



JPB Finance Committee
Meeting of June 26, 2023

Supplemental Reading File

<u>#</u>	<u>Subject</u>
1	Accept On-Call Communication and Signal Services Update
2	Accept On-Call Transportation Planning and Consultant Support Services Update
3	JPB CP Quarterly Report

**Peninsula Corridor Joint Powers Board
Staff Report**

To: Finance Committee
Through: Michelle Bouchard, Executive Director
From: Lawrence Leung, Manager, Rail Contracts & Budget
Zouheir Farah, Director, Caltrain Engineering
Carlos Ortega, Deputy Director, Caltrain Systems Engineering
Subject: **Accept On-Call Communication and Signal Services Update**

<input type="checkbox"/> Staff Coordinating Council Reviewed	<input type="checkbox"/> Finance Committee Recommendation	<input type="checkbox"/> Technology, Operations, Planning, and Safety Committee Recommendation	<input type="checkbox"/> Advocacy and Major Projects Committee Recommendation
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Purpose and Recommended Action

This report is for information only. No Board action is required.

Discussion

This informational item is presented quarterly to the Board and reports on the following:

- Total amount of work directives (WDs) issued to each firm since contract inception
- List of WDs and amendments issued since the last reporting period with the WD number, title, description, JPB project manager, vendor, issuance date, start date, end date, and value

The tables below provide an update of contract activities from March 6th, 2023 thru June 6th, 2023. Table 1 summarizes the contract capacity status. Table 2 updates the percentage of capacity used against the percent time elapsed. Table 3 shows the Board approved dates and amounts. Table 4 aggregates the WD amounts issued to each of the vendors. Table 5 describes each of the WDs issued since the last reporting period.

Budget Impact

There is no impact on the budget.

Background

Pursuant to Resolution No. 2022-37, the Board of Directors (Board) awarded contracts to RSE Corporation, STV Incorporated, WSP USA, Inc., and Xorail, Inc., consisting of a five-year base term for an aggregate not-to-exceed amount of \$18,000,000.

Prepared By:	Lawrence Leung	Manager, Rail Contracts & Budget	650-508-6328
	Zouheir Farah	Director, Caltrain Engineering	650-622-7819
	Carlos Ortega	Deputy Director, Caltrain Systems Engineering	650-551-6191

Table 1

Contract Summary	Years	Amount
Total Capacity:	5.0	\$18,000,000
Work Directives Issued:		\$6,263,478
Remaining Exercised Capacity:		\$11,736,522

Table 2

Contract Days	Days Elapsed	% Time Elapsed	Capacity Used
1825	306	17%	35%

Table 3

Contract Information	Start	End	Years	Capacity	Resolution/ Authorized
Base	8/4/2022	8/3/2027	5.0	\$18,000,000	2022-37
Amendment			0.0		
Total:			5.0	\$18,000,000	

Table 4

Vendor	RSE	STV	WSP	Xorail
Contract #	22-J-P-024A	22-J-P-024B	22-J-P-024C	22-J-P-024D
Total WDs Issued	\$5,727,035	\$280,198	\$0	\$0
Previous Reporting Period	\$5,550,964	\$280,198	\$0	\$0
Current Reporting Period	\$176,071	\$0	\$0	\$0

Table 5

WD#	Title	Description (Updates in Bold)	Vendor	Updated	Start	(Revised) End	Current Value
10874	Right of Way Survey	Support to provide field survey support (as needed) for Right of Way construction work and as-built data. A1 added \$76k for additional support.	RSE	4/27/2023	3/20/2023	3/19/2024	\$176,070.70

**Peninsula Corridor Joint Powers Board
Staff Report**

To: Finance Committee
Through: Michelle Bouchard, Executive Director
From: Lawrence Leung, Manager, Rail Contracts and Budget
Melissa Reggiardo, Manager, Caltrain Planning
Subject: **Accept On-Call Transportation Planning and Consultant Support Services Update**

<input type="checkbox"/> Staff Coordinating Council Reviewed	<input type="checkbox"/> Finance Committee Recommendation	<input type="checkbox"/> Technology, Operations, Planning, and Safety Committee Recommendation	<input type="checkbox"/> Advocacy and Major Projects Committee Recommendation
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Budget Impact

There is no impact on the budget.

Background

Pursuant to Resolution No. 2020-18, the Board of Directors (Board) awarded contracts to Fehr & Peers, HNTB Corporation, Kimley-Horn & Associates, Inc., Arup North America Ltd., Mott MacDonald Group Inc., and WSP USA, Inc., consisting of a five-year base term for an aggregate

not-to-exceed amount of \$25,000,000 with two additional, one-year option terms in an aggregate not-to-exceed amount of \$5,000,000 for each option year.

Pursuant to Resolution No. 2023-26, the Board authorized amendments to the contracts with the Consultants to increase the contract amount by \$10,000,000, from \$25,000,000 to \$35,000,000, to be shared in the aggregate amongst the six firms.

Prepared By:	Lawrence Leung	Manager, Rail Contracts and Budget	650-508-6328
	Melissa Reggiardo	Manager, Caltrain Planning	650-868-9925

Table 1

Contract Summary	Years	Amount
Total Capacity:	7.0	\$45,000,000
Exercised:	5.0	\$35,000,000
Work Directives Issued:		\$24,254,649
Remaining Exercised Capacity:		\$10,745,351

Table 2

Contract Days	Days Elapsed	% Time Elapsed	Capacity Used
1825	1070	59%	69%

Table 3

Contract Information	Start	End	Years	Capacity	Resolution/ Authorized
Base	7/1/2020	6/30/2025	5.0	\$25,000,000	2020-18
Option #1	7/1/2025	6/30/2026	1.0	\$5,000,000	
Option #2	7/1/2026	6/30/2027	1.0	\$5,000,000	
Amendment			0.0	\$10,000,000	2023-26
Total:			7.0	\$45,000,000	

Table 4

Vendor	Fehr & Peers	HNTB	Kimley-Horn	ARUP	Mott	WSP
Contract #	20-J-P-006A	20-J-P-006B	20-J-P-006C	20-J-P-006D	20-J-P-006E	20-J-P-006F
Total WDs Issued	\$4,100,957	\$3,923,264	\$9,000,916	\$1,318,696	\$4,235,365	\$1,675,451
Previous Reporting Period	\$3,561,550	\$3,923,264	\$4,720,726	\$1,183,094	\$3,215,586	\$1,571,547
Current Reporting Period	\$539,407	\$0	\$4,280,190	\$135,602	\$1,019,779	\$103,904

Table 5

WD#	Title	Description (Updates in Bold)	Vendor	Updated	Start	(Revised) End	Current Value
10343	Capital Planning Support	Consultant support for potential capital planning initiatives, including potential capital projects that are currently being managed by the Planning Department and potential planning activities related to the lifecycle of rail capital projects including the implementation of processes to track and monitor stages of development of capital planning initiatives.	ARUP	6/1/2023	6/1/2023	12/31/2023	\$135,602.00
10468	Capital Planning Support	Support for potential capital projects that are currently being managed within the Planning Department or being transitioned from Planning to the Capital Projects/Development Department. Such projects require ongoing yet intermittent planning management and tracking, coordination with internal and external partners and stakeholders, development of agreements, technical reviews, etc. The goal is to acquire resources to support these projects up to a certain dollar value. A1 extended from 8/31/21 to 12/31/22. A2 added \$448k for additional support. A3 extended from 12/31/22 to 6/30/23. A4 added \$42k for additional support.	Kimley-Horn	5/30/2023	4/2/2021	6/30/2023	\$742,422.00
10700	San Francisco DTX Agreement Development Services	Support on Agreement Development services for Caltrain as part of the development of the San Francisco Downtown Extension (DTX) project. A1 added \$1.1M for additional support to develop term sheets and a final Master Cooperative Agreement with the Transbay Joint Powers Authority (TJPA). A2 added a subcontractor. A3 extended from 6/30/23 to 12/31/23.	HNTB	5/24/2023	2/8/2022	12/31/2023	\$1,470,911
10877	Caltrain Go Pass Program Management Support	Consultant program management support for Caltrain's Go Pass Program. A1 extended from 1/31/23 to 4/30/23 and added \$8k for additional support. A2 added \$114k for additional support and extended from 4/30/23 to 6/30/23. A3 added \$30k for additional support and extended from 6/30/23 to 12/31/23.	Fehr & Peers	5/22/2023	10/10/2022	12/31/2023	\$218,703.95
10450	Major Stations Planning Support	Support for major station projects managed within the Planning Department, primarily the San Francisco Railyards, Downtown Extension (DTX) and Diridon station. A1 extended from 3/31/23 to 5/5/23. A2 added \$70k for additional support and extended from 5/5/23 to 6/30/23.	Mott	5/16/2023	9/1/2022	6/30/2023	\$353,467.38
10470	PM & Support for Corridor Wide Grade Sep Strategy	Provide project management and staff support services on Caltrain's Corridor Wide Grade Separation Strategy (CCWGS). The CCWGS has been discussed as a Caltrain priority since 2019 when it was first identified as a future study need as part of the Caltrain Business Plan Process. The study effort was funded as part of Caltrain's FY19 Capital Budget but was significantly delayed due to the COVID Pandemic. Caltrain is now seeking to launch this important effort as expeditiously as possible. A1 added \$3.25M for additional support and extended from 6/30/23 to 2/29/24. A1 includes detail for project management (Task 1), staffing support (Task 2), conducting targeted stakeholder engagement (Task 3), addressing near-term opportunities such as the Crossings Delivery Guide (Task 4), which facilitates the long-term strategy development (Task 5).	Kimley-Horn	5/4/2023	6/16/2022	2/29/2024	\$4,652,411
10879	Ridership Reporting Methodology Update	Consultant services to provide analytical support of Caltrain's ridership reporting methodology and make detailed recommendations for how the railroad collects, analyzes, and reports ridership data.	Fehr & Peers	4/21/2023	4/11/2023	6/30/2023	\$97,524.57
10878	Caltrain Electrified Service Planning	Support for Caltrain Electrified Service Planning. A1 added \$412k and extended from 12/31/23 to 2/28/24. The following tasks were added: 3. Market Analysis, 4. Service Goals and Metrics, 5. Service Framework and Concepts, 6. Thresholds to Change Service, 7. Service Plans/Conceptual Schedules, 8. Simulation, and 9. Engagement.	Fehr & Peers	4/20/2023	1/19/2023	2/28/2024	\$434,979.50

WD#	Title	Description (Updates in Bold)	Vendor	Updated	Start	(Revised) End	Current Value
10472	Diridon Business Case Strategic Advisory Services	Support for project management and strategic advisory services to facilitate the planning process for the Diridon Station Business Case. More specifically, Caltrain is looking for support in managing the Business Case technical work as well as associated strategic communications and outreach with Business Case Partner Agencies (including the City of San Jose, Santa Clara Valley Transportation Authority, California High Speed Rail Authority and Metropolitan Transportation Commission) and electeds.	Kimley-Horn	4/7/2023	3/1/2023	6/30/2023	\$247,710.00
10446	Redwood City Station Area Planning	The main objective of the station area planning work is to provide inputs into Redwood City's Transit District planning process in the spring 2021 timeframe with consideration for the proposed redevelopment of Sequoia Station, the Whipple Avenue Grade Separation Study, Reimagine SamTrans and potential options related to the Dumbarton Rail Corridor Project. The scope of work will potentially produce street networks and a station area footprint to aid near-term land use development. It does not include public outreach, detailed design or cost estimates. A1 added \$59k for additional support and extended from 6/30/21 to 12/31/21. A2 added \$16k for additional support and extended from 12/31/21 to 12/31/22. A3 extended from 12/31/22 to 7/31/23.	Mott	4/6/2023	3/11/2021	7/31/2023	\$345,990.68
10469	SF Railyards PBC - PM & Ops	Obtain Project Management services for the completion of the San Francisco Railyards Redevelopment Preliminary Business Case, and; obtain services for the completion of rail service, storage, maintenance, and operations analysis associated with this potential redevelopment, including systemwide impacts and changes across the Caltrain corridor. A1 added \$39k for additional support. A2 added \$225k for additional support.	Kimley-Horn	4/3/2023	8/23/2021	6/30/2023	\$1,267,423
10342	DSAP Architectural Services	Development support services including planning, land use, economic, environmental and development strategy associated with commercial development on the Caltrain-owned parcels within the Diridon Station Area Plan area in the City of San Jose. A1 \$1.085M initially budgeted but \$578k authorized for FY21, so A1 authorized another \$411k and extended from 6/30/21 to 6/30/22. A2 authorized remaining \$96k to fully fund initial budget of \$1.085M, authorized \$54k of 5% contingency in initial budget for a total of \$150k, and extended from 6/30/22 to 6/30/23. A3 extended from 6/30/23 to 12/31/23.	ARUP	3/23/2023	1/6/2021	12/31/2023	\$1,139,259
10336	San Francisquito Creek Bridge Replacement - Planning and Outreach	Planning and outreach to accompany baseline engineering assessments and earliest concept designs for the San Francisquito Creek Bridge replacement. A1 extended from 6/30/21 to 6/30/22. A2 extended from 6/30/22 to 3/31/23 and added \$73k for additional support. A3 extended from 3/31/23 to 6/30/23.	HNTB	3/21/2023	12/16/2020	6/30/2023	\$135,708.00

WD#	Title	Description (Updates in Bold)	Vendor	Updated	Start	(Revised) End	Current Value
10432	Diridon Station Business Case (BC)	Mott MacDonald submitted a full proposal for the Business Case on June 30, 2022 and interviewed with the JPB and Partner Agencies on August 3, 2022. While the Mott MacDonald proposal and interview earned the highest score and the Mott MacDonald team was selected to complete the BC, revisions were needed to the scope of work to reflect recent developments, agency priorities, and a baseline amount of funding available for the effort. Additionally, discussions were needed to help determine appropriate project management structures and organizational/governance tasks, the latter which were previously identified as being optional. The team also helped prepare for the November 2022 Diridon Joint Policy Advisory Board Committee meeting. This is the work performed under the original Work Directive (WD) and A1 (extended from 1/31/23 to 2/28/23 and added \$50k). Once this scoping and early work was completed, an initial tranche of funding was provided for the Mott MacDonald team to kick off the Business Case in full per the revised and agreed upon scope, schedule and budget. This work is the subject of A2 (extended from 2/28/23 to 5/31/23 and added \$950k). Another amendment to the WD will be provided in the spring to add further funding to the WD to continue to carry out the scope, schedule and budget.	Mott	3/20/2023	10/7/2022	5/31/2023	\$1,249,895
10471	SF DTX, Railyards Dev, & Diridon Ops Analyses	Obtain Operations Analysis services for Caltrain in support of multiple long term planning projects: <ul style="list-style-type: none"> • Development of the San Francisco Railyards site. • The San Francisco Downtown Extension (DTX) project, including the proposed 4th and King Railyards Site Enabling Works. • San Jose Diridon Integrated Station Concept (DISC), including potential relocation of Caltrain's Centralized Equipment and Maintenance Operations Facility (CEMOF). Since each project is at a different stage of planning and/or design, it is critical that a long term operations and fleet storage plan for the entire railroad be developed that incorporates all projects in a single integrated plan.	Kimley-Horn	3/13/2023	3/13/2023	2/29/2024	\$685,412.00
10909	Fed-State Partnership Grant Preparation	Support to cover the grant preparation for the Fed-State Partnership grant, due April 21, 2023.	WSP	3/10/2023	3/10/2023	4/30/2023	\$103,903.86



DATE: JUNE 26, 2023

TO: PENINSULA CORRIDOR JOINT POWERS BOARD OF DIRECTORS

FROM: KATE JORDAN STEINER
CHIEF FINANCIAL OFFICER

SUBJECT: CONTRACTS AND PROCUREMENT QUARTERLY REPORTS

Contracts and Procurement submits the following reports to the Board of Directors (Board) on a quarterly basis:

- Pursuant to Resolution 2017-14: Contracts > \$100,000 and up to \$150,000 issued pursuant to authority delegated by the Board to the Executive Director, or their designee.
- Pursuant to the current Procurement Manual, Section XII, Disposition: Disposition of non-federally funded rolling stock
- Pursuant to Resolution 2022-28: Purchase Orders >\$150,000 for technology licenses, maintenance services, and professional services under direct solicitations to original manufacturers, product licensors and maintainers, and their distributors or consultants
- Pursuant to Resolution 2022-27: Purchase Orders >\$150,000 for technology-related products and services under cooperative purchasing programs

The reports for the last quarter (April 2023-June 2023) are attached.

Contracts issued in an amount greater than \$100,000 and up to \$150,000

There is nothing to report this quarter.

Dispositions of Non-Federally Funded Rolling Stock

There is nothing to report this quarter.

FY23 JPB POs for Sole Source and Recurring IT Procurements >\$150K

JPB

The purchases listed below are for Information Technology Licenses, License Renewals, Maintenance Service, and Professional Services

Board Approved Authority	
Resolution #2022-28	\$1,500,000
\$1,500,000	

July 1 - September 30, 2022

1st Quarter

PO Date	PO#	Vendor	PO Description	PO Amount	Remaining Authority
				\$ -	
				\$ -	\$ 1,500,000.00

October 1 - December 31, 2022

2nd Quarter

PO Date	PO#	Vendor	PO Description	PO Amount	Remaining Authority
				\$ -	\$ 1,500,000.00

January 1 - March 31, 2023

3rd Quarter

PO Date	PO#	Vendor	PO Description	PO Amount	Remaining Authority
				\$ -	\$ 1,500,000.00

April 1 - June 30, 2023

4th Quarter

PO Date	PO#	Vendor	PO Description	PO Amount	Remaining Authority
			TOTAL PO AMT TO DATE	\$ -	\$ 1,500,000.00

FY23 JPB POs for IT Cooperative Purchasing Programs >\$150K

The purchases listed below are for technology related products and services to Vendors under cooperative purchasing programs

JPB

JPB
Resolution #2022-27
Board Approved Authority
\$ 1,500,000.00

July 1 - September 30, 2022

			1st Quarter			
PO Date	PO#	Vendor	PO Description	PO Amount	Remaining Authority	
				\$ -		
				\$ -		
				\$ -	\$ 1,500,000.00	

October 1 - December 31, 2022

			2nd Quarter			
PO Date	PO#	Vendor	PO Description	PO Amount	Remaining Authority	
				\$ -	\$ 1,500,000.00	

January 1 - March 31, 2023

			3rd Quarter			
PO Date	PO#	Vendor	PO Description	PO Amount	Remaining Authority	
				\$ -		
				\$ -	\$ 1,500,000.00	

April 1 - June 30, 2023

			4th Quarter			
PO Date	PO#	Vendor	PO Description	PO Amount	Remaining Authority	
6/14/2022	000010575A	TRIUNE INFOMATICS, INC.	Database Administrator - John Shepard Hourly Rate \$105.00	\$ 84,840.00		
6/6/2023	2411102000	TECH DATA CORPORATION	Oracle Aconex Cloud and Professional Services	\$ 765,716.51		
TOTAL PO AMT TO DATE				\$ 850,556.51	\$ 649,443.49	