CALTRAIN CAPITAL IMPROVEMENT PLAN (CIP) DEVELOPMENT

Technology, Operations, Planning and Safety (TOPS) Committee Briefing

April 26, 2023





AGENDA

- What is a CIP?
- Why Now?
- What Will be Delivered and When?
- How Can You Help?





WHAT IS A CIP?

It is a long-term planning and fiscal management tool used to coordinate the identification and financing of capital improvements



 Living document, reviewed and updated frequently in parallel to the capital budget process.



Covers a multi-year period (commonly ten years)



Comprehensive list of maintenance, renewal, and enhancement capital investments



 Mission and values-driven allocation and prioritization of funds and resources between projects over time



WHAT DOES A CIP TYPICALLY INCLUDE?



A prioritization framework based on agency's goals



Description, timeline and cost of capital improvement projects



Identification of funding strategies, schedule and needs



Process integration with planning, engineering, operations & maintenance, budgeting, and funding

+ A Rolling Program: Allows for substantive updates every 4 to 5 years for near-term alignment with capital budget needs and available funding sources.



WHAT ARE THE BENEFITS OF A CIP FOR CALTRAIN?

- A clear roadmap for the agency's capital improvement at all phases
- Align objectives and goals with day-to-day operations, the Long-Range Service Vision and third-party projects that require Caltrain's participation
- Efficient, transparent and integrated process to develop capital projects/programs and the capital budget
- A tool to manage limited resources and funding

What Is a Third-Party Project?

- Major Projects DTX,
 Diridon Station,
 Redwood City Station,
 Grade Separation
 Program
- Any projects in Caltrain Right-of-Way that could affect train operations





MINDFUL OF PAST EFFORTS AND ON-GOING INITIATIVES

Long Range Service Vision

- Business Plan
- Framework for Equity,
 Connectivity, Recovery and
 Growth
- Measure RR Funding
- Transit Asset Management Plan

EFFORTS

- Capital Improvement Plan
- Financial Strategy
- Corridor Crossings Strategy
- On-Going Capital Projects
- Phase Gate Process
- Two-Year Budget Cycle
- Shared Services Agreement

PROCESSES

Building Upon Past Efforts

In Coordination with On-Going Initiatives



DEDICATED PROJECT TEAM

Caltrain Core Team

- Dahlia Chazan
 Deputy Chief of Planning
 Project Director
- Nicole Soultanov
 Deputy Director of Capital Planning
 Project Manager
- Lyne-Marie Bouvet
 Principal Planner, CIP
 Deputy Project Manager

WSP Consultant Core Team

- Lauren German Project Manager
- Yann Jacob
 Deputy Project Manager
- Matt Baratz Principal-in-Charge
- Andrew Strumolo Quality Manager





DELIVERY OF KEY PRODUCTS

CIP Narrative

- Goals and Objectives
- Regional and Megaregion **Implication**
- Funding
- Existing **Process** Assessment
- Best Practices



Summer 2023

DRAFT Prioritization Framework

- Define Performance Categories and Criteria
- Evidence-Based, and Equitable **Decision-Making Framework**



Dec. 2023

DRAFT List of Projects

- Include State of Good Repair, Improvements, Expansion, Third Party
- Define Characteristics of the Projects





Dec. 2023

DRAFT **Prioritized List** of Projects

 Agency Roadmap of Capital **Projects Using** the Confirmed Prioritization Framework



March 2024

10-Year CIP & Rolling **Program**

- Integrate Flements into 10-Year CIP and 4/5-Year Rolling Program
- Define Funding Needs and Strategies



Fall 2024

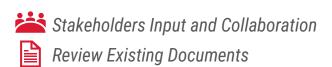
Process Integration

 CIP and Prioritization Framework Integration With Existing **Process** (Budget, and Other Capital **Projects** Process)

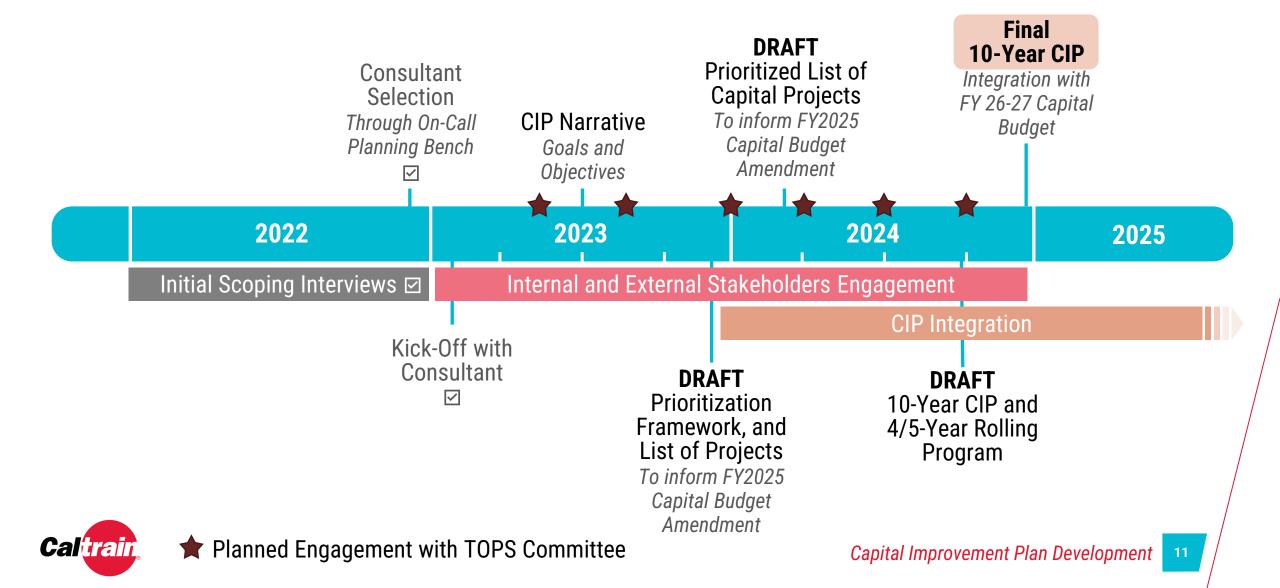


2024 & Beyond



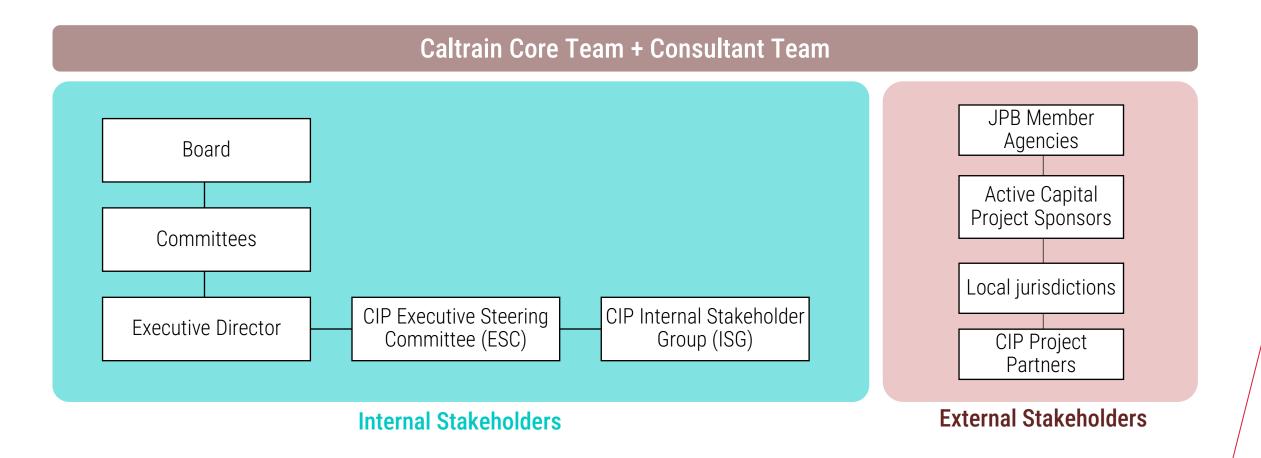


PROJECT TIMELINE





ENGAGING STAKEHOLDERS





INTERNAL ENGAGEMENT - ROLES

CIP Executive Steering Committee	CIP Internal Stakeholder Group	Executive Director, Board & JPB Committees
 Oversight of business alignment, strategy, technical advice, and governance Advocates for the CIP development process with messaging to department staffs 	 Active insight on deliverables and processes to maximize value and ease integration Ensure alignment with internal stakeholder needs Build consensus on the CIP 	Oversight, strategy, and authorization



PRELIMINARY SCHEDULE OF ENGAGEMENT FOR TOPS COMMITTEE

- Project Introduction April 2023
- CIP Narrative Summer 2023
- Draft Prioritization Framework Dec. 2023/Jan. 2024
- Project Update March/April 2024
- Project Update Summer 2024
- DRAFT 10-Year CIP and 4/5-Year Rolling Program Fall 2024



EXTERNAL ENGAGEMENT

- Understanding that the CIP is primarily an internal financial and planning tool
- Goals
 - Keep our partners informed on the development of the CIP
 - Build trust by ensuring funds and resources are managed properly
 - Establish our leadership in capital projects along the corridor.

Audience

- JPB Member Agencies
- Active Capital Project Sponsors
- Local Jurisdictions
- CIP Project Partners



