVIOUS COMMITMENTS: |
| | Include design features and operational policies to reduce general noise output from the facility to the maximum extent feasible | | Construct a wall or walls along the westerly property boundary between Taylor Street and Lenzen Avenue to provide a positive barrier between the facility and the neighborhood receptors in areas where existing buildings do not provide a barrier. Length, height, and limits of the wall(s) shall be determined by JPB, in cooperation with and with input from City monitoring committee or task force, during final design and shall intercept the line of sight from locomotive and car sources. Wall construction shall be completed on or before the completion of facility construction. |
| | | | The facility shall be designed to minimize train movements between the various maintenance and storage sites to the extent possible. |
| | | A-3 | Locate sites of active maintenance away from the west side of the project site to the extent possible. |
| | | A-4 | Place shielding on heating and air-conditioning equipment and fans that have exit points above the height of walls or adjacent buildings prior to the commencement of operation of such equipment. |
| | | | Prior to the opening of the facility, establish a policy to utilize ground power instead of diesel engine power whenever possible during storage or layover of trainsets. The new operating contract includes the following statements: "Locomotives shall not be allowed to idle unnecessarily." "Any train in active service having a layover of more than one hour shall be connected to standby ground power. Train shall be retained on ground power during any cleaning and/or inspection process which requires electrical power. Locomotive Head-End power shall not be used for the equipment prior to twenty minutes before scheduled departure time." Note, it is necessary to begin warm-up of the main locomotive engine approximately 20-30 minutes prior to departure. |
| | | | On or before the completion of facility construction, provide insulation of building walls and ceilings to reduce noise. Details to be determined by JPB in cooperation with and with input from City monitoring committee or task force during design process. |
| | | | No external public address system is planned for the facility. Daily communications will be conducted using personal communication devices. Exceptions are audible emergency warning systems as required by regulatory agencies for safety. If these plans change and an external public address or other external, noise producing system is contemplated, JPB shall provide the City monitoring committee or task force with prior written notice of these planned changes in a sufficient amount of time to allow the JPB and the City oversight committee or task force to meet to discuss appropriate guidelines for use of such a system. |
| | | A-8 | Close the Lenzen Avenue grade crossing prior to the completion of facility construction. |
| | | A-9 | Construction activities will be limited to daytime hours, meaning from 7:00 a.m. to 7:00 p.m., to the extent possible. Planned activities that have the potential to impact train operations and safety may be performed during restricted timeframes including night time and weekend periods. Activities necessary to address emergency situations, whether or not planned, may be performed at any time. |
| | | | At least seven days prior written notice, by regular U.S. mail or hand-delivered fliers, shall be given to the surrounding neighborhoods for any pile driving activities during construction. Contract specifications shall address these requirements. |

| Noise (Cont'd) | | | APRIL 6, 2001 WORKSHOP COMMITMENTS: |
|-------------------|---------------------------------------------------------------------------------------------------------------|------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | | A-11 | Prior to the completion of facility construction, enclose the train washing facility and equipment to the extent possible. This includes the sides of the washer but not the ingress/egress or overhead areas. Pumps and tanks will be housed in an enclosed structure at all times. |
| | | | Provide an alternate method of maintaining engine oil temperature when ambient temperature is below 40 degrees F in lieu of idling the locomotive diesel engine, which alternate method is intended to be and shall be quieter than the noise level produced by the idling engine. |
| | | A-13 | Limited curfew on engine load testing: Implement a policy to conduct annual load tests on locomotive engines only during daytime hours of 7 AM to 5 PM. Perform the annual load tests on the east side of the shop building at all times. |
| | PROPOSALS REVIEWED AFTER 4/6/01 WORKSHOP: | | ADDITIONAL COMMITMENTS APRIL 25, 2001: |
| | Extension of soundwall limits south of Lenzen Avenue in area of switches. | A-14 | A wall can be accommodated across Lenzen Avenue and shall be constructed and completed prior to the completion of facility construction. Final design will consider height, length and sight distance issues. Design details shall be determined by JPB, in cooperation with and with input from City monitoring committee or task force. |
| | Design northern lead track and signal system to avoid activating Stockton crossing gates for most yard moves. | A-15 | Trains on the maintenance yard lead track that do not pass through the mainline switch will not activate the crossing gates. |
| | Limit scheduled maintenance activities to only daytime shifts - need to evaluate day shift capacity. | A-16 | Prior to the opening of the facility, establish and implement a policy to limit car body repair (as needed) to day shift (7am-5pm) only. Prior to the opening of the facility, establish and implement a policy to limit engine checks for 15-day and 92-day maintenance to day shift (7am-5pm) only. Second shifts will likely be needed upon opening of the facility. Some scheduled maintenance activities are currently performed on second shifts. |
| | Muffler over horns during daily inspections. | A-17 | Prior to opening of the facility, establish and implement a policy to utilize muffling device when performing any horn tests. |
| | Alternatives to limit engine run-up noise at night as a result of daily inspections. | A-18 | Upon opening of the facility, shift performance of the daily engine run-up and horn check from CEMOF to Diridon for trains after 11:00PM. Upon opening of the facility, shift overnight storage of the first four outgoing trains to Diridon. |
| | Other alternatives for encapsulating noise at the site | A-19 | Prior to the completion of facility construction, provide doors on the ends of shop buildings. Details to be developed during final design process by JPB in cooperation with and with input from City monitoring committee or task force. |
| | Extension of sound barrier south of Lenzen Avenue behind PG&E yard | A-20 | Prior to the completion of facility construction, develop and implement (all in coordination with and with input from City monitoring committee or task force) modified fence design to maximize sound attenuation. |
| | PROPOSALS REQUIRING ADDITIONAL EVALUATION: | | Evaluations would occur prior to the opening of the facility. |
| | Alternatives to limit night time noise from train engines | | Minimize dispatches from CEMOF prior to 6:30am. Evaluate potential for train storage at Tamien. |
| | Provide alternate warning mechanism to reduce bell ringing for yard movements. | | Audible warnings required for worker safety. Futher investigation needed to determine if pitch can be altered to reduce or eliminate noise heard in the neighborhood. Such investigation to be undertaken an+E45d E36in cooperation with and with input from City monitoring committee or task force. |

| B. Air Quality | Minimize diesel locomotive emissions. | | PREVIOUS COMMITMENTS: |
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| | Minimize air quality impacts during | B-1 | The facility shall be designed to minimize train movements between the various maintenance and storage sites to the |
| | construction of the facility. | | extent possible. |
| | | B-2 | Contaminated or hazardous materials will be handled in accordance with applicable Federal, State, and Local |
| | | | requirements at all times. |
| | | | Caltrain rolling stock shall conform to existing applicable regulations. |
| | | B-4 | Utilize propane or electric fork lifts in lieu of diesel forklifts to the extent possible. Some activities would still require |
| | | | the larger diesel forklifts, but their use shall be minimized to the greatest possible extent. |
| | | B-5 | Utilize battery powered personnel carriers at the facility to the extent possible. Other vehicles, such as standard diesel |
| | | | and gas powered pickup trucks, would still be utilized, but their use shall be minimized to the greatest possible extent. |
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| | | | APRIL 6, 2001 WORKSHOP COMMITMENTS: |
| | | B-6 | Prior to the opening of the facility, develop and implement a policy to utilize smaller engines or other transporting |
| | | | equipment to move locomotive and cars within the limits of the maintenance facility to the extent possible instead of using the locomotives to move unit trains. Some train movements would still be accomplished with the locomotive |
| | | | engine, for example but not limited to: arriving and departing from the yard, or in cases when the number of moves |
| | | | exceeds the available equipment. Prior to the opening of the facility, provide a budget to purchase this equipment. |
| | | | energies are available equipment that to the opening of the memory, provide a congest to parenties and equipment |
| | | B-7 | Prior to the opening of the facility, establish and implement a policy to utilize ground power instead of diesel engine |
| | | D-/ | power whenever possible during storage or layover of trainsets. The new operating contract includes the following |
| | | | statements: "Locomotives shall not be allowed to idle unnecessarily.", "Any train in active service having a layover of |
| | | | more than one hour shall be connected to standby ground power. Train shall be retained on ground power during any |
| | | | cleaning and/or inspection process which requires electrical power. Locomotive Head-End power shall not be used for |
| | | | the equipment prior to twenty minutes before scheduled departure time." Note, it is necessary to begin warm-up of the |
| | | | locomotive engine approximately 20-30 minutes prior to departure. |
| | | | |
| | | B-8 | Prior to the opening of the facility, provide an alternate method of maintaining engine oil temperature when ambient |
| | | БО | temperature is below 40 degrees F in lieu of idling the locomotive diesel engine, which alternate method is intended to |
| | | | reduce emissions. |
| | | | |
| | PROPOSALS REQUIRING ADDITIONAL | | ADDITIONAL COMMITMENTS APRIL 25, 2001: |
| | EVALUATION: | | |
| | Alternate Fuel switch engines to move | | LNG (Liquefied Natural Gas) powered switching engines available. Incremental cost needs to be identified and agreed |
| | equipment within the yard limits. | | to by the JPB Board. |
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PREVIOUS COMMITMENTS:

| C. Lighting | Minimize light intrusion | C-1 | The height of external overhead lighting masts shall be minimized to the extent possible. |
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| | | C-2 | All external facility lighting shall be designed and installed to minimize intrusion of light into surrounding residential areas. |
| D. Safety | Minimize fuel storage at the facility | D-1 | Fuel storage at the site will be minimized at all times in anticipation of future electrification. |
| | | D-2 | Any proposed fuel storage would be located as far away from any residential areas as is feasible. |
| | Provide adequate security for the facility | D-3 | Security gates and fences will be erected where determined appropriate through the design process prior to the completion of facility construction. |
| E. Traffic | Minimize facility related traffic on west side of facility. Conform to local traffic ordinances | E-1 | Employee parking will be located on the east side of the mainline railroad tracks with access via the Autumn Street extension. |
| | | E-2 | Construction specfications will require conformance to local traffic ordinances. |
| F. Construction Phase | Construction activities to conform to local ordinances. Minimize construction activity impacts on neighborhoods. | F-1 | At least seven days prior written notice (via regular U.S. mail or hand-deliverd fliers) shall be given to the surrounding neighborhoods for any pile driving activities during construction. Contract specifications shall address these requirements. |
| | | F-2 | Construction activities will be limited to daytime hours, meaning from 7:00 a.m. to 7:00 p.m., to the extent possible. Planned activities that have the potential to impact train operations and safety may be performed during restricted timeframes including night time and weekend periods. Activities necessary to address emergency situations, whether or |
| | | F-3 | Construction contracts shall require dust control, sound control, air and water pollution control measures. |
| G. Aesthetics | Construct and maintain a facility that is aesthetically compatible with the surrounding area | G-1 | The design process will provide opportunity for timely and thoughtful community input regarding aesthetics of the shop buildings. Aesthetic treatments will be focused on the portions of the buildings that are visible from the residential areas. |
| | | G-2 | A landscaping plan will be developed for the ingress and egress points of the facility (3 locations). Landscaping shall be installed prior to the completion of facility construction and shall be maintained by Caltrain or its agents. |
| | | G-3 | Prior to opening of the facility implement a graffiti maintenance program at the maintenance facility. This program will focus on the areas that are visible from surrounding residential areas. At a minimum, this program will provide for graffiti removal on areas visible from the surrounding residential neighborhoods within 48 hours of discovery of the graffiti tagging. |

PREVIOUS COMMITMENTS:

| H. Ongoing Communit Communic | Implement the concept of an Oversight Committee in accordance with the Cati Cooperative Agreement between the City and JPB | | The JPB and the City agree to work with the community on identifying and implementing traffic calming mechanisms along Stockton Avenue. |
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| | | H-2 | Upon the start of facility construction, and at all times thereafter, JPB will provide a staffed 24-hour community complaint telephone line that is a local contact and local telephone number. |
| | | H-3 | JPB will respond to community complaints within 72 hours of receipt. JPB shall keep a written log of all community complaints received, which log will include the date and time the complaint was received, a summary of the complaint, and the action taken to resolve the complaint. JPB will make this log available to the City or a member of the public upon request. JPB will regularly report complaints from the community to the Maintenance Facility Monitoring Committee including description of complaint, date and time received, and any action taken to resolve the complaint. |
| | | H-4 | JPB to provide adequate staff support to Maintenance Facility Monitoring Committee, including funding for newsletters and neighborhood notices. |
| | | | |
| I. General | Support future electrification of Caltrain system and future fourth track installation. | I-1 | Facility will be designed to accommodate future electrification and fourth track alignment. |