PENINSULA CORRIDOR JOINT POWERS BOARD



TITLE VI COMPLIANCE PROGRAM

OCTOBER 2013

PENINSULA CORRIDOR JOINT POWERS BOARD 1250 San Carlos Avenue San Carlos, CA 94070-1306 650.508.6200

PENINSULA CORRIDOR JOINT POWERS BOARD (JPB) TITLE VI COMPLIANCE PROGRAM

PREPARED FOR:
FEDERAL TRANSIT ADMINISTRATION
REGION IX

PREPARED BY: JPB

OCTOBER 2013

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I: INTRODUCTION

The material contained in the JPB Title VI Compliance Report provides information and analysis bearing upon compliance with Title VI of the 1964 Civil Rights Act regarding transit services and related benefits. The purpose of Title VI is "to assure that no person shall on the grounds of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving Federal financial assistance."

The Federal Transit Administration (FTA) requires applicants for and recipients of Federal assistance to provide assessments of compliance as part of the grant approval process. The FTA has the responsibility to ensure that federally supported transit services and related benefits are distributed in a manner consistent with Title VI including as related to Environmental Justice and access for individuals who have Limited English Proficiency. This update conforms to the FTA's Title VI Circular 4702.1B, effective October 2012.

As a federal grant recipient, the JPB is required to maintain and provide to FTA information on its compliance with the Title VI regulations. At a minimum it must conduct periodic compliance assessments to determine whether the transit service provided to minority communities and users is consistent with the law. The JPB is required to perform a self-assessment every three years and to document that services and benefits are provided in a non-discriminatory manner. This document covers the period from 2010 through 2013.

The JPB, as required under Circular 4702.1B, has included the following information in this Title VI compliance report:

- 1. Discussion and attachments pertaining to general Title VI requirements.
 - a. Title VI Notice to Public
 - b. Title VI Complaint Procedures & Form
 - c. List of Investigations, Complaints, or Lawsuits
 - d. Public Participation Plan
 - e. Limited English Proficiency Language Assistance Plan
 - f. Membership of Non-elected Committees
 - g. Sub-recipient Monitoring
 - h. Evidence of Board Approval
 - i. Construction Projects
 - j. Additional Information upon Request
- 2. Discussion and attachments pertaining to Title VI requirements for transit operators.
 - a. Service Standards and Policies
 - b. Demographic and Service Profile
 - c. Demographic Ridership and Travel Patterns
 - d. Monitoring Program Results
 - e. Public Engagement for Policy Development
 - f. Title VI Equity Analyses
- 3. All other required submittals.

II: GENERAL REQUIREMENTS

This chapter addresses the general triennial reporting requirements for all Federal Transit Administration (FTA) grantees.

A. Title VI Notice to Public

A copy of the JPB's notice to the public that it complies with Title VI requirements and sample locations where the notice is posted are contained in **Appendix A**.

B. Title VI Complaint Procedures & Form

JPB responds to any and all lawsuits or complaints that allege discrimination on the basis of race, color, or national origin with respect to service or other transit benefits. JPB makes its procedures for filing a Title VI complaint available to members of the public. This procedure is posted on Caltrain's website, is available at the customer receptionist desk located at JPB headquarters at 1250 San Carlos Avenue, San Carlos, CA, and is sent to a customer if requested by phone or e-mail. A copy of the instructions to the public on how to file a discrimination complaint, sample complaint form, JPB's Title VI complaint process, and JPB's Title VI investigation process overview are contained in **Appendix B**.

C. List of Investigations, Complaints, or Lawsuits

Appendix C contains a list of any Title VI investigations conducted by entities other than FTA, lawsuits, or complaints naming the JPB that allege discrimination on the basis of race, color, or nation origin. In keeping with the Circular, the list includes the date the investigation was requested or the lawsuit or complaint was filed; a summary of the allegation(s); the status of the investigation, lawsuit, or complaint; and actions taken by the JPB in response to the investigation, lawsuit, or complaint.

D. Public Participation Plan (PPP)

A summary of public outreach and involvement activities undertaken in last three years and description of steps taken to ensure that minority and low-income persons had meaningful access to these activities is contained in various portions of this Compliance Report, including the JPB's Public Participation Plan in **Appendix D**, the Language Assistance Plan in **Appendix E**, Policy Development Outreach in **Appendix L**, and the outreach summary portion of the JPB's recent equity analyses in **Appendix M**.

E. Limited English Proficiency (LEP) Language Assistance Plan (LAP)

The JPB's current Limited English Proficiency Language Assistance Plan for providing language assistance for persons with Limited English Proficiency based on the DOT LEP Guidance is contained in **Appendix E**.

F. Membership of Non-elected Committees

JPB currently selects or recruits members for three advisory committees that advise staff and/or report to the JPB Board of Directors relative to Caltrain policies or services. These committees are: the Citizens Advisory Committee (CAC), the Bicycle Advisory Committee (BAC), and the Caltrain Accessibility Advisory Committee (CAAC).

The *Caltrain Citizens Advisory Committee (CAC)* represents San Francisco (SF), San Mateo (SM) and Santa Clara (SC) Counties. The CAC is composed of nine volunteer members who serve in an advisory capacity to the tri-county Caltrain policy board, providing input on the needs of current and potential rail customers, and reviewing and commenting on staff proposals and actions as requested by the board.

The CAC meets the third Wednesday of each month at 5:40 p.m. at JPB's headquarters in San Carlos, just one block from the San Carlos Caltrain Station. All meetings are open to the public.

Annual four-week recruitment is held in April and May to fill the vacancies on the CAC. In the event there are a significant number of unexpected vacancies, the JPB may request that staff hold an off-cycle recruitment. JPB is proactive with respect to recruiting new CAC members. Depending on the number and location of vacant seats, ads for applications are sometimes placed in the papers of record in San Francisco County, San Mateo County, and Santa Clara County with language-specific ads placed in the Asian Journal (Mandarin), El Observador (Spanish), and Half Moon Bay Review (bilingual English/Spanish). JPB also provides recruitments notices in the following forms:

- News releases
- Onboard take-ones (which has been found to be the best method for customers to receive information)
- Board and CAC meeting announcements

Applications are reviewed by Staff Coordinating Council (SCC) who coordinates the selection process with county representatives on the Board. The Board county representatives then provide their recommendation to the full Board at their public meeting for appointment to the CAC.

The following table illustrates the current membership of the JPB Citizens Advisory Committee. The committee is 78% Caucasian, 11% Hispanic, and 11% unknown race.

Table 1: Current (2013) CAC Membership List

#	Race	County Represented (SF, SM, SC)
1	Caucasian	SF
2	Caucasian	SF
3	Caucasian	SF
4	Caucasian	SM
5	Caucasian	SM
6	Hispanic	SM
7	Caucasian	SC
8	Caucasian	SC
9	Declined to respond	SC

The *Caltrain Bicycle Advisory Committee (BAC)* serves as the primary venue for the interests and perspectives of bicyclists to be integrated into the Caltrain planning processes. This group brings new ideas for discussion and helps Caltrain guide its future investments.

The committee is a partnership composed of nine volunteer members and Caltrain staff. There are three representatives from each of the three counties served by Caltrain: San Francisco, San Mateo and Santa Clara. One member from each county is a public agency staff member responsible for bike planning and/or policy development, one is a member of a bicycle advocacy organization, and one is a Caltrain bike passenger from the general public.

Annual four-week recruitment is held in November and December to fill the vacancies on the BAC. JPB provides recruitment notices in the following forms:

- News releases
- Onboard take-ones (which has been found to be the best method for customers to receive information)
- Board, CAC and BAC meeting announcements
- Social media announcements

As the BAC is comprised of staff / members of specific public agencies and bike organizations, the JPB does not conduct separate recruitment of minority applicants. However, in the future, the JPB will make clear in its recruitment materials that individuals of diverse ethnic backgrounds are encouraged to apply.

BAC members are selected by the Staff Coordinating Council (SCC). A BAC member's term is two years. The BAC meets every third Thursday every other month at 6:45 p.m. at JPB's headquarters in San Carlos, just one block from the San Carlos Caltrain Station. All meetings are open to the public.

The following table illustrates the current membership of the BAC. The committee is currently 62.5% Caucasian and 37.5% unknown. One seat on the committee is currently vacant.

Table 2: Current (2013) BAC Membership List

#	Race	County Represented (SF, SM, SC)
1	Caucasian	SF
2	Caucasian	SF
3	Declined to Respond	SF
4	Caucasian	SM
5	Declined to Respond	SM
6	Vacant Seat	SM
7	Caucasian	SC
8	Caucasian	SC
9	Declined to Respond	SC

The *Caltrain Accessibility Advisory Committee (CAAC)* meets annually to discuss and advise JPB staff on policies, plans and procedures relating to the development, implementation and operation of Caltrain accessible transit services, and on compliance with the requirements of the American with Disabilities Act of 1990.

The CAAC is organized by JPB's Accessible Transit Services staff. The JPB does not select members; all interested parties with accessibility interests are welcomed so membership fluctuates. Currently there are 17+ members from San Francisco, San Mateo, Santa Clara, and other Bay Area counties. In some cases members are affiliated with organizations.

Outreach for the CAAC targets specific CBOs that serve members of the public with accessibility concerns. Recruitment notices are shared with organizations including the Vista Center for the Blind and Visually Impaired, the Commission on Disabilities, the Commission on Aging, the Center for Independence of Individuals with Disabilities, the Pomeroy Recreation and Rehabilitation Center, the Lighthouse, and The Arc of San Francisco. In the future JPB can also target minority outreach for the CAAC by posting language specific ads in the tri-county and by contacting specific CBOs with high minority membership.

The following table illustrates the current membership of the CAAC. The committee is currently 35% Caucasian and 65% unknown.

Exhibit 4: Current (2013) CAAC Membership List

#	Race	Affiliated	County Represented
		Organization	(SF, SM, SC, other)
		(if applicable)	
1	Caucasian	none	SF
2	Caucasian	none	SM
3	Caucasian	none	SM
4	Caucasian	Vista Center for the	SM
		Blind and Visually	
		Impaired	
5	Caucasian	Vista Center for the	SM
		Blind and Visually	
		Impaired	
6	Caucasian	Santa Clara Valley	SC
		Transportation	
		Authority (VTA)	
7	Declined to Respond	Declined to Respond	Declined to Respond
8	Declined to Respond	Declined to Respond	Declined to Respond
9	Declined to Respond	Declined to Respond	Declined to Respond
10	Declined to Respond	Declined to Respond	Declined to Respond
11	Declined to Respond	Declined to Respond	Declined to Respond
12	Declined to Respond	Declined to Respond	Declined to Respond
13	Declined to Respond	Declined to Respond	Declined to Respond
14	Declined to Respond	Declined to Respond	Declined to Respond

15	Declined to Respond	Declined to Respond	Declined to Respond
16	Declined to Respond	Declined to Respond	Declined to Respond
17	Declined to Respond	Declined to Respond	Declined to Respond

G. Sub-recipient Monitoring

The JPB does not have any sub-recipients for Federal Funding, thus no monitoring of sub-recipients is required by the JPB.

H. Evidence of Board Approval

The Board meeting agenda and resolution providing the Board's consideration and adoption of JPB's 2013 Title VI Compliance Program will be included in Appendix F following Board adoption.

I. Construction Projects

The JPB has not constructed any projects (such as a vehicle maintenance storage facility, maintenance facility, or operation center) since submittal of its last Title VI Program (December 2010). During the next reporting period, the JPB intends to construct a Back-up Control Facility as part of the Caltrain Communications Based Overlay Signal System (CBOSS) PTC Project (see project update in **Appendix G**). This project is categorically excluded from NEPA in accordance with 23 C.F.R. Section 771 118(c) (1):

"Section 771.118(c)

(1) Acquisition, installation, operation, evaluation, replacement, and improvement of discrete utilities and similar appurtenances (existing and new) within or adjacent to existing transportation right-of-way, such as: utility poles, underground wiring, cables, and information systems; and power substations and utility transfer stations."

The project will not create any community disruption, have any negative environmental effects during or after construction, nor present any potential environmental justice concerns.

Current Project Schedule

Critical design: September 2012- May 2013 Final design: May 2013 - September 2013

Installation and testing: September 2013 - September 2015

Begin revenue service: October 2015

J. Additional Information upon Request

At the discretion of FTA, information other than that required by the circular may be requested. FTA has not requested such information, and none has been provided at this time.

III: REQUIREMENTS OF TRANSIT OPERATORS

This chapter responds to the specific requirements for FTA-assisted transit operators that operate 50 or more fixed route vehicles in peak service and are located in an Urbanized Area (UZA) of 200,000 or more people.

A. Service Standards and Policies

A copy of the JPB's major service change policy, disparate impact and disproportionate burden policies, and system wide service standards and policies, adopted by the JPB Board of Directors on April 4, 2013, can be found in **Appendix H**.

B. Demographic and Service Profile

JPB regularly evaluates demographic information as part of any proposed service or fare change, as required by the FTA. In addition, JPB conducted additional analysis using Census data for this Program submission. The results are included in **Appendix I**.

C. Demographic Ridership and Travel Patterns

JPB conducts surveys on statistically-valid samples of passengers every three years. The survey questions include queries regarding race/ethnicity and household income, among many others. A copy of JPB's most recent survey analysis (completed in 2010) is contained in **Appendix J**.

JPB will be conducting the 2013 triennial survey in November and December 2013. The 2013 survey results will be available in 2014.

D. Monitoring Program Results

JPB's most recent analysis of performance under JPB's service standards and policies adopted in April 2013 can be found in **Appendix K**.

E. Public Engagement for Policy Development

A summary of the public engagement process utilized to develop and vet JPB's newly adopted major service change policy, disparate impact and disproportionate burden policies, and system wide service standards and policies can be found in **Appendix L**.

F. Title VI Equity Analyses

The JPB has conducted two (2) fare equity analyses across the review period. Each equity analysis revealed JPB had no disparate impact or disproportionate burden findings. Complete copies of all fare and service equity analyses conducted by the JPB during the review period are included in **Appendix M**.

- JPB Title VI Equity Evaluation Proposed Fare Change FY 2012 dated 5/11/2011
- JPB Title VI Equity Evaluation Proposed Fare Change FY 2013 dated 4/1/2012

The JPB did not consider any other major service changes during the review period that met the threshold under either the new major service change policy adopted in April 2013 or the previous agency policy. During the outset of the FY 2012 fare change proposal major service changes were considered. However based on public input, the proposed service changes were eliminated from consideration before the full equity analysis was completed.

A. TITLE VI NOTICE TO PUBLIC

The JPB Notice to the Public regarding Title VI rights is included below. It is posted in English and Spanish at several highly visible public locations around JPB headquarters at 1250 San Carlos Avenue, San Carlos, CA (including the lobby, customer reception desk, and board meeting room) and at all Caltrain Stations posted on information boards. JPB's Title VI notice to the public is also posted on Caltrain's Website http://www.caltrain.com/riderinfo/TitleVI.html. Examples of the posted Title VI notices follow.

The JPB will be updating the Title VI notices to include notice of free translation assistance in all safe harbor languages as defined in the Limited English Proficiency and Language Assistance Plan included in this Title VI submission.

Title VI

Caltrain operates its programs and services without regard to race, color or national origin in accordance with Title VI of the Civil Rights Act of 1964.

Any person who believes they have been discriminated against based on race, color or national origin with regard to transit services delivery has the right to file a complaint within 180 days of the alleged incident. You may download a complaint form below or request one by calling 1.800.660.4287 (TTY 650.508.6448). You also may file a complaint with the Federal Transit Administration through its Office of Civil Rights, Title VI Program Coordinator, East Building, 5th floor-TCR, 1200 New Jersey Ave., SE, Washington, DC 20590.

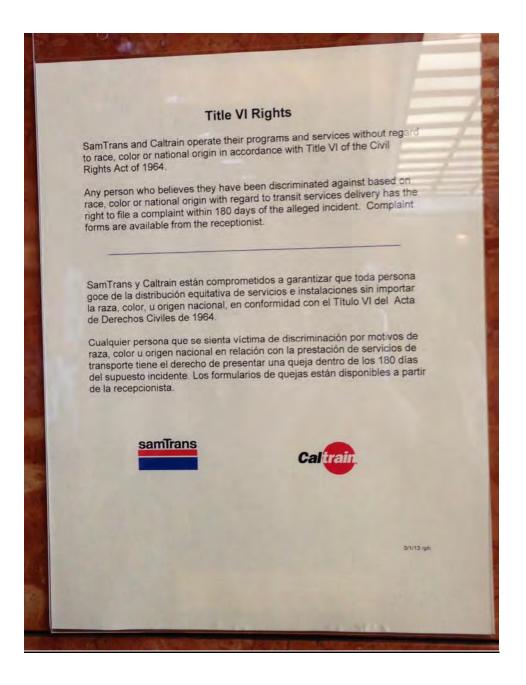
Caltrain está comprometido a garantizar que toda persona goce de la distribución equitativa de servicios y instalaciones sin importar las cuestiones de raza, color u origen nacional, de conformidad con el Título VI del Acta de Derechos Civiles de 1964.

Cualquier persona que se sienta víctima de discriminación por motivos de raza, color u origen nacional en relación con la prestación de servicios de transporte tiene el derecho de presentar una queja dentro de los 180 días del supuesto incidente. Usted puede descargar un formulario de queja (abajo) o solicitar un formulario, llamando al numero 1.800.660.4287 (TTY 650.508.6448). También puede presentar quejas al Federal Transit Administration por medio de la oficina, "Office of Civil Rights", con el coordinador de programa del Title VI ubicado en el quinto piso-TCR del edificio oeste, 1200 New Jersey Ave., SE, Washington, DC 20590.

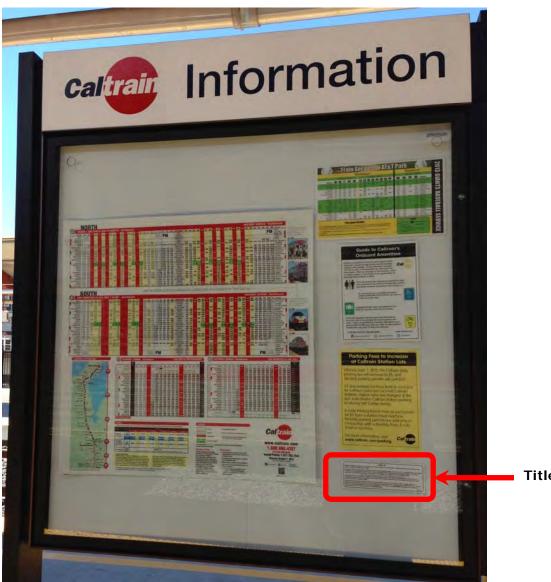
10/24/13 - rph



Title VI Notice - SamTrans & JPB (Caltrain) headquarters, San Carlos, CA

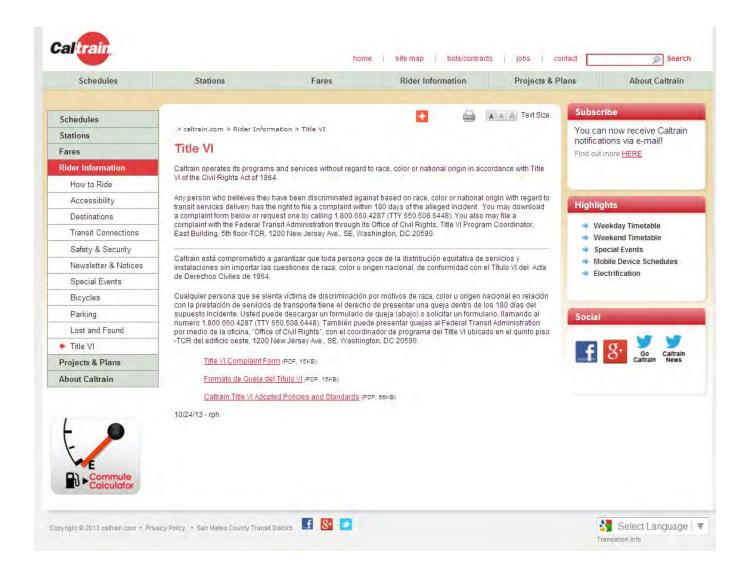


Title VI Notice - Caltrain Station



Title VI Notice

Title VI Notice - Caltrain Website

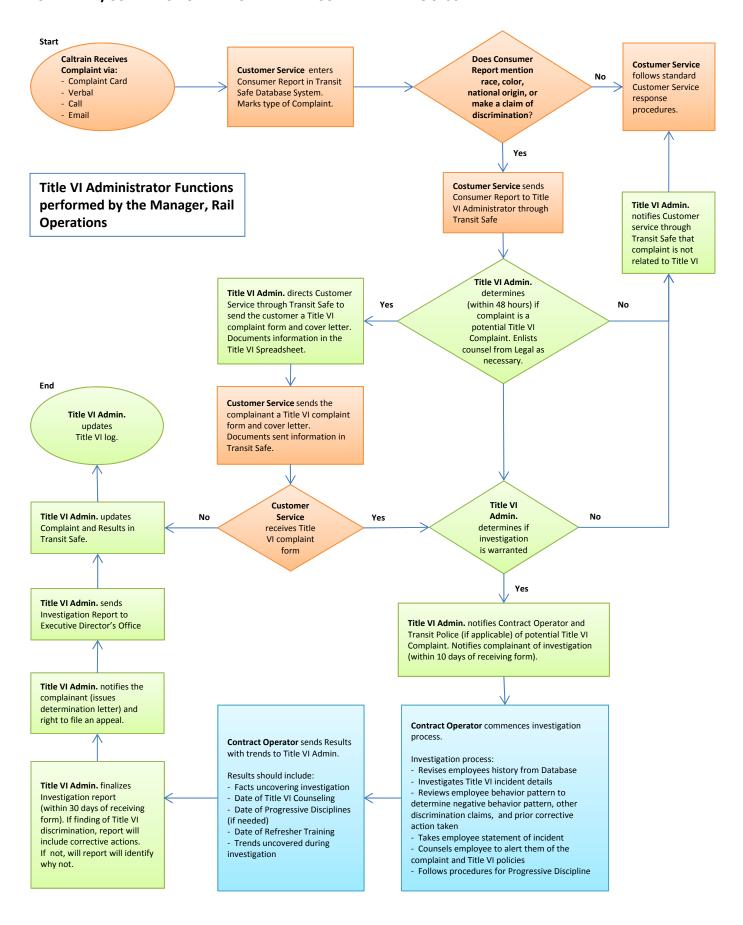


B. TITLE VI COMPLAINT PROCEDURES & FORM

The JPB Title VI Complaint Form, Instructions, Processing Procedure and Investigation Processing Procedure follow.

The JPB will be updating the Title VI complaint procedures and form in all safe harbor languages as defined in the Limited English Proficiency and Language Assistance Plan included in this Title VI submission.

CALTRAIN/CONTRACT OPERATOR TITLE VI COMPLAINT PROCESS





Re: Caltrain Title VI Discrimination Complaint Form

Dear Caltrain Customer:

Title VI of the Civil Rights Act of 1964 requires that "No person in the United States shall, on the ground of race, color or national origin, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving federal financial assistance."

Caltrain is committed to ensuring that no person shall be excluded from the equal distribution of its services and amenities because of race, color or national origin as protected by Title VI, as amended. If you believe you have been subjected to discrimination under Title VI, you may file a written complaint. Please complete the enclosed form to initiate a formal complaint and investigation process. The form is available in accessible and alternative formats, such as large print, TDD and Spanish. Your completed form should be returned to us at:

Caltrain Title VI Administrator 1250 San Carlos Ave. – P.O. Box 3006 San Carlos, CA 94070

This form must be filed within 180 calendar days of the alleged discriminatory incident. After the form is submitted, you will be contacted within 10 business days of our receipt of the form. A lead investigator will be assigned to the complaint. If you or another person identified as the primary contact for the complaint does not get confirmation of receipt of the complaint form within 10 business days, please contact us though our website (www.caltrain.com) or by phone at 1.800.660.4287 (TTY 650.508.6448).

Caltrain Title VI Administrator

Español al otro lado



Ref.: Caltrain - Formato de Queja de Discriminación del Título VI

Estimado Caltrain al Cliente:

El Título VI del Acta de Derechos Civiles de 1964 solicita que "Ninguna persona en los Estados Unidos debe, por cuestiones de raza, color o origen nacional, ser excluida de participación, dejar de recibir algún beneficio o ser discriminada bajo cualquier programa o actividad que reciba asistencia financiera federal".

Caltrain está comprometido a garantizar que ninguna persona sea excluida de la distribución equitativa de servicios y comodidades por cuestiones de raza, color o origen nacional tal como lo establece el Titulo VI según la enmienda. Si usted cree que ha sido discriminado bajo el Título VI puede presentar una queja por escrito. Por favor llene el formulario adjunto para iniciar una queja formal y un proceso de investigación. El formulario está disponible en formatos accesibles y alternativos, como los impresos, TDD y en español. Una vez que llene su formulario envíelo a:

Caltrain, Title VI Administrator 1250 San Carlos Ave. – P.O. Box 3006 San Carlos, CA 94070-1306

El formulario debe presentarse dentro de 180 días calendario a partir del incidente de discriminación. Una vez que entregó el formulario, será puesto en contacto en los dentro de los siguientes 10 días hábiles a partir de su recepción. Se le destinar a un investigador para la queja. En caso de que usted o otra persona identificada como el contacto principal para la queja no reciba la confirmación de recepción en los siguientes 10 días hábiles, póngase en contacto en nuestro sitio web (www.caltrain.com) o llámenos al 1-800-660-4287 (TTY 650-508-6448).

Caltrain, Administrador de Título VI

English on other side

Caltrain – Title VI Discrimination Complaint Form

Caltrain is committed to ensuring that no person shall be excluded from the equal distribution of its services and amenities because of race, color or national origin. Any person who believes they have been discriminated against based on one of these categories may file a complaint. Complaints must be filed within 180 calendar days of the incident.

Within 10 working days of receipt of your completed complaint form, Caltrain will contact you to confirm receipt of your complaint form and begin an investigation (unless the complaint is filed with an external entity first or simultaneously). The investigation may include discussion(s) of the complaint with all affected parties to determine the nature of the problem. The investigation generally will be conducted and completed within 60 days of receipt of a complete complaint form. Based upon all information received, an investigation report will be submitted to a Caltrain Deputy CEO. The complainant will receive a letter stating the Caltrain's final decision by the end of the 60-day time limit.

Please complete the information below and send to: Caltrain, Title VI Administrator

1250 San Carlos Ave. - P.O. Box 3006

San Carlos, CA 94070-1306 or: titlevi@caltrain.com

SECTION 1 - CONTACT INFORMATION

Name:			
Address:			
City:		State:	Zip Code:
Phone: (Home)	(Cell)		(Work)
Please note if any of the phone num	bers are for a TI	DD or TTY.]	
E-mail:	@		
SECTION 2 – FILING FOR ANOTHE	ER PERSON		
Are you filing this complaint on your	own behalf?	Yes	No
If you answered "yes" to this question	on, go to Section	3.]	
f not, please supply the name and re	elationship of the	e person for w	hom you are filing the complain
Please explain why you have filed for			
Please confirm that you have obtaine	ed the permissio	n of the aggrie	

SECTION 3 – DISCRIMINATION COMPLAINT

because of your:
RaceColorNational Origin
Please describe the Race, Color or National Origin of the aggrieved party
Date and time the alleged discrimination took place: Date// Timea.m. / p.m
Where did the alleged discrimination take place? Specific vehicle information is helpful (e.g. vehicle number).
Is there a person you can identify who discriminated against the aggrieved party? Name: ID#
In your own words, describe the alleged discrimination. Explain what happened and who you believe was responsible. Please use additional sheets if necessary.
SECTION 4 – PREVIOUS OR EXISTING COMPLAINTS AND LAWSUITS
Have you previously filed a Title VI discrimination complaint with Caltrain?
Yes, for this incident Yes, for a different incident No
Have you filed this complaint with any other agencies or a court?
Federal AgencyState AgencyLocal Agency
Federal courtState court
Other (please specify):
Have you filed a claim or lawsuit regarding this complaint? Yes No
If yes, please provide a copy of the complaint form and note court where filed:
Federal CourtState Court
Please provide contact person information for the agency/court where the complaint was filed. Name / Office:
Address:
City: State: Zin Code:

Please sign below to attest to the truthfulness of the other information that you think is relevant to your co	,
Complainant's Signature	 Date

Phone Number _____

SECTION 5 – SIGNATURE

Note: A complaint also may be filed with: Federal Transit Administration, Office of Civil Rights, Attention: Title VI Program Coordinator, East Building, 5th Floor – TCR, 1200 New Jersey Ave., SE, Washington, DC 20590.

2/25/13

Caltrain - Formulario de Queja Discriminación según el Título VI

Caltrain está comprometido a garantizar que ninguna persona sea excluida de la distribución equitativa de servicios y instalaciones por cuestiones de raza, color o origen nacional. Cualquier persona que se sienta víctima de discriminación en alguna de las categorías anteriores puede presentar una queja. Las quejas deben presentarse dentro de los 180 días calendario a partir del incidente.

Dentro de los siguientes 10 días hábiles de recepción del formulario de queja, Caltrain le contactará para confirmar la recepción de su queja y comenzará una investigación (a menos que la queja sea presentada ante una entidad externa antes o simultáneamente). La investigación puede incluir debate(s) acerca de la queja con todas las partes afectadas para determinar la naturaleza del problema. Por lo general, la investigación se llevará a cabo dentro de los 60 días siguientes a partir de la recepción del formulario de queja completo. En base a toda la información captada, se entregará un reporte de investigación a un delegado del CEO de Caltrain. El reclamante recibirá una carta con la decisión final de Caltrain al finalizar los 60 días del tiempo límite.

Proporcione la información solicitada a continuación y envíela a:

Caltrain, Title VI Administrator 1250 San Carlos Ave. – P.O. Box 3006 San Carlos, CA 94070-1306 o: titlevi@caltrain.com

SECCION 1 - INFORMACIÓN DE CONTACTO

Nombre:	
Dirección:	
Ciudad:	Estado:Código de área:
Teléfono: (Casa) (Teléfono mo (Trabajo)	óvil)
[Señale si alguno de los números telefónicos son T	DD o TTY].
Correo electrónico:	@
SECCION 2 LLENADO DEL FORMULARIO PA	RA OTRA PERSONA
¿Está llenando este formulario para una queja prop	oia? Si No
[Si la respuesta es "si", vaya ala Sección 3].	
Si la respuesta es "no", proporcione el nombre y su formulario:	ı relación con la persona para quien llena el
Explique la razón por la que presenta la queja com	o tercera persona.

Confirme que cuenta con el permiso de la parte agraviada para presentar esta queja como tercera persona. Si No
SECCION 3 QUEJA DE DISCRIMINACIÓN
¿Cuál de las siguientes razones describe mejor el motivo de su queja? Fue por su: RazaColor Origen nacional Describa la raza, color u origen nacional de la parte agraviada
Fecha y hora de la supuesta discriminación: Fecha// Horaa.m. / p.m.
¿Dónde sucedió la supuesta discriminación? Es de utilidad especificar la información del vehículo (por ejemplo, el número del mismo).
¿Identifica a alguna persona que haya discriminado a la parte agraviada? Nombre: # de ID
Describa la supuesta discriminación con sus propias palabras. Explique lo que pasó y mencione a quién considere responsable. Utilice más hojas si así lo necesita.
SECCION 4 QUEJAS ANTERIORES O EXISTENTES Y DEMANDAS ¿Cuenta con alguna queja previa sobre discriminación según el Título VI con Caltrain?
Sí, por este incidente Sí, por otro incidente No
¿Ha llevado esta queja a alguna otra agencia o a una corte?
Agencia federalAgencia estatal Agencia local
Corte federalCorte estatal
Otro (especifique):
¿Ha presentado alguna queja o demanda respecto a esta queja en particular?
Sí No
Si así lo hizo, proporcione una copia del formulario de la queja y señale la corte donde la presentó: Corte federalCorte estatal

donde presentó la queja.	•	-
Nombre / Oficina:		
Dirección:		
Ciudad:	Estado:	Código de área:
Número telefónico		
SECCIÓN 5 FIRMA		
Por favor firme a continuación para dar fe de la veracidad escrito adicional o bien información que considere relevar		
Firma del reclamante	Fecha	

Por favor proporcione la información de contacto de la persona que lo atendió en la agencia/corte

Nota: Una queja también puede presentarse a: Federal Transit Administration, Office of Civil Rights, Atención: Title VI Program Coordinator, East Building, 5th Floor – TCR, 1200 New Jersey Ave., SE, Washington, DC 20590.

18/02/2013

Title VI Complaint Processing Procedure

The Peninsula Corridor Joint Powers Board (Caltrain) grants equal access to all of its transportation services. It is further the intent of the JPB that everyone is aware of their rights to such access. In accordance with Title VI of the 1964 Civil Rights Act, Caltrain is committed to ensuring that no person shall be excluded from the equal distribution of it services and amenities on the basis of race, color, national origin, English language proficiency or economic status. Any person who believes that his/her Title VI rights have been violated may file a complaint with Caltrain.

The Consumer Report process is a valuable tool used to track all consumer concerns, suggestions, compliments, requests and complaints regarding Caltrain services. All Consumer Reports are entered into the TransitSafe Customer Service Module. This enables the JPB to identify issues, make improvements and track progress on a regular and ongoing basis. Status on all reports is available online to anyone with a password.

Consumer Reports are received in three main ways:

- By phone (often via the Customer Service 800 number)
- Via email (comments from the Caltrain website download directly into TransitSafe)
- By hard copy letter or comment card

For the most part, responses are usually processed by the Customer Service Representatives. Consumer Reports related to accessibility issues are handled by the Accessibility Specialists. Claims are handled by the Risk Management Department.

Receipt of Original Complaint*

*Follow this section if the complaint is not submitted on a Title VI Form. If a Title VI Complaint Form is received skip to "Receipt of Title VI Complaint Form"

Customer Service Center

- 1) Receive the complaint, following current procedures for entering the Consumer Report into the TransitSafe database system.
- 2) Check the discrimination box in TransitSafe for all Consumer Reports that mention race, color, or national origin, or make a claim of discrimination.
- Route all Consumer Reports that are marked discrimination to the normal recipients for routine investigation. The Consumer Report will also be sent to the Title VI Administrator for review.

Title VI Administrator

- 1) Review all incoming Consumer Reports within 48 hours of receipt for potential Title VI claims.
- 2) Determine if the complaint is a potential Title VI complaint. Enlist assistance from Legal as necessary.
- 3) For potential Title VI claims:
 - a. Advise Customer Service through TransitSafe to send the customer a Title VI complaint form.

- b. Notify the Contract Operator of the potential for a Title VI claim.
- 4) For other complaints:
 - a. Advise Customer Service to answer utilizing the information provided from the Contractor Operator.

Contract Operator

- 1) Investigate the complaint according to their procedures. If the investigation proceeds prior to potential Title VI notification from the Title VI Administrator, the investigation should be made with a potential Title VI claim in mind.
- 2) Enter findings into TransitSafe.

Potential Title VI Claim

Title VI Administrator

- 1) Direct Customer Service Center to send the Title VI Complaint Form and Cover Letter (Attached Appendix A and Appendix B).
- 2) Enter information into the Title VI Spreadsheet. Note when and how forms are provided in TransitSafe.

Customer Service Center

- 1) Respond to customer
 - a. Following standard response procedures as if this were any other type of complaint.
 - b. Send Title VI Complaint Form and Cover Letter to customer as part of the above response. If complainant is unable to complete a written form, agency staff can fill one out on their behalf.
- 2) Document in TransitSafe how and when Title VI information was sent.

Receipt of Title VI Complaint Form

Title VI Administrator

- 1) Make determination whether the complaint is a valid Title VI complaint. Enlist assistance from Legal as necessary.
 - a. If an investigation is warranted, follow the Title VI Investigation Form (Appendix C).
 - b. If no investigation is initiated, clearly document the reason.
- 2) Inform complainant that a formal investigation is being conducted or that their complaint is not covered by Title VI. This must be done within 10 working days of receipt of the completed and signed Title VI Discrimination Complaint Form.
- 3) Notify Contractor of Title VI investigation in writing, including request for documentation.
- 4) Notify Transit Police of Title VI investigation in writing, including request for documentation if complaint is related to fare evasion, the receipt of a citation, or other incident that involved Transit Police.
- 5) Enter information into the Title VI spreadsheet. Only the original complaint and investigation by the Contract Operator will be kept in TransitSafe. Title VI Investigations and Findings will not be kept in TransitSafe, but only in the Title VI spreadsheet.

- a. Send to Customer Service to enter into TransitSafe if this is the first that a complaint has been received.
- b. Indicate to Customer Service whether this is being investigated as a Title VI investigation or if it should be a routine investigation.
- 6) Keep hard copies in a Title VI notebook.

Contract Operator

- 1) Assist Title VI Administrator with investigation.
- 2) Provide employee's training records.
- 3) Provide summary of complaint history regarding the employee.
- 4) Provide summary of any disciplinary actions that have occurred as a result of customer complaints.

Transit Police

- 1) Assist Title VI Administrator with investigation.
- 2) Provide summary of citation records associated with the conductor if complaint is related to the issuance of a citation.
- 3) Provide summary of Transit Police response to the scene of an incident, provided it is not subject a separate criminal investigation.

Customer Service

- 1) If the Title VI Form is the first receipt of the complaint:
 - a. Enter complaint into TransitSafe if the Title VI Complaint Form is the first receipt of the customer complaint.
 - b. Indicate the date that the form was received and whether the claim has been determined to warrant a Title VI investigation or a routine investigation.
 - c. Route to all parties as appropriate.
 - d. Do not provide an answer to the customer, unless directed by the Title VI Administrator.
- 2) If the Title VI Form is derived from a previous complaint, no action is needed. Title VI Investigations and Findings are not recorded in TransitSafe.

Title VI Investigation Report

Title VI Administrator

- 1) Draft Investigation Report within 30 days of receipt of Title VI Complaint Form.
- 2) Review Investigation Report with Contractor. Discuss findings and/or recommendation for resolution.
- Finalize Investigation Report. If finding of violation of Title VI discrimination, the report will include recommended corrective actions. If no finding of Title VI discrimination, the report will identify why not.
- 4) Notify Complainant of finding (issue determination letter), along with their right to appeal and information regarding the appeal process (Appendix D). Complainant will be notified of findings within 60 days of receipt of the complaint form.
- 5) Send Investigation Report to Executive Director's office, as complainant has 60 days after receiving the determination letter to appeal findings to the Executive Director.
- 6) Update complaint file and log.

Contract Operator

- 1) Track complaint, employee history, and findings.
- 2) Implement corrective actions, as required by the report.
- 3) Track corrective actions.

Definitions

Contract Operator: The company providing the services of the railroad on behalf of Caltrain.

Customer: Any member of the public who comes into contact with Caltrain services.

Customer Service Center: The agency department that handles intake of customer inquiries, compliments, and complaints. The Customer Service Center routes customer comments as needed through TransitSafe for investigation by the responsible party. Using the information provided by the responsible party the Customer Service Center responds to the customer.

Title VI Administrator: The agency staff member assigned to handle the Title VI process, including tracking and investigating Title VI complaints.

Title VI Spreadsheet: An excel spreadsheet used to track potential and filed Title VI complaints. The spreadsheet will also be used to monitor and analyze performance and determine if there are any trends that need to be mitigated.

Transit Police: Law enforcement contracted through the San Mateo County Sheriff's Office to support Caltrain. Transit Police Title VI complaints will be handled by the Department of Risk Management and the San Mateo County Sheriff's Office.

TransitSafe: A tool for collecting information and routing that information. All detailed information regarding complaints is kept in this database.

Caltrain – Title VI Discrimination Investigator Form

SECTION 1 - CASE INFORMA	<u>ATION</u>	
Title VI Complaint Form Numb	er:	
TransitSafe & Folder Number	(if it exists):	
Complainant Name:		
Investigator Name:		
Investigation Completion Due	Date	
SECTION 2 – PREVIOUS INV	ESTIGATION	
Has this incident/complaint be	en investigated previously?	Yes No
[If you answered "no" to this qu	uestion, go to Section 3.]	
Was the previous investigation	conducted with the discrimination	charge in mind?
Yes	No	
[If you answered "no" to this qu	uestion, go to Section 3.]	
Did the previous investigation	result in a finding that discrimination	on was involved?
Yes	No	
Please explain why discrimination with the second s	tion was not involved or what correwas found:	ective actions are being
SECTION 3 – INVESTIGATIO		
Names, ID (if applicable) and t	itle of employee accused of discrir	nination
Name:	Title:	ID#
Name:	Title:	ID#

Name:	•	Title:	ID#
Have t	the training reco	ords for the employee been obtained?	
	Yes	No	
Has a	summary of co	mplaint history of employee been obtained?	?
	Yes	No	
Has a	summary of dis	scipline records related to customer service No	incidents been obtained?
Have t	the citation reco	ords been obtained from Transit Police?	
	Yes	No	
Have t	the records for	Transit Police assistance calls been obtaine	ed?
	Yes	No	
Was th	ne complainant	interviewed?	
	Yes	No	
	If yes, note da	ite, time, and location of interview and attac	h interview notes to this document:
Was th	ne employee in	terviewed?	
	Yes	No	
	If yes, note da	ite, time, and location of interview and attac	h interview notes to this document:
Were o	other witnesses	s interviewed?	
	Yes	No	
	•	e following below: date, time, and location operson is related to the incident. Attach inte	
Based	_	pation, is there evidence to suggest that disc	crimination occurred?
If yes,	what corrective	e action was taken?	
If it wa	s determined th	nere was no discrimination, how was that de	etermination made?

C. LIST OF COMPLAINTS AND INVESTIGATIONS

The following exhibit illustrates the complaints received during the review period by the customer service department. These complaints were all received prior to the implementation of the current Title VI complaint process described in Appendix B.

In 2011, there were ten Title VI complaints. Caltrain's contract operator investigated six of those complaints. Five were determined to have no basis for a discrimination complaint. One was found to have some merit, and the conductor was counseled on his interaction with the customer. An additional three complaints were closed due to a lack of details available from the customer to perform an adequate investigation. The final complaint was investigated internally and no evidence of discrimination was found.

In 2012, there were seven Title VI complaints. Caltrain's contract operator investigated six of these complaints. Five were determined to have no basis for a discrimination complaint. One was found to have some merit. The final complaint was investigated internally. It was determined that the employee was not related to the railroad, but was most likely a security guard from a neighboring property.

In 2013, there was one Title VI complaint. It was closed due to a lack of information from the customer.

In addition, there were no Title VI lawsuits filed during this reporting period (Dec 2010 to present).

Exhibit C.1: Discrimination-related Complaints 12/2010 through 9/2013

	Exhibit C.1: Discrimination-related Complaints 12/2010 through 9/2013							
		Investigation/Complaint/Lawsuit, Summary of Allegations						
Record ID	Date Filed	and Relevant Type(s) of Discrimination Alleged	Status	Last Action Taken				
040331	4/18/2011	Customer alleges conductor discriminates against Asian women and white men.	Closed	5/23/11: Amtrak investigated. Found that customer had failed to have a valid fare - customer was told to detrain at Bayshore and that the train would not wait. The man in question held the doors open, which is against Caltrain policy. Amtrak found no basis for discrimination claim.				
040356	4/19/2011	Hispanic customer alleges his ticket was the only one checked in his car.	Closed	5/13/11: Amtrak investigated and could not find evidence to "corraborate the PAX complaint."				
050037	5/4/2011	Customer was seated next to another passenger who was making white supremist remarks.	Closed	5/1/11: Unable to investigate due to lack of contact information for complaining passenger or witnesses.				
050212	5/13/2011	Customer alleges that conductor scolded another passenger and made comments regarding that passenger's ability to speak English.	Closed	6/27/11: Amtrak investigated and found no basis for discrimination claim. Witness account found in TransitSafe record (#050211) supports Amtrak's conclusion.				
060070	6/5/2011	Customer alleges that the conductor prevented a young African American woman from boarding the train.	Closed	6/23/11: Conductor counseled.				
060099	6/6/2011	Customer, who is of "mixed race", alleges that she was treated differently than other customers who were White or Asian because her ticket was checked at the station before she boarded the train.	Closed	6/6/11: JPB investigated and determined that the customer was referring to new procedures for "gate checking," which provides for pre-boarding ticket checks of passengers boarding at either terminus station, as well as standard on-board ticket checks for passengers boarding at all stations. Passengers who were unaware of the new policy were generally confused about being asked for tickets at the station for the first time. There was no evidence of discriminatory treatment.				
070313	7/21/2011	Customer alleges witnessing conductor not allow a young Asian man to board the train.	Closed	8/26/13: Closed due to lack of details or contact information for complaining passenger or witnesses needed to perform an investigation.				
080156	8/12/2011	Husband of customer alleges that conductor made fun of his wife because she is Thai and has difficulty with English.	Closed	8/19/11: Amtrak investigated and found no basis for discrimination claim. Crew states they were talking and joking around with other customers, but not in regards to the complainant.				
100508	10/28/2011	Customer alleges that conductor yells and screams at customer because customer is white and conductor is black.	Closed	12/1/11: Amtrak investigated and found no basis for discrimination claim. Conductor loudly announces his presence when he walks into the car to check tickets and asks people to have their tickets ready.				
110413	11/28/2011	Customer alleges that conductors acted in a racist manner and called customer a terrorist.	Closed	4/10/12: Attempted to contact to send Title VI form but phone number provided was a wrong number and no other contact information was given.				
010284	1/18/2012	Customer alleges conductor discriminated based on his color.	Closed	1/24/12: Amtrak investigated and found no basis for discrimination claim. Passenger was riding his bicylce on the platform regardless of crew requests to get off his bike. Therefore, he was denied boarding.				
020307	2/20/2012	Hispanic customer alleges conductor discriminated against her and told her to go back to Mexico.	Closed	3/1/12: Amtrak investigated and found no basis for discrimination claim. Multiple crew members state that hey have had multiple encounters involving subject passenger not having a valid ticket and that they have never told her to go back to Mexico.				
020390	2/25/2012	Customer alleges that black conductor stated that white men don't deserve to ride the train.	Closed	3/23/13: Amtrak investigated and found no basis for discrimination claim. Conductor denied the allegation.				
030300	3/18/2012	Asian customer alleges that conductor discriminated against him by saying "Good Boy."	Closed	4/24/12: Amtrak investigated and found no basis for discrimination claim. The conductor stated he "would never intentionally say something offensive to a passangers (sic)."				
040057	4/4/2012	Customer alleges "unprofessional and racist" behavior by causcasion conductor who she alleges referenced her son by saying "no animals are allowed on the train."	Closed	4/6/12: Amtrak investigated and found that the conductor made the remark. However, the investigation revealed that the conductor was referring to the whole car full of boisterous and unruly passengers riding home from a Giants game, and that there is no evidence the remark was directed toward any particular passenger(s) of any race.				
040424	4/27/2012	Customer alleges that conductor discrimation based on race.	Closed	Amtrak did not record an investigation in TransitSafe but stated "we do not treat passengers differently due to any characteristic." Amtrak also stated there was record of a passenger being put off train 101 due to a ticket problem during the noted time period.				
060309	6/21/2012	Customer alleges that an Asian security guard discriminated against her because she is of mixed race.	Closed	7/3/12: Caltrain does not provide security guards at the station in question. It is possible that a security guard from a neighboring property was patrolling the station.				
080018	8/2/2013	Customer alleges that a conductor profiles Hispanics and African Americans.	Closed	8/5/13: Caller would not leave contact information so a Title VI form could not be sent.				

D. PUBLIC PARTICIPATION PLAN

The following document is the JPB's Public Participation Plan (PPP), developed as part of this Title VI Program submission. Documentation for public outreach and involvement activities undertaken in the last three years and descriptions of specific steps taken to ensure participation of minority and low-income persons are included.



PENINSULA CORRIDOR JOINT POWERS BOARD





PUBLIC PARTICIPATION PLAN

OCTOBER 2013



PENINSULA CORRIDOR JOINT POWERS BOARD



PUBLIC PARTICIPATION PLAN

OCTOBER 2013



Prepared by:

JPB

Operations Planning Department

1250 San Carlos Avenue San Carlos, CA 94070

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I. INTRODUCTION

TITLE VI

Title VI of the Civil Rights Act of 1964 prohibits discrimination on the basis of race, color, and national origin in programs and activities receiving federal financial assistance. JPB operates its programs and services without regard to race, color or national origin in accordance with Title VI of the Civil Rights Act of 1964.

One critical concern addressed by Title VI is the language barrier that Limited English Proficiency (LEP) persons face with respect to accessing information about and using transit service. Transit operators must ensure this group has adequate access to the agency's programs and activities, meaning that public participation opportunities should also be accessible to those who have a limited understanding of English (spoken and/or written).

EXECUTIVE ORDER 12989

JPB recognizes the importance of reaching out to and including traditionally under-represented populations (e.g. racial and ethnic minorities, low-income individuals, persons with limited English proficiency, and persons with disabilities) in decision-making. The JPB Public participation Plan (PPP) has been designed to be inclusive of all populations in the JPB service area and includes a detailed public participation process, clear goals, and a variety of public participation methods to provide information and invite the public to give input throughout decision-making processes, and performance measures and objectives.

A. CALTRAIN SYSTEM OVERVIEW

Caltrain is governed by the Peninsula Joint Powers (JPB) which consists of member agencies from each of the counties which Caltrain serves. Each member agency has three representatives on a nine member Board of Directors. The member agencies are the San Francisco Municipal Transportation Agency (MTA), San Mateo County Transportation Authority (TA), and the Santa Clara Valley Transportation Authority (VTA).

Caltrain's commuter rail service is a fixed-route train service that spans San Francisco County, San Mateo County, and Santa Clara County in California. Caltrain has 29 regular stops, one special event only stop (Stanford Stadium Station), and two weekend-only stops (Broadway and Atherton). As of October 1, 2012, Caltrain runs 92 weekday trains (22 Baby Bullets), 36 Saturday trains (4 Baby Bullets), and 32 Sunday trains (4 Baby Bullets). As necessary, Caltrain also provides additional or modified train service for holiday or special events that occur year-round. Exhibit 1 features the Caltrain service network System Map.



B. JPB - ORGANIZATION

The JPB designates the San Mateo County Transit District (District) as the managing agency to provide administrative staff services for Caltrain under the direction and oversight of the Board of Directors. The JPB reimburses the District for the direct and administrative cost incurred for Caltrain operations. Currently, the district provides the following services:

The *Office of the District Secretary* is responsible for directing and overseeing all activities and for providing support to the Board of Directors.

The *Finance and Administration Division* is responsible for financial accounting and reporting, capital and grant administration and budgeting, operational budgeting, payroll and vendor disbursements, cash and investments management, debt management, revenue control, purchasing, contract administration, risk management, information technology, security, safety and human resources.

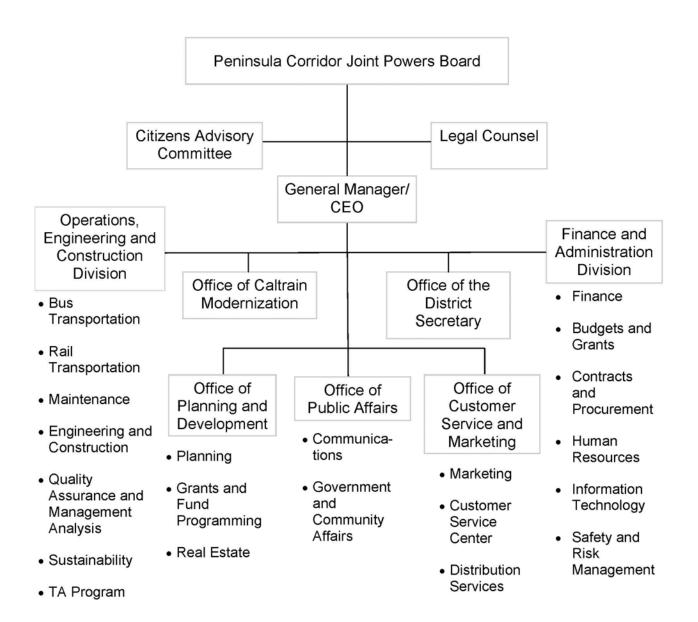
The *Operations, Engineering and Construction Division* is responsible for overall management of Caltrain, including contractor oversight, right of way activities, fare and schedule administration, shuttle administration, service planning and quality assurance, and accommodations for persons with mobility impairments pursuant to the requirements of the Americans with Disabilities Act (ADA), management of all capital projects, including right-of-way maintenance, from conceptual engineering planning through construction and acceptance. The contract operator, Transit America Services Incorporated (TASI), provides train service, maintains equipment and property, and prepares financial and operational reports.

The *Office of Caltrain Modernization Program* is responsible for guiding the planning and implementation of projects that will upgrade the performance, operating efficiency, capacity, safety and reliability of Caltrain's commuter rail services.

The *Office of Public Affairs* is responsible for public information, media relations, legislative activities and community outreach.

The *Office of Customer Service and Marketing* is responsible for customer service, marketing, sales, advertising, market research, website and distribution services.

Exhibit 2: JPB - Organization Chart



II. PURPOSE AND BACKGROUND

A. PURPOSE OF THE PUBLIC PARTICIPATION PLAN

Public participation is the process through which stakeholders can partake directly in agency decision-making, and express their concerns, desires and values. The JPB Public Participation Plan (PPP) serves as a roadmap to ensure the public has sufficient access to information and can provide meaningful input into decisions made regarding the future of Caltrain service. The PPP has been developed through research conducted by staff, inclusion of best practices methods, feedback from previous outreach and public participation activities, and insights provided by peer agencies with the goal of improving how Caltrain interacts with its customers on a daily basis, as well as in larger, intermittent service planning efforts.

This document will discuss the strategies used to attain feedback for the public participation plan and the process of creating the public participation plan. This plan is to be used when Caltrain embarks upon service planning activities or other undertakings wherein public participation plays a critical role in a successful outcome.

Purpose of the PPP:

- 1. To inform the public about Caltrain's transportation issues and planning processes
- 2. To establish the process through which the public can express concerns, desires, and values
- 3. **To reach a wide range of JPB's customers,** and increase the participation of under-represented populations
- 4. To ensure the JPB's programs and activities reflect the community values
- 5. To improve service outcomes based on public input

The PPP is based on the following core values:

- Integrity
- Customer focus
- Respect
- Quality
- Teamwork
- Leadership
- Accountability

The PPP will function as a "living document":

• The PPP is intended to continue to evolve to meet the changing needs of the communities Caltrain serves through updates to actively address stakeholder concerns and requests.

The following sections of the PPP provide an overview of the demographics of the three counties it serves as well as Caltrain riders in particular. This information sets the scene for meaningful public engagement.

B. DEMOGRAPHICS & SERVICE PROFILES

Caltrain primarily serves San Francisco County, San Mateo County, and Santa Clara County. To better serve and meet the needs of Caltrain riders, potential future Caltrain riders, and communities and businesses affected by Caltrain Service the following exhibits provide demographics and service profile information.

Exhibits 3a, 3b, 3c, 3d, 3e, and 3f show key transit service connections, nearby schools, hospitals, shopping malls, hospitals, highways and major roadways in relation to Caltrain's Basemap.

Exhibits 4a, 4b, and 4c display the Total population densities of San Francisco County, San Mateo County, and Santa Clara County by census tracts.

Exhibits 5a, 5b, and 5c display the American Indian population of San Francisco County, San Mateo County, and Santa Clara County by census tracts.

Exhibits 6a, 6b, and 6c display the Asian population of San Francisco County, San Mateo County, and Santa Clara County by census tracts.

Exhibits 7a, 7b, and 7c display the Black population of San Francisco County, San Mateo County, and Santa Clara County by census tracts.

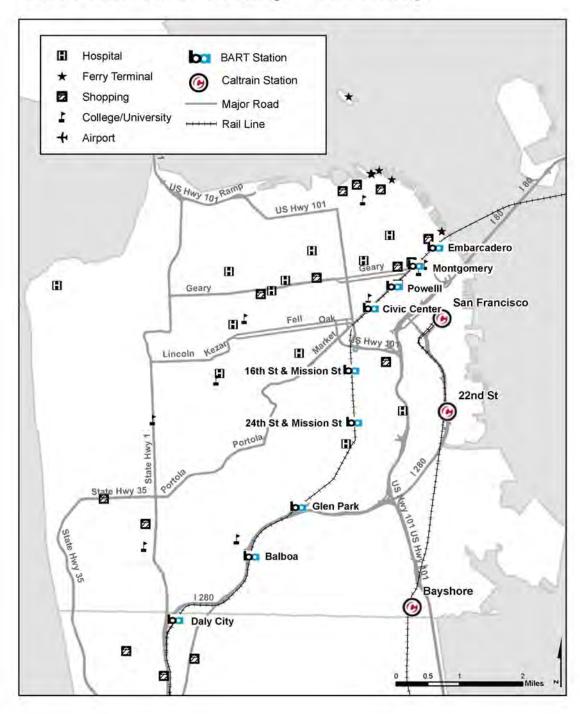
Exhibits 8a, 8b, and 8c display the Hispanic population of San Francisco County, San Mateo County, and Santa Clara County by census tracts.

Exhibits 9a, 9b, and 9c display the Pacific Islander population of San Francisco County, San Mateo County, and Santa Clara Count by census tracts.

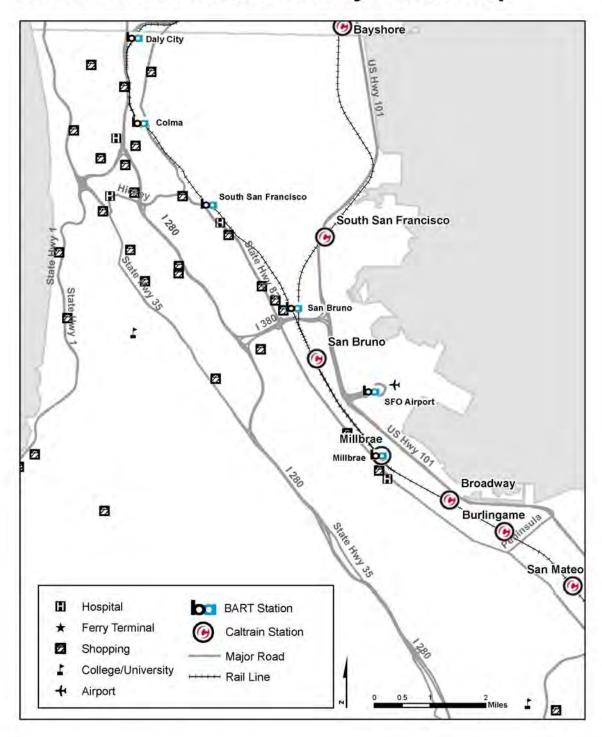
Exhibits 10a, 10b, and 10c display the White population of San Francisco County, San Mateo County, and Santa Clara Count by census tracts.

Exhibits 11a, 11b, and 11c display Other Races population of San Francisco County, San Mateo County, and Santa Clara County by census tracts. "Other races" are all other races that exclude American Indians, Asians, Blacks, Hispanics, Pacific Islanders, and Whites.

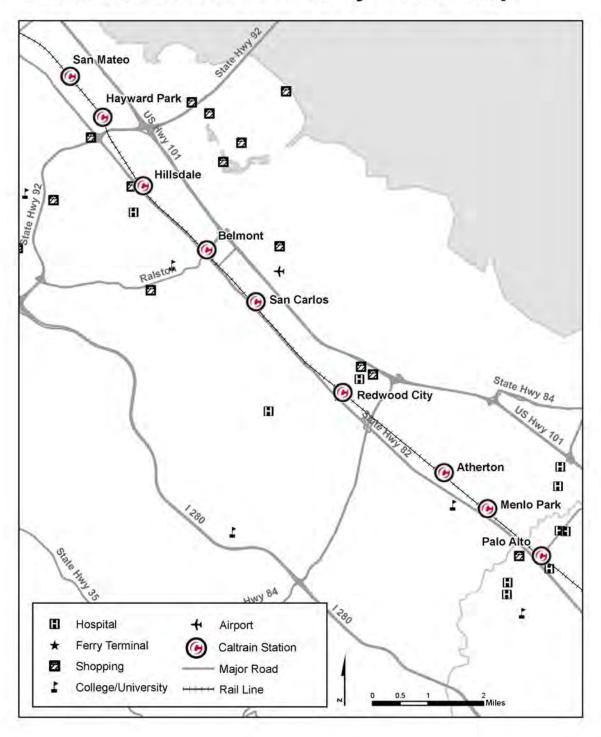
San Francisco County - Base Map



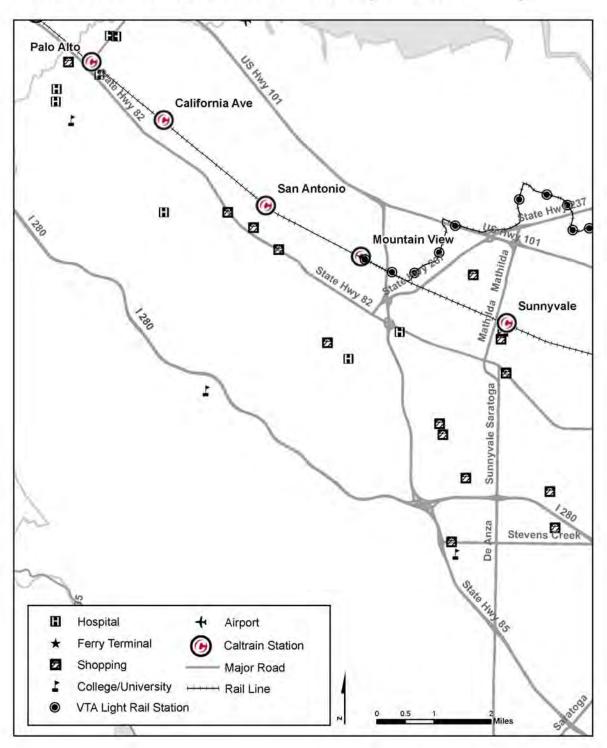
Northern San Mateo County - Base Map



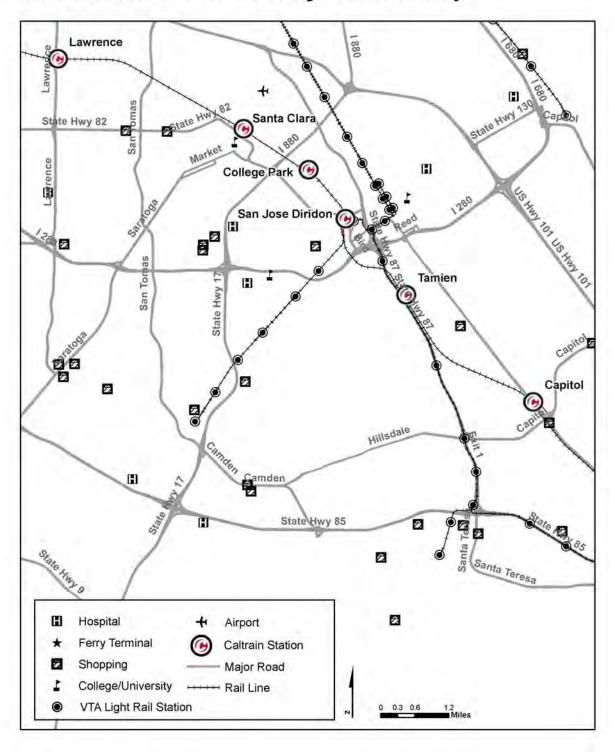
Southern San Mateo County - Base Map



Northern Santa Clara County - Base Map



Mid Santa Clara County - Base Map



Southern Santa Clara County - Base Map

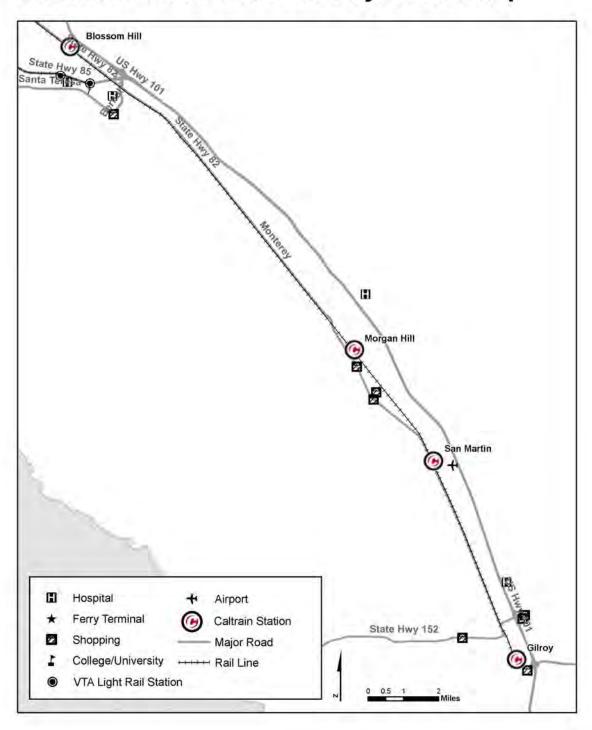
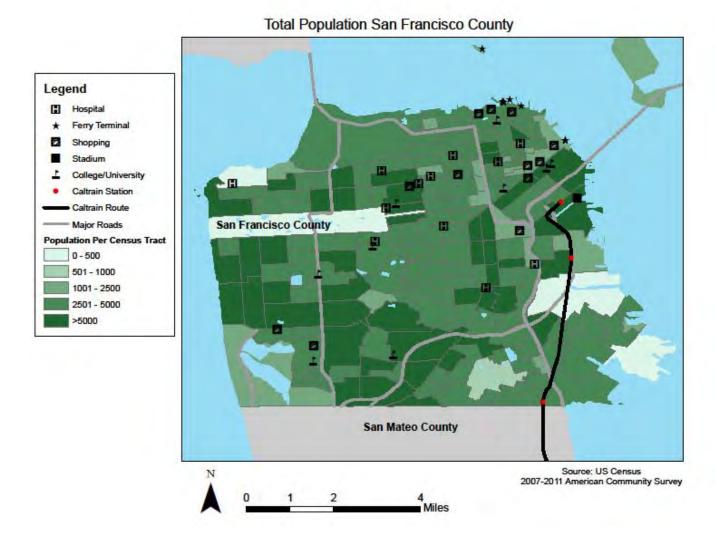


Exhibit 4a: Total Population by Census Tract – San Francisco County



Total Population San Mateo County

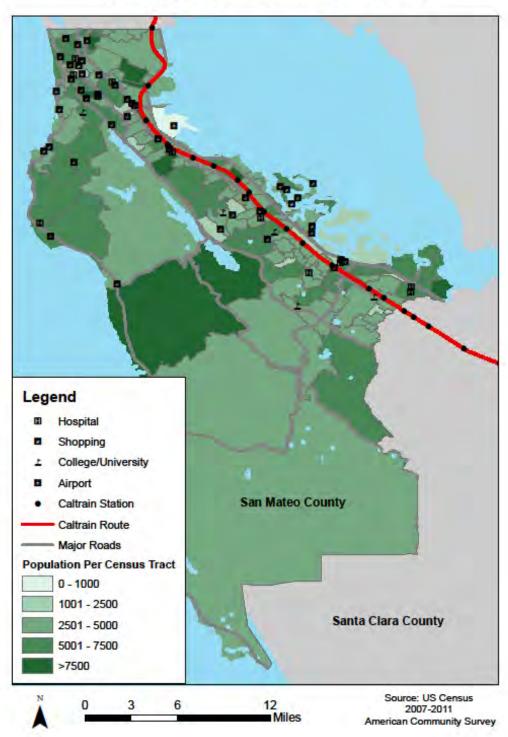


Exhibit 4c: Total Population by Census Tract – Santa Clara County

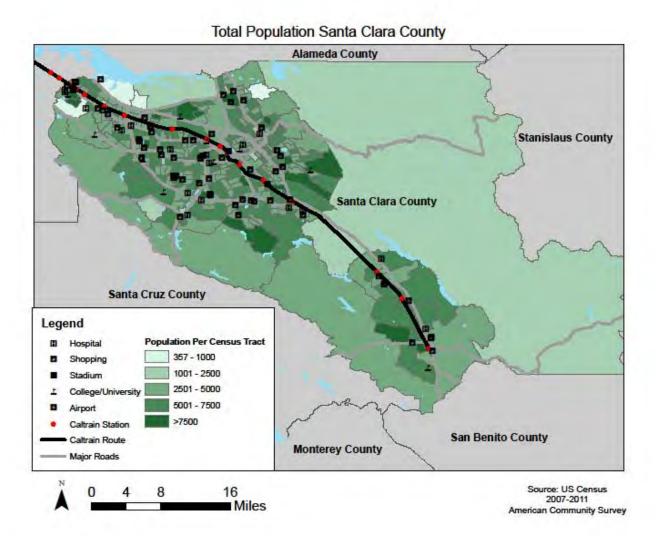
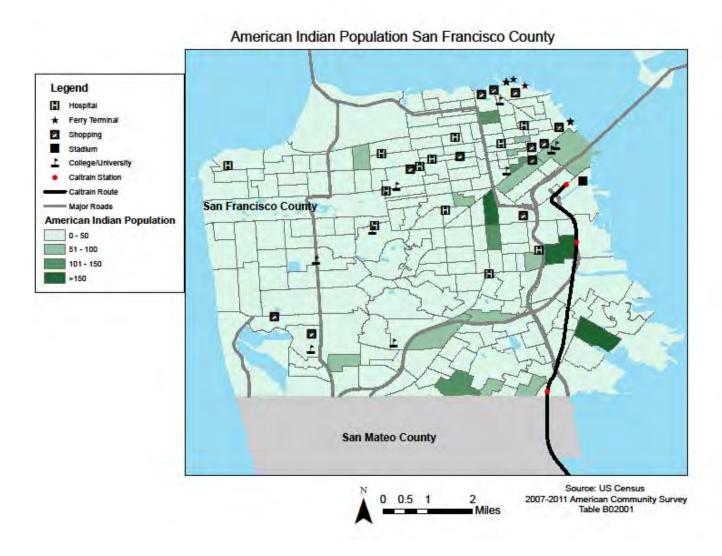


Exhibit 5a: American Indian Population by Census Tract – San Francisco County



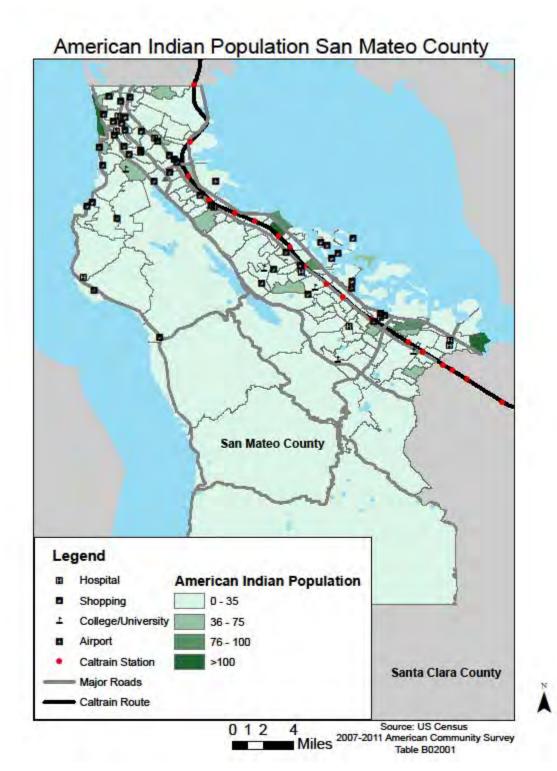


Exhibit 5c: American Indian Population by Census Tract – Santa Clara County

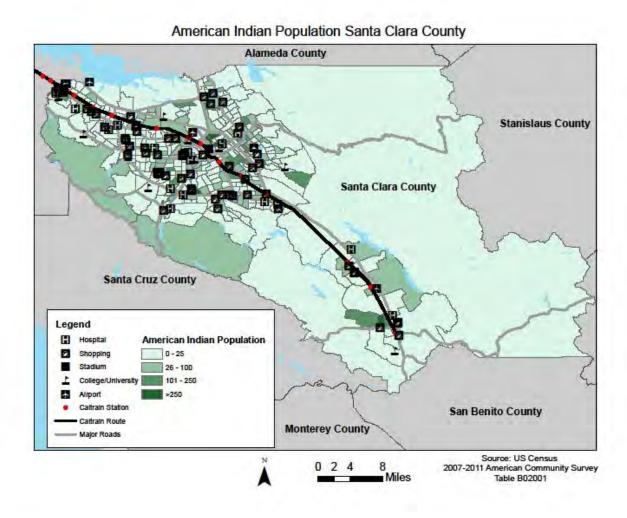
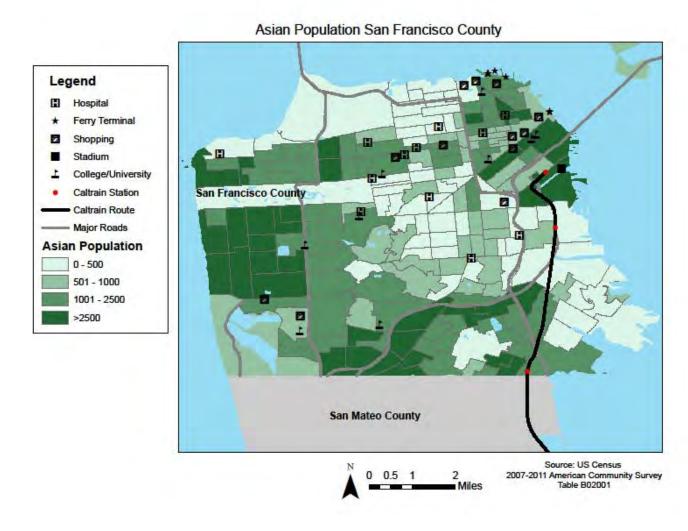


Exhibit 6a: Asian Population by Census Tract – San Francisco County



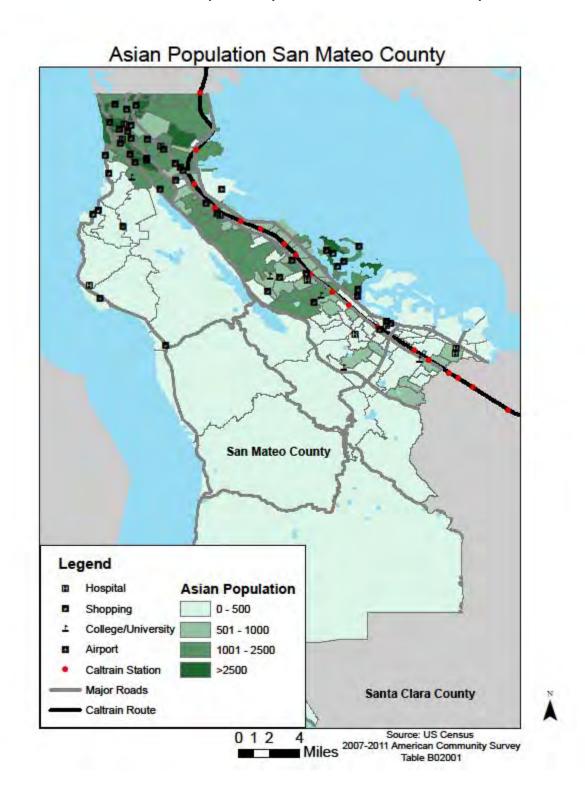


Exhibit 6c: Asian Population by Census Tract – Santa Clara County

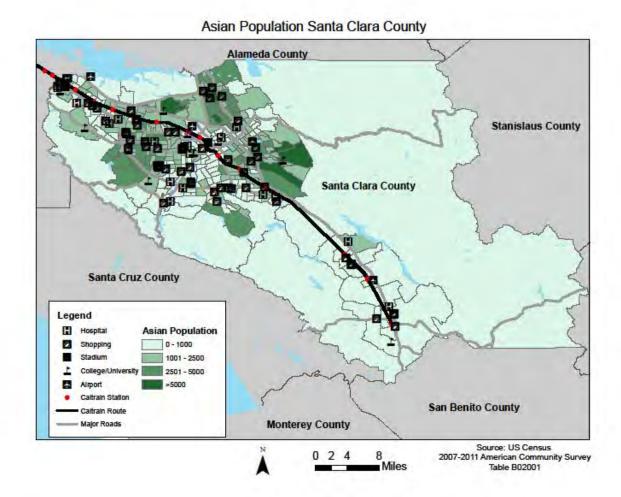
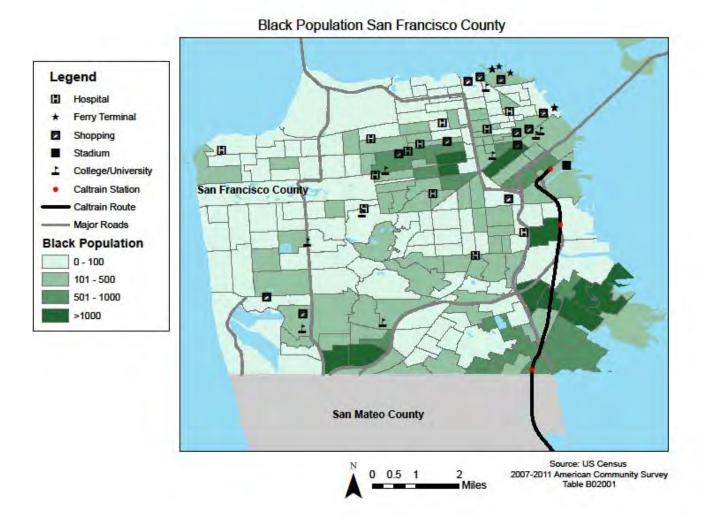


Exhibit 7a: Black Population by Census Tract – San Francisco County



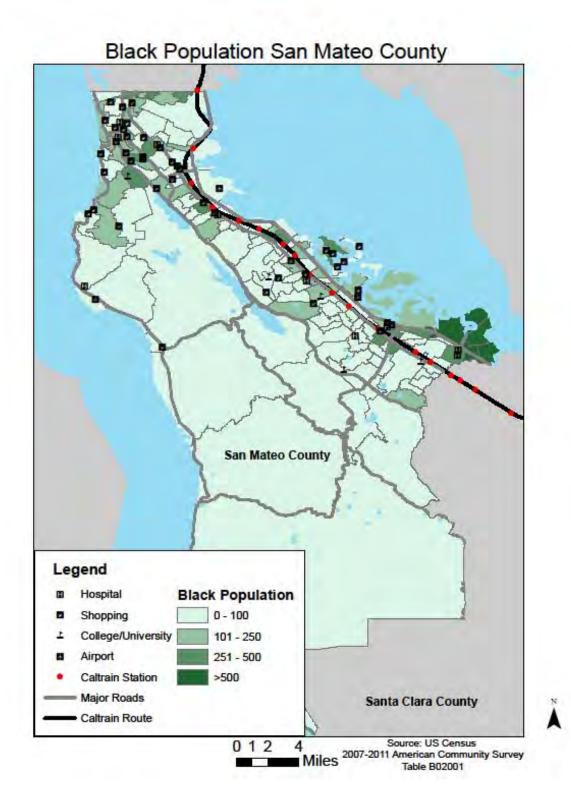


Exhibit 7c: Black Population by Census Tract – Santa Clara County

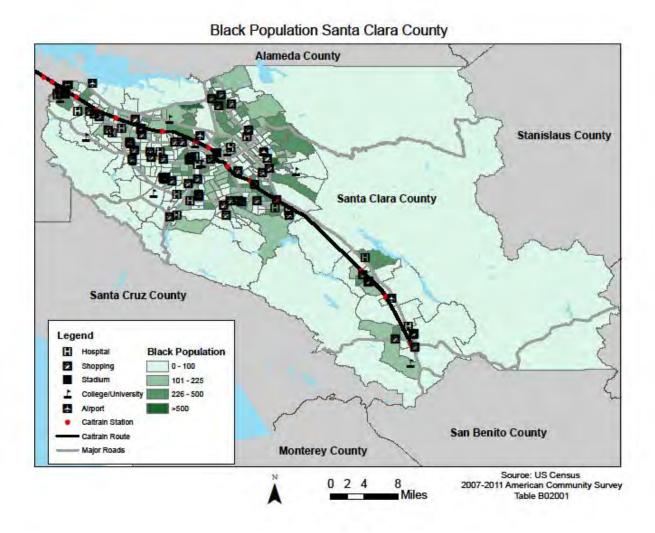
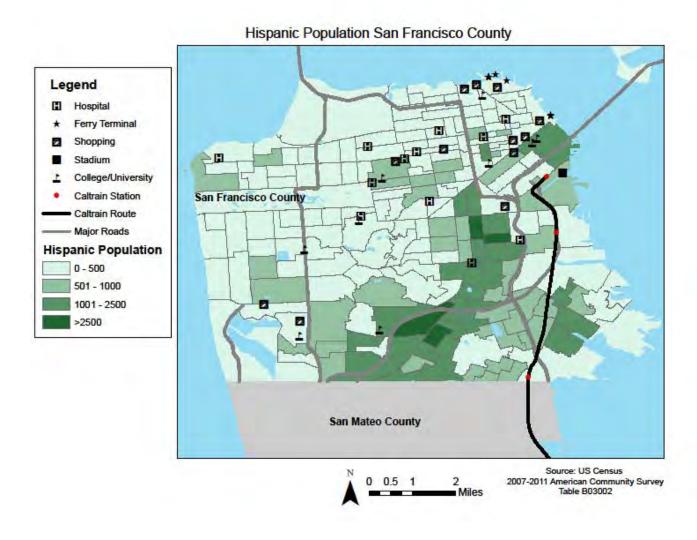


Exhibit 8a: Hispanic Population by Census Tract – San Francisco County



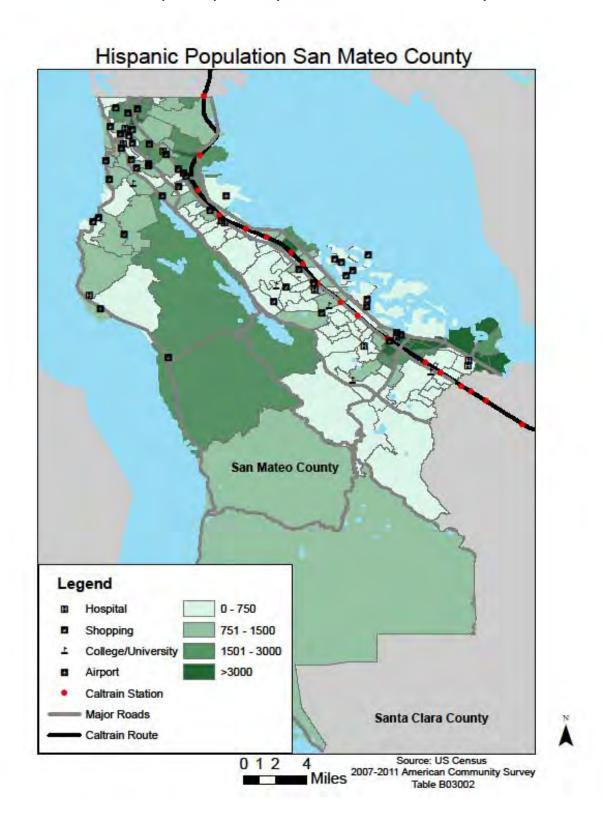


Exhibit 8c: Hispanic Population by Census Tract – Santa Clara County

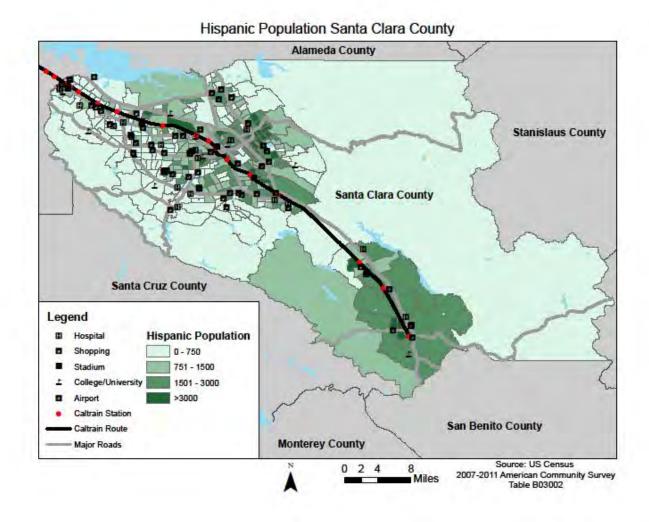
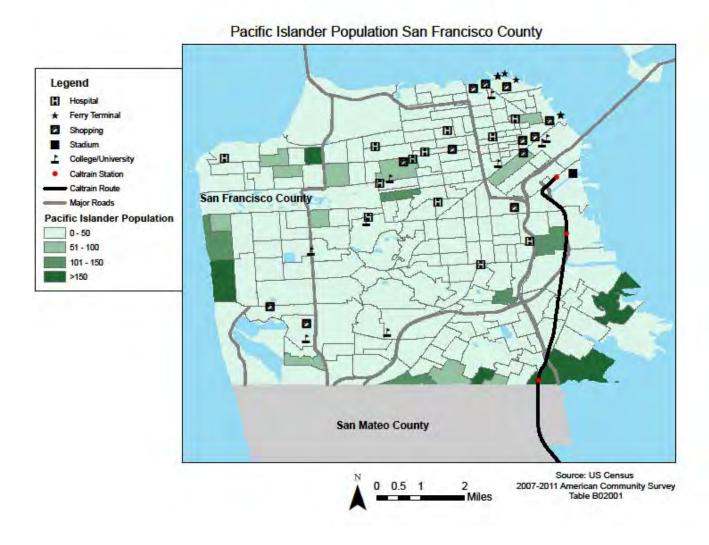


Exhibit 9a: Pacific Islander Population by Census Tract – San Francisco County



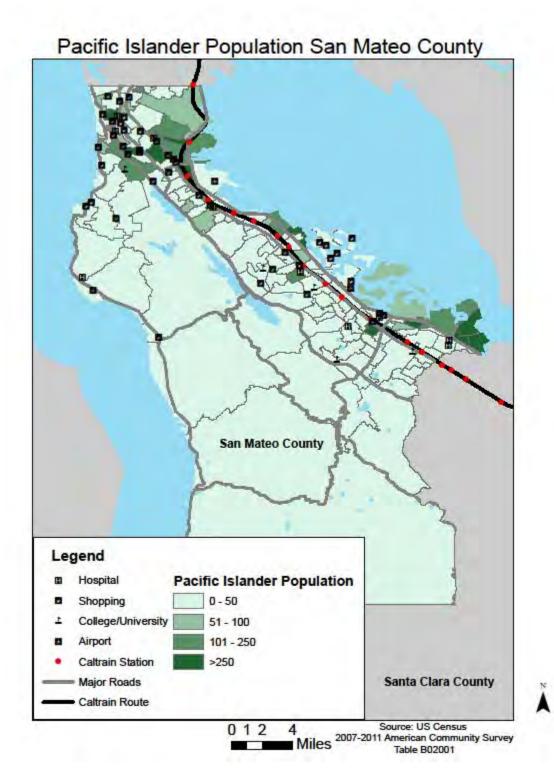
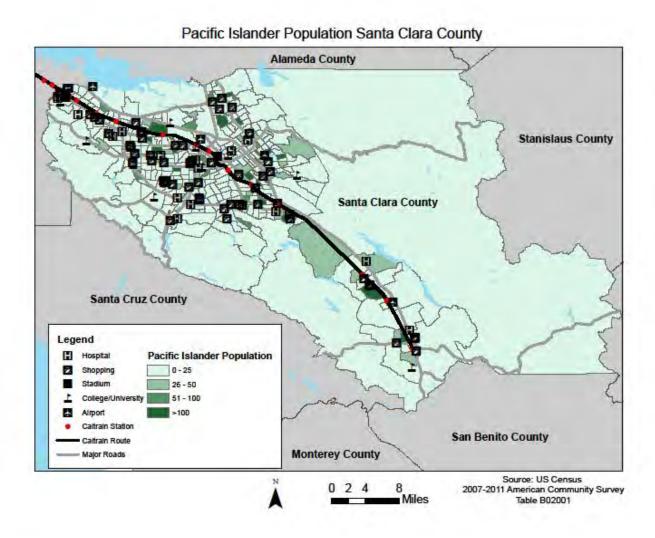
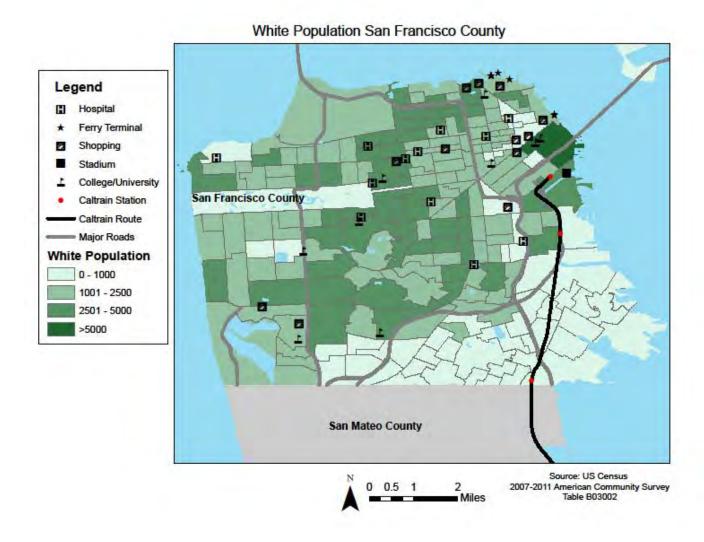


Exhibit 9c: Pacific Islander Population by Census Tract – Santa Clara County



35

Exhibit 10a: White Population by Census Tract – San Francisco County



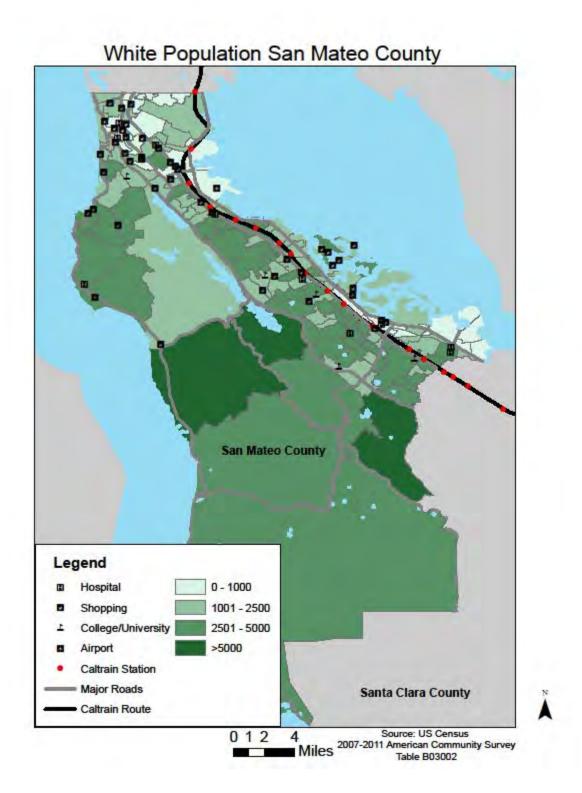


Exhibit 10c: White Population by Census Tract – Santa Clara County

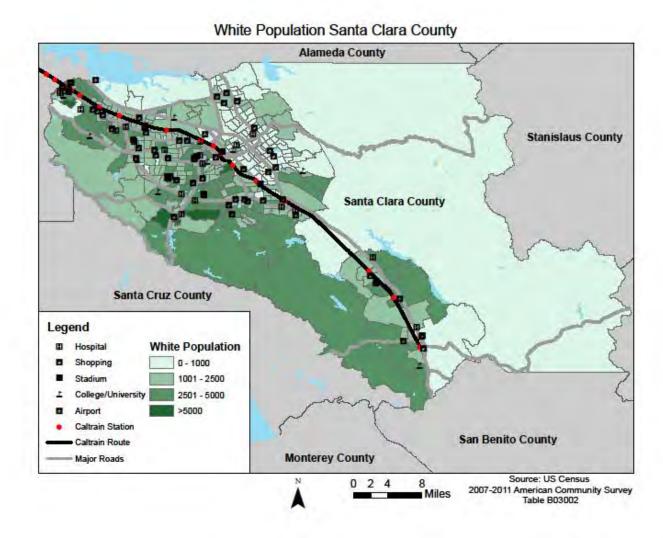
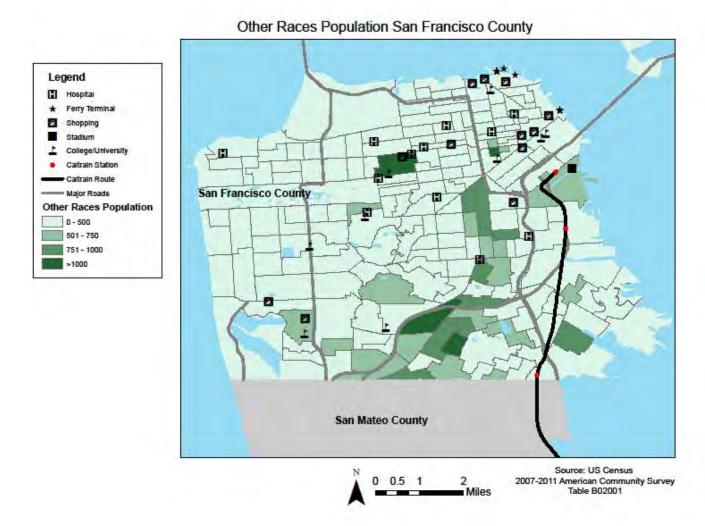


Exhibit 11a: Other Races by Census Tract – San Francisco County



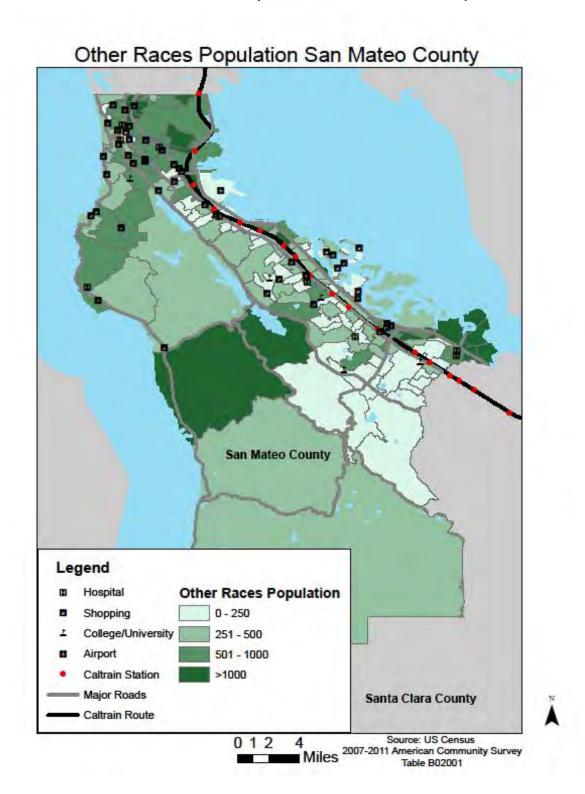
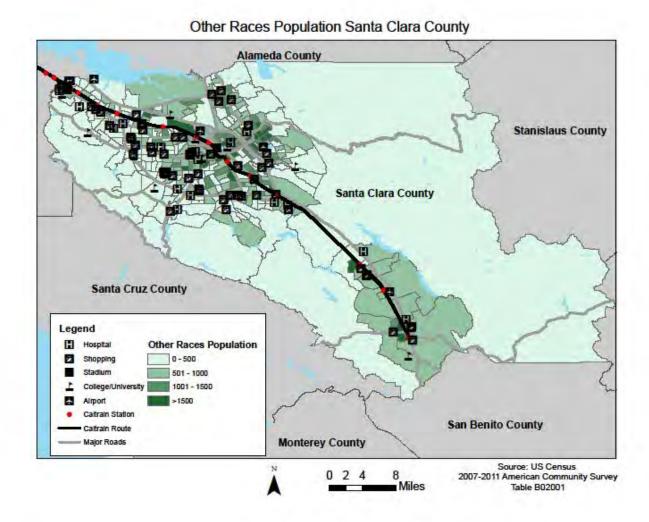


Exhibit 11c: Other Races by Census Tract – Santa Clara County

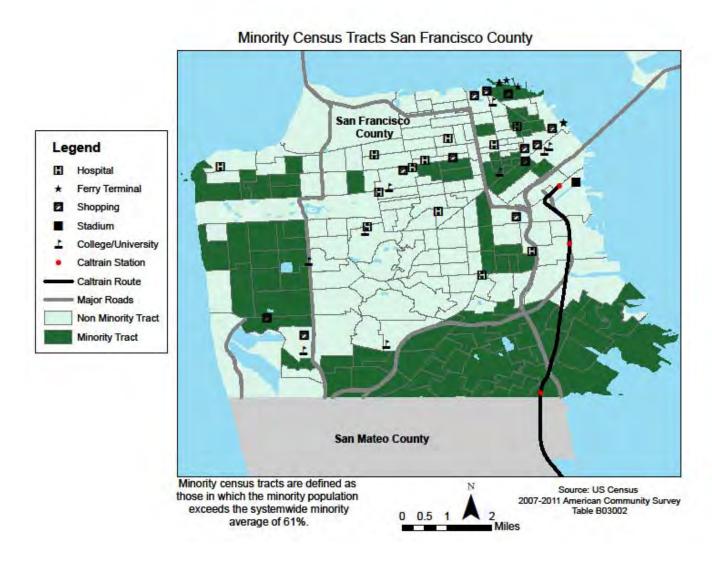


C. MINORITY AND LOW-INCOME POPULATIONS

To ensure public participation includes minority and low-income populations, the JPB must target outreach to communities and organizations with minority and low-income populations. In order to identify the locations and neighborhoods where minority and low-income population are most prevalent within the Caltrain service area, exhibits 12a, 12b, 12c, 13a, 13b, and 13c were created to show Minority and Low-Income Demographic Maps developed from US Census 2007-2011 American Community Survey Data.

Minority census tracts are defined as those in which the minority population exceeds the system-wide minority average of 61%. Low income census tracts are defined as those greater than 14.8% of the households in the tract that have an income that is 200% of the federal threshold or lower.

Exhibit 12a: Minority Population by Census Tract – San Francisco County



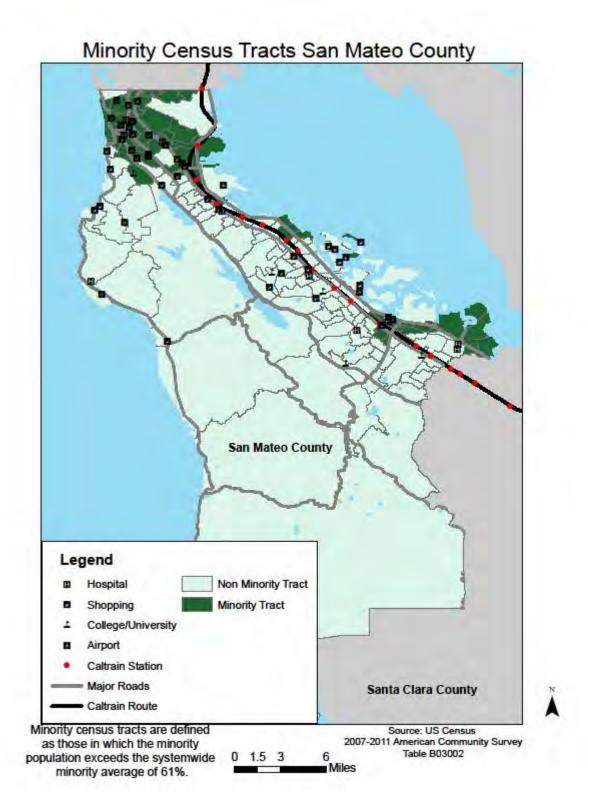


Exhibit 12c: Minority Population by Census Tract – Santa Clara County

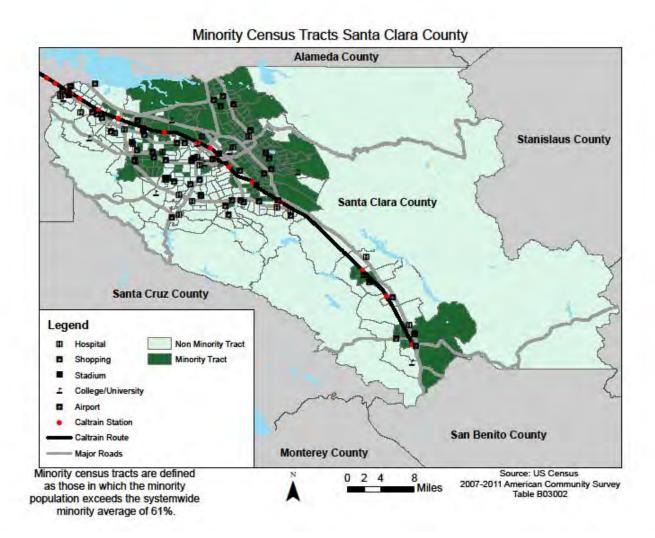


Exhibit 13a: Low-Income Population by Census Tract – San Francisco County

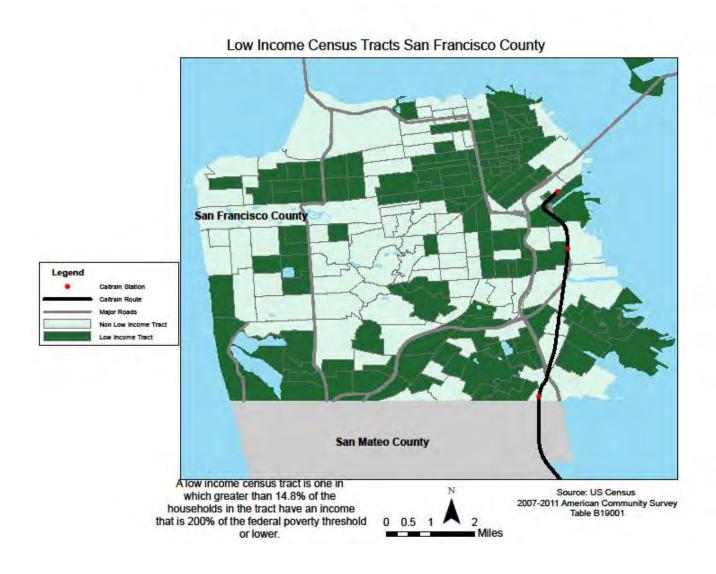
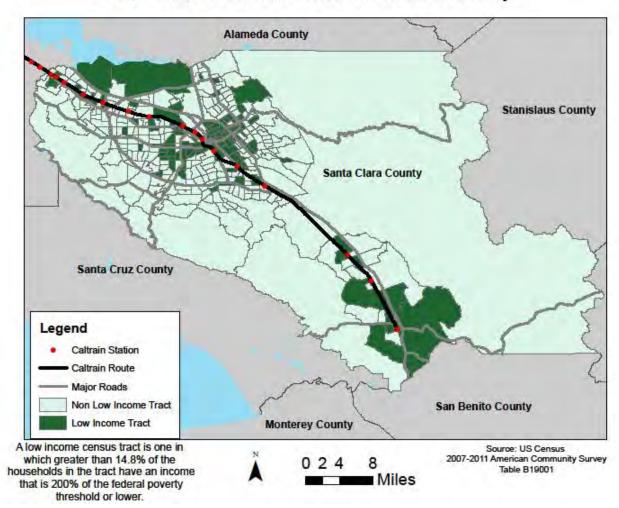




Exhibit 13c: Low-Income Population by Census Tract – Santa Clara County

Low Income Census Tracts Santa Clara County



D. LIMITED ENGLISH PROFICIENCY AND LANGUAGE ASSISTANCE PLAN

To ensure public participation does not exclude persons with limited English proficiency the JPB has developed a Limited English Proficiency (LEP) and Language Assistance Plan (LAP) as part of its triennial Title VI submission. A brief summary of the LEP and LAP background, methodology, and findings is included below. In addition, LEP concentration by census tract (included in Exhibits 14a, 14b, and 14c) identify locations and neighborhoods where outreach to LEP individuals and Spanish Speaking persons can be targeted. These LEP maps were developed from 2007-2011 American Community Survey (ACS) data.

Executive Summary

Title VI prohibits discrimination by recipients of Federal financial assistance on the basis of race, color, and national origin, including the denial of meaningful access for limited English proficient people. As a recipient of Federal funds, Caltrain must "take reasonable steps to ensure meaningful access to their programs and activities by LEP persons." 1

On August 11, 2000, the President signed Executive Order 13166, "Improving Access to Services for Persons with Limited English Proficiency" that requires Federal agencies and recipients of Federal funds to examine the services they provide, identify any need for services to those with limited English proficiency (LEP), and develop and implement a system to provide those needed services so that LEP persons can have meaningful access to them. Further guidance was provided in 2012 with the release of the Federal Transit Administrations circular--FTA C 4702.1B—that further codified the FTA's objective to "promote full and fair participation in public transportation decision-making without regard to race, color, or national origin; and ensure meaningful access to transit-related programs and activities by persons with limited English proficiency." ²

As a means of ensuring this access, the Federal Transit Administration Office of Civil Rights has created a handbook for public transportation agencies that provides step-by-step instructions for conducting the required LEP needs assessment and developing a Language Assistance Plan. The Language Assistance Plan becomes a blueprint for ensuring that language does not present a barrier to access to the agency's programs and activities.

To develop the Language Assistance Plan necessary to comply with the guidance, an individualized agency assessment is required that balances the following four factors:

- 1. The number or proportion of LEP persons eligible to be served or likely to encounter a program, activity, or service of the recipient or grantee;
- 2. The frequency with which LEP individuals come in contact with the program;
- 3. The nature and importance of the program, activity, or service provided by the recipient to people's lives; and
- 4. The resources available to the recipient and costs for translation services.

To ensure compliance with federal guidance, Caltrain undertook a needs assessment with the goal that all reasonable efforts should be made to ensure no member of its riding public is left underserved due to

¹ Federal Register Volume 70, Number 239 (Wednesday, December 14, 2005)

² FTA Circular 4702.1B- TITLE VI REQUIREMENTS AND GUIDELINES FOR FEDERAL TRANSIT ADMINISTRATION RECIPIENTS, October 1, 2012.

a limited ability to speak, read, write and understand English. Caltrain believes in the rights of all residents within its community, and furthermore wholeheartedly supports the overriding goal of providing meaningful access to its services by LEP persons. Given the diverse nature of the Caltrain service area, eliminating the barrier to persons of limited-English-speaking abilities will have a positive impact not only on LEP individuals themselves, but also on Caltrain ridership in general.

Plan Methodology

A variety of data for the three counties within the Caltrain service area was combined to form the basis of the Caltrain LEP plan development. This included census data, information from the Department of Education Language Learner data set, a Caltrain On-Board Survey (October 2010), a Caltrain Shuttle Survey (October 2012) and information obtained through public outreach on the development of LEP plans in the service area.

Because Caltrain provides commuter rail service within three counties—each with their own robust bus and rail transit operators (VTA, San Francisco Municipal Transportation Agency, and SamTrans)—the Caltrain four factor analysis considered the significant level of outreach that had already been undertaken by the transit operators in the service area to complete their individual LEP plans. The three transit agencies' outreach represents a comprehensive engagement with LEP communities who use transit in the service area and there was extensive information available directly from LEP communities about the importance of transit and the methods of outreach and communication that they would prefer.

The plan was also informed by the existing translations being provided through the Caltrain website, on-board the trains and at the stations, through the call-center Language Line, and through the customer service and train personnel that provide front line interaction with Caltrain riders. These employees were also consulted to help assess prior experiences with LEP individuals through an employee survey devised to seek information about the frequency of contact with LEP individuals. Finally, outreach to Community Based Organizations (CBOs) performed within the three counties provided a greater understanding of the needs of LEP communities, as well as preferred methods of communication in order to ensure that language is not a barrier to Caltrain's programs and services.

General Plan Findings

By consulting the data sources identified above, the findings reveal the top 9 languages in the Caltrain service area that will inform the Language Assistance Plan:

- Spanish (or Spanish Creole)
- Chinese (Mandarin & Cantonese)
- Vietnamese
- Tagalog
- Korean
- Russian
- Japanese
- Persian
- Hindi (and Indian languages)

By a large margin, Spanish remains the most predominantly spoken language within the service area and within the Caltrain rider population. As a result, while other languages should be considered for translation assistance, as reflected in the Language Assistance Plan, continued care should be taken to translate information into Spanish to ensure that Spanish language speakers are not presented with barriers to access Caltrain's services and programs based on their English language ability. Other languages, including those falling under the "Safe Harbor" provision, should be provided translation services as funding permits or if required by federal regulations.

Exhibit 14a: Caltrain Service Area LEP Concentrations-San Francisco to Menlo Park

Limited English Proficiency Concentrations: San Francisco County and San Mateo County

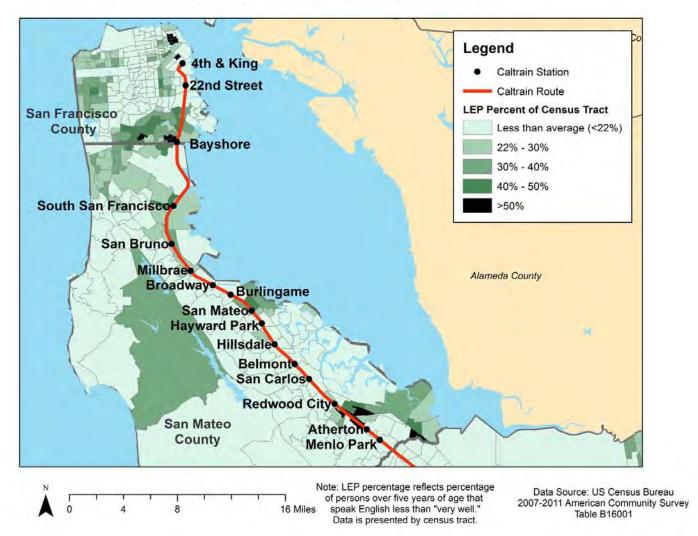


Exhibit 14b: Caltrain Service Area LEP Concentrations- Menlo Park to Gilroy

Limited English Proficiency Concentrations: Santa Clara County

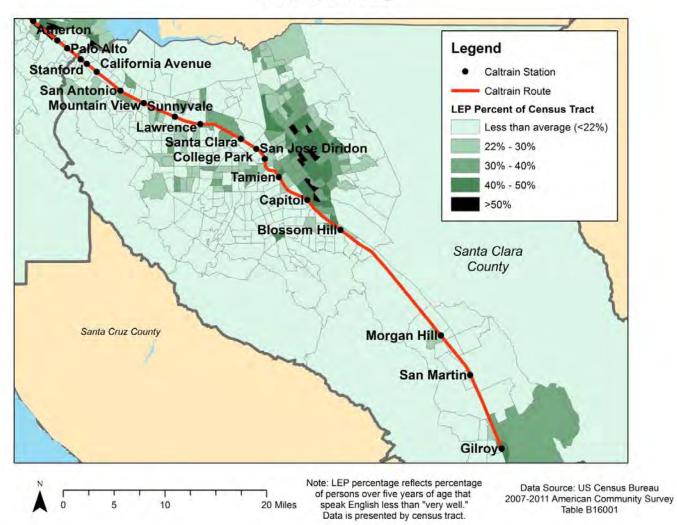
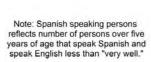


Exhibit 14c: Caltrain Service Area – Spanish Speaking LEP Concentrations

Number of Spanish Speaking Persons Within Caltrain Service Area





Data is presented by census tract.



Data Source: US Census Bureau 2007-2011 American Community Survey Table B16001

E. CALTRAIN RIDERSHIP DEMOGRAPHICS

Information regarding Caltrain Ridership Demographics can be found in the Caltrain On-board Study Summary Report dated October 2010 and Caltrain On-board Study Ridership Segmentation Report (Peak & Weekend Costumers) dated March 2011. Key findings are listed in the executive summaries below.

Executive Summary: Caltrain On-board Study Summary Report

- Work was the most common reason cited for making a trip on Caltrain. Among the different time periods, the common trip purposes were:
- Weekday Peak: work (86%) or school (7%) trip
- Weekday Offpeak: work (52%), social/recreational/cultural (27%), or school (12%) trips
- Weekend: social/recreational (62%), work (22%), shopping/personal errands (9%)
- Notably, more weekday off-peak riders traveled for social/recreational/cultural reasons in 2010 (27%) than in 2007 (16%), and more weekend riders traveled for work in 2010 (22%) than in 2007 (16%).
- About two-thirds (66%) of Caltrain riders used the service four or more days a week.
- Overall, 14% of riders used a Clipper or TransLink card to ride Caltrain (as of October 2010).
- Approximately 75% of Caltrain riders said they used the service to commute to work or school. Of these regular commuters, 18% indicated that they were first introduced to Caltrain by taking it to a leisure activity or other special event.
- Most Caltrain riders (82%) have visited the Caltrain website, www.caltrain.com. Weekday peak riders were most likely to have visited the site (86%). Weekend riders were least likely to have visited (71%).
- Over half of Caltrain riders (62%) had a car available for the trip they were taking while completing the survey. However, only 39% of weekend riders had a car available, compared to 70% of weekday peak riders.
- More than half of Caltrain riders (52%) used Caltrain to avoid traffic, while 40% used it to relax/reduce stress, and 36% used it to save money (including saving the cost of gas and wear and tear on their vehicles). Notably, 45% of weekday off-peak riders and 51% of weekend riders cited "Don't have a car/don't drive" as their main reason for using Caltrain.
- An increasing number of riders indicated they chose to ride Caltrain for environmental reasons. In 2003, 10% of weekday riders cited "helping the environment" as a reason for taking Caltrain. In 2007, that number jumped to 25%, and in 2010, to 35%.
- Caltrain riders spoke more than 40 languages besides English in their homes. The most common languages include Spanish, Hindi or other Indian languages, Mandarin, Cantonese, and Tagalog.
- The "typical" Caltrain rider was an unmarried/unpartnered male who earned at least \$75,000 or more and earned a bachelor's degree or higher. He most likely obtained information on Caltrain from the

website or printed materials on the train. This "typical" Caltrain rider was likely to check a variety of media sources, although he relied somewhat more on the Internet and less on newspapers, television, or radio.

- The "typical" Caltrain weekday peak rider was a male in his mid-30s who used the train to commute to work. His primary reasons for using the service was to avoid traffic and to reduce the stress of this commute. He earned between \$100,000 and \$150,000 a year and had a bachelor's degree or higher. He was most likely to obtain information on Caltrain from the website or printed materials on the train and was likely to check a variety of media sources, although he relied somewhat more on the Internet and less on newspapers, television, or radio.
- Overall rider satisfaction slipped slightly from mean of 4.02 (out of 5) in 2007 to 3.97 in 2010. All individual attributes slipped slightly with the exception of "Value for the money" which remained at 3.66. The greatest decline was in "Connection with other transit systems" which slipped from 3.57 in 2007 to 3.46 in 2010.

Executive Summary: On-board Study Ridership Segmentation Report (Peak & Weekend Costumers)

Peak Rider Profile

- While Peak riders were generally commuters traveling to work, there were key differences between AM Peak and PM Peak riders.
- AM Peak riders earned somewhat more and were slightly older than PM Peak riders.
- This suggested that either more non-working (recreational) riders took the train during Peak commute times (8% of PM Peak riders were traveling for social/recreational reasons, compared to only 2% of AM Peak riders)
- Some AM Peak riders (particularly those who rode before 7 am) returned home from work during the afternoon off-peak period (e.g. because they worked in the financial services or healthcare sectors).
- Nearly 40% of both AM Peak and PM Peak riders traveled in the traditional non-commute direction.

Weekend Rider Profile

- Weekend riders were more likely to be single than Caltrain riders overall (49% for all Caltrain riders vs. 65% for weekend riders).
- Weekend riders made about \$27,000 per year less than Caltrain riders as a whole.
- While most weekend riders were traveling for social/recreational purposes, more than one-quarter (27%) were traveling for work or school. Similarly, 27% of weekend riders said they used Caltrain 4 or more days per week.
- Weekend riders were nearly twice as likely to indicate they used Caltrain because they don't have a car or don't drive (51%) compared to Caltrain riders as a whole (29%). Among both Weekday Peak and Weekend riders, the most commonly used stations were San Francisco, San Jose Diridon, Millbrae,

Mountain View, and Palo Alto Stations. Weekday Peak riders were also likely to use Menlo Park, Sunnyvale, Hillsdale, and Redwood City Stations.

F. CALTRAIN CUSTOMER SERVICE FEEDBACK

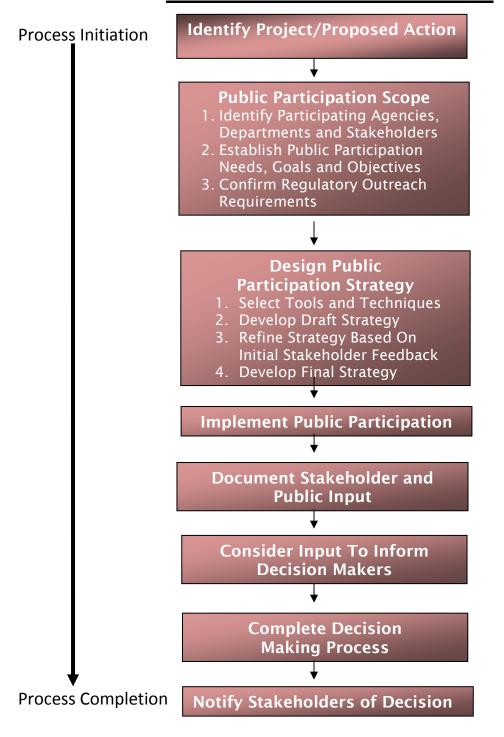
Recent survey information regarding Caltrain Customer Service can be found in the following documents. Executive summaries and documents in full can be retrieved from the JPB's Customer Service and Marketing Department. JPB will continue with regular onboard surveys every three years and special surveys as needed.

- 2013 TVM Replacement Survey (Jan. 22 thru Feb. 18, 2013)
- 2012 Caltrain Customer Satisfaction Survey Summary Report (Revised)
- 2012 Caltrain Shuttle Survey Report of Findings
- 2011 Caltrain Shuttle Survey Report of Findings
- 2010 Caltrain Shuttle Survey Report of Findings
- 2010 Caltrain Rider Survey San Francisco Giants Game Attendees
- 2010 Caltrain On-board Study Summary Report
- 2010 Caltrain On-board Study Ridership Segmentation Report (Peak & Weekend Costumers)

III. PUBLIC PARTICIPATION PROCESS

A. PUBLIC PARTICIPATION PROCESS FLOWCHART

PUBLIC PARTICIPATION PROCESS



B. PUBLIC PARTICIPATION PROCESS CONSIDERATIONS

When the JPB prepares to embark on a public engagement process, staff develops a strategy based on considerations, such as the target audience or impacted populations, the complexity of the issues involved, the range of potential outcomes and the severity of potential positive and/or negative effects.

Each project requires a different mix of participating agencies, departments and stakeholders who should be involved. Smaller projects may require involvement from one or two sources while larger projects may require involvement from multiple agencies, internal departments and various stakeholders from the community.

It is important to clearly define the goals and objectives early in the public participation process to gauge needed outreach, evaluate potential impacts and engage appropriate stakeholders.

The target audience or impacted populations can vary depending on the size and scope of the outreach required. Most often, outreach is conducted to, and with a subset of, the following:

- Transit customers
- Individuals or groups affected by a transportation project or action
- Individuals or groups that believe they are affected by a transportation project or action
- Traditionally under-served and/or under-represented communities
- Residents or commuters to/from affected geographic areas
- Government agencies
- Community-based organizations (including those that have direct communications with LEP populations)
- Non-governmental organizations

Since Caltrain's service area spans multiple jurisdictions, projects, and programs which can include numerous federal, state, regional and local agencies, the regulatory outreach requirements can vary significantly. A considerable amount of coordination may be required to ensure all regulatory requirements are met and all agencies are informed.

Selecting which public participation tool or strategies to employ can vary depending on the project scope. Often times many tools will be required. When choosing the appropriate tools, several factors should be considered such as:

- The number and type of stakeholders
- The geographic region of the project
- Available budget and resources
- Communication and language requirements
- Desired outcome and results
- Issues or concerns stakeholders will consider most pertinent

A detailed list of public participation tools and strategies can be found in the following section.

IV. PUBLIC PARTICIPATION TOOLS & STRATEGIES

The following section includes tools and strategies for ensuring the public has access to the information it needs to participate in JPB planning and policy development efforts. In designing outreach and public participation strategies, JPB uses traditional and social media, and other tools such as the following.

A. OUTREACH TOOLS & STRATEGIES

1. Earned Media: Radio, Television, Newspaper

Publicizing public participation opportunities and outreach information through radio, television, and newspaper media that serve both English-speaking and language-specific audiences can help spread the word about these events. Ethnic media sources, in particular, serve as a helpful way to reach minority groups. Some local media outlets including websites, radio and television shows and local publications are considered to be good sources of information for events in the immediate area. In all cases, JPB should tailor its message to the appropriate audience of the media used and ensure that the media provides contact information so that audiences can reach the agency for comments and questions. When appropriate, JPB should also attempt to provide a multilingual spokesperson to address a non-English speaking audience.

2. Electronic Resources

The JPB should post notices and announcements on the agency's website (www.caltrain.com), use social media and send information via e-mail, text messages, and e-newsletters to customers on an opt-in basis. The JPB may explore streaming future community meetings and public hearings if resources allow. Podcasts are a way to allow audiences to view or listen to messages and information and save them for future uses. Webcasts may be another option, which allow viewers to directly ask questions and receive immediate responses, if resources allow.

Social media has gained prominence in the past decade and is often a faster means of conveying news than traditional media. Facebook, Twitter, Instagram, YouTube, blogs, and others are all outlets through which the JPB can reach the public, particularly those younger than 30 years old. Social media is relatively easy to use and is also less costly than other strategies. Social media also allows users to have direct interaction with agency representatives for more immediate interaction.

If resources allow, direct text messages to cellular phones can offer another tool for interaction with the agency. Text messages provide quick, effective means of spreading Caltrain information on cellular phones and are a way to reach those without smart phones. For smart phones, applications can work similar to the Caltrain website and social media, providing fast updates to stakeholders. Giving the public an option to opt-in to an email subscription service for important announcements is another way to communicate with the public.

3. On-Board Information Resources

Many riders and community members reasonably expect to find information about public participation methods pertaining to projects or service plans on the Caltrain vehicles or posted on Station Information Boards. Providing written and printed information on Caltrain vehicles is an efficient way to convey messages about programs and services, upcoming events, and other activities that may impact

commuters. The information should be provided in the determined key languages of the community. Way-finding signage also provides information that is easily seen by the community. The JPB can also use internal electronic message signs and audio announcements.

4. Customer Service Center and Dedicated Project Hotlines

The public can call Caltrain's call center or a dedicated project hotline both to receive information and to provide comments and input. The customer service number is easily accessible and is provided on JPB materials and on the website. Customer Service Representatives also provide outreach assistance at transit fairs, community meetings, and other public events.

The need for multilingual capabilities is a high priority due to the large numbers of foreign travelers visiting the Bay Area. The Caltrain Customer Service Center can handle calls in numerous languages through the use of the AT&T Language Line and directly through employees that speak languages other than English. Customer Service Representatives are on duty weekdays from 7 a.m. to 7 p.m. and on weekends and holidays from 8 a.m. to 5 p.m. The Customer Service Center also is equipped with equipment for those with hearing impairments (TTY).

5. Printed Materials

In addition to on-board printed information, JPB can publicize public participation opportunities and outreach information via print materials (such as newsletters, flyers, and posters). This method of outreach can be expensive but effective. Crucial information should be translated into the languages identified as spoken and/or written by the target populations in the service area. If all information cannot be translated, notices should be provided that describe where translations/interpretation services can be obtained.

Caltrain Connection is newsletter that is published four times a year by the JPB. The newsletter contains information about Caltrain projects, operations, events, and transit and safety tips. The newsletter has a wide distribution to various community members and is also posted on the Caltrain website.

6. Surveys

JPB conducts full-scope on-board passenger surveys every 3 years. Issue-specific surveys may be used in certain circumstances. Surveys are conducted in person, in print, and/or through online means. Printed surveys may have a low response rate. Telephone surveys may be more effective but are often costly. Internet surveys are the easiest of the three options for the agency to conduct, but only reach those with internet access, which may skew the results. Any survey must include adequate and appropriate translation provisions.

7. One-On-One Interviews/ Direct Stakeholder Interaction

In certain contexts, JPB staff can interview specific individuals or stakeholders to collect information or gain insight on their perspectives. Interviews can be used to obtain information from various demographics within the service area.

8. Targeted Focus Groups

The JPB can also host small discussion groups that are made up of targeted participants with an unbiased facilitator. Focus groups can provide in-depth information about potential impacts of a potential program or project, a fare change, or service change on a specific group or geographic region. The advantage of a focus group is that it can be conducted in a specific language, allowing participants to directly express their opinions and concerns.

9. Public Workshops/Open Houses

Public workshops are commonly used allowing for a more hands-on approach than focus group meetings. These public meetings allow for larger groups to directly talk to JPB staff and voice their concerns. Workshops are a way to give out information to a broad segment of the population, as well as receive feedback on planning efforts. Such meetings are broadly advertised and open to all stakeholder groups and interested individuals.

An open house format allows a large number of participants to receive information at their own pace, with no strict time period in which they have to arrive at and leave from the location. Information stations can include table top displays, maps, photographs, visualizations, and more. Staff is on hand to respond to guestions and comments.

Workshops and open houses should be scheduled at times and locations that are convenient and accessible for minority and LEP communities. Translators should be present to help communicate information and attain feedback.

10. Direct Mail/Letters

Direct mail can be an effective way to communicate information to a specific geographic region or demographic. Mailers can provide specific information regarding a project or can be an effective tool to notify people about an upcoming event or activity. The use of direct mail can be costly depending on the number of targeted recipients.

11. Special Events

The JPB can host a special Caltrain event to promote, announce or kick-off a specific program or project, service, or activity. Special events can be open to specific demographics or for the general public. Effective promotion of these events can attract a large number of people and can be a good tool in highlighting organizational achievements.

12. Government Meetings

Government meetings are the most formal form of public meetings, in which official statements are presented by individual attendees and their comments are recorded. Time limits are often necessary to permit all interested persons to speak. Hearings allow each individual's perspectives and opinions to be heard by all in attendance.

Caltrain can provide updates on its projects and programs at all levels of government – local, state and federal by attending and presenting information at regularly scheduled government meetings. Possible government meetings include city and town councils, planning and public works commissions, state legislative committees and federal hearings.

13. Community Based Organizations Interactions

When possible, the JPB should coordinate and/or inform community and faith based organizations, educational institutions, and other civic organizations about programs and activities to specifically engage minority and LEP communities. The JPB can also seek opportunities to present information and obtain feedback at these organizations' own meetings and other events by providing a helpful introduction to organization members at little agency cost.

14. Advisory Committees

The JPB has a total of four Advisory Committees:

The *Caltrain Citizens Advisory Committee (CAC)* represents San Francisco, San Mateo and Santa Clara counties. The CAC is comprised of nine volunteer members who serve in an advisory capacity to the tricounty Caltrain policy board, providing input on the needs of current and potential rail customers, and reviewing and commenting on staff proposals and actions as requested by the board.

The *Caltrain's Bicycle Advisory Committee (BAC)* serves as the primary venue for the interests and perspectives of bicyclists to be integrated into the Caltrain planning processes. This group brings new ideas for discussion and helps Caltrain guide its future investments. The committee is a partnership composed of nine volunteer members and Caltrain staff. There are three representatives from each of the three counties served by Caltrain: San Francisco, San Mateo and Santa Clara. One member from each county is a public agency staff member responsible for bike planning and/or policy development, one is a member of a bicycle advocacy organization, and one is a Caltrain bike passenger from the general public.

The *Caltrain Central Equipment Maintenance and Operation Facility (CEMOF) Monitoring Committee* is an advisory committee established by the San Jose City Council in cooperation with Caltrain. The primary responsibility of the committee members is to provide communication with the community regarding the operation of the maintenance facility. The committee is comprised of seven members, one each representing the Arena, College Park, Garden Alameda and Shasta-Hanchett Park neighborhoods associations, Caltrain, City of San Jose and the Santa Clara Valley Transportation Authority.

The *Caltrain Accessibility Advisory Committee (CAAC)* meets annually to discuss and advise JPB staff on policies, plans and procedures relating to the development, implementation and operation of Caltrain accessible transit services, and on compliance with the requirements of the American with Disabilities Act of 1990. Typically the committee is comprised between 15 and 20 volunteer members from the San Francisco, San Mateo, Santa Clara, and other Bay Area counties.

B. PAST AND CURRENT PUBLIC PARTICIPATION METHODS

The JPB implements a variety of outreach methods to ensure that all customers and concerned individuals and stakeholders are informed of any changes to existing Caltrain service. The agency also provides many options for submittal of comments, opinions, or input. Special efforts are made to ensure minority populations, low-income populations, LEP communities, and disabled persons are included in the public outreach process. These methods include:

- Community meetings
- Public hearings
- Government meetings
- Bilingual newspaper notices
- Bilingual onboard notices
- News releases
- Social media (Facebook, Twitter, Instagram, blogs)

- Presentations to the Caltrain Citizens Advisory Committee (CAC)
- Presentations to the Caltrain Bicycle Advisory Committee (BAC)
- Presentations to the Caltrain Central Equipment Maintenance and Operations Facility (CEMOF)
 Monitoring Committee
- Presentations to the Caltrain Accessibility Advisory Committee (CAAC)
- Information on Caltrain website with Google Translate tool
- Customer Service Center (with bi-lingual and multi-lingual staff)
- AT&T Language Line
- JPB Board Secretary contact
- Station electronic message signs
- Caltrain Connection and other newsletters
- Advertisements (in community newsletters and email blasts)
- Workshops
- Personal interviews
- Rider forums
- Tabling events
- Communication with other transit agencies
- Communication with community based organizations
- Construction Notices/Project Updates
- Passenger interaction
- Dedicated web pages to specific projects or issues (i.e. http://www.caltrain.com/projectsplans/CaltrainModernization.html)

C. INFORMATION DISSEMINATION

The JPB employs a number of methods to inform the public of policy changes, such as fare and service changes, in a timely manner. Caltrain utilizes the following methods of information dissemination:

- Issues news releases
- Distributes "Take One" notices on trains
- Posts flyers on station information boards
- Places ads in local newspapers
- Includes in on-board newsletter, "Caltrain Connection"
- Posts on Caltrain website
- Makes station audio and visual message announcements
- Informs local employee commute coordinators
- Discusses changes with its advisory committees
- Makes conductor announcements
- Provides social media updates (blogs, Twitter, Facebook)

Rail service information is published by the JPB, including the Caltrain timetable (only in English). The Caltrain website has language translation tools so information can be translated. All Caltrain information lists the toll-free number of the Caltrain Customer Service Center, which can handle calls in numerous languages through the use of multilingual staff and the AT&T Language Line.

V. INCREASING PUBLIC PARTICIPATION

A. FUTURE PUBLIC PARTICIPATION TECHNIQUES

The JPB uses many public outreach tools to encourage engagement in the decision-making process. In addition, tools are used to ensure inclusion of low-income, LEP, disabled, and minority populations. Based on survey data and outreach efforts, some new ideas to consider when implementing/updating the PPP may include:

- Creating a table that budgets the costs of outreach (including materials and overtime wages of participating staff: marketing, communications, planning, and translators) to improve the efficiency and effectiveness of outreach efforts.
- Expanding outreach efforts to include social media and traditional media in various languages so that higher participation for outreach events can be achieved. The placement of traditional media at train stations and on trains may be especially critical towards outreach participation.
- Improving communication with targeted organizations to assure that more LEP individuals
 participate in outreach efforts, including community-based organizations and faith-based
 groups.
- Providing a short survey regarding LEP needs on trains in various languages for LEP individuals who cannot make it to outreach meetings.
- Providing future Customer Service surveys in more languages.
- Offering more opportunities for involved stakeholders to evaluate and offer feedback about the effectiveness of the JPB's public participation strategies.
- Identifying emerging groups that may need targeted outreach.
- Improving existing community relationships and developing new community relationships using public relations techniques.

VI. RECENT PUBLIC PARTICIPATION EXAMPLES

A. COMMUNITY BASED ORGANIZATIONS/POTENTIAL STAKEHOLDERS

A listing of Community Based Organizations (CBOs) and/or Potential Stakeholders (by county) that the JPB typically contacts for public participation and feedback follows.

ALAMEDA COUNTY

Asian Pacific Islander American Public Affairs Association Bay Area Chapter

1963 Sabre Street, Hayward, CA 94545 (510) 538-2791

http://apapa.org

Language Constituency: Various Asian

Gujarati Cultural Association of Bay Area

46560 Fremont Blvd., #109, Fremont, CA 94538

http://www.gcabayarea.com

Language Constituency: Guajarati

Indo American Chamber of Commerce

1616 University Ave., Berkeley, CA 94703 (510) 841-1513

http://www.iccchamber.org

Language Constituency: Various Indian

Persian Center

2029 Durant Ave., Berkeley, CA 94704 (510) 848-0264

http://www.persiancenter.org

Language Constituency: Persian

Pomeroy Recreation and Rehabilitation Center

http://www.prrcsf.org/

Language Constituency: Various

Transform

436 14th Street, Suite 600, Oakland, CA 94612 (510) 740-3150

http://www.transformca.org

Manolo Gonzalez-Estay

mgonzalezestay@transformca.org

Urban Habitat

1212 Broadway, Suite 500, Oakland, CA 94612 (510) 839-9510

http://urbanhabitat.org/uh/newfront

Language Constituency: Various

SAN FRANCISCO COUNTY

The Arc of San Francisco

http://thearcsf.org/

Language Constituency: Various

Arab Resource & Organizing Center

522 Valencia St., San Francisco, CA 94110 (415) 861-7444

http://araborganizing.org

Language Constituency: Arabic

Asian Pacific American Community Center

2442 Bayshore Blvd, San Francisco, CA 94134

(415) 587-2689

Language Constituency: Chinese

Patrick Yung, Executive Director

<u>APACC Patrick Yung@yahoo.com</u>

Direct phone number: (415) 829-9291

Cell Phone: (415) 678-8309

Bayview Hunters Point Multi-Purpose Senior Services

1706 Yosemite Avenue, San Francisco, CA 94124

(415) 822-1444 bhpmss1@aol.com

Language Constituency: ESL

Bayview Hill Neighborhood Association

Shirley Moore

sammy988@aol.com

Language Constituency: Various

Bayview Merchants Association

3801 3rd Street, Suite 1068, San Francisco, CA 94124

Kathy Perry

(415) 647-3728 x407

Better Bayview

Chris Waddling (415) 810-7556

cawaddling@gmail.com

Language Constituency: Various

Brite/4800 Third St Neighbors

Cameron House

920 Sacramento Street, San Francisco, CA (415) 781-0401

http://www.cameronhouse.org/ Language Constituency: Chinese

Chinese for Affirmative Action

17 Walter U. Lum Place, San Francisco, CA 94108 (415) 274-6750

http://www.caasf.org/

Language Constituency: Chinese

Chinese Porgressive Association

1042 Grant Ave. 5th Floor, San Francisco, CA 94133

(415) 391-6986

http://www.caasf.org/

Language Constituency: Chinese

Chinatown Community Development Center

1525 Grant Avenue, San Francisco, CA 94133 (415) 781-0401

http://www.chinatowncdc.org/ Language Constituency: Chinese

Dan Dodt

dodt@mac.com

Language Constituency: Various

Dogpatch Neighborhood Association

1459 18th St., No. 227 San Francisco, CA 94107 www.mydogpatch.org Janet Carpinelli, president

415-282-5516

Filipino Community Center San Francisco

4681 Mission St., San Francisco, CA 94112 (415) 333-6267

http://filipinocc.org

Language Constituency: Filipino

Hunters Point Family

1800 Oakdale Avenue, San Francisco, CA 94124 (415) 822-8895

http://hunterspointfamily.org/ Language Constituency: Various

Hunters Point Shipyard CAC

Dr. Veronica Hunnicutt vhunnicu@ccsf.edu

Language Constituency: Various

India Basin Neighborhood Association

Michael Hamman 702 Earl Street San Francisco, CA 94124 415-643-1376 Office 415-265-0954 Cell mhamman@igc.org

Language Constituency: Various

Lighthouse

http://lighthouse-sf.org/

Language Constituency: Various

Jewish Family and Children's Services

2150 Post Street, San Francisco, CA 94115 (415) 449-1200

http://www.jfcs.org/

Language Constituency: Russian

Korean Community Center

745 Buchannan St., San Francisco, CA 94102 (415) 252-0432

http://www.sfkorean.org/

Language Constituency: Korean

Mission Neighborhood Center

362 Capp. Street, San Francisco, CA 94110 (415) 647-6911

http://www.mncsf.org/

Language Constituency: Spanish

Potrero Boosters

Tony Kelly

tonykelly@astound.net

Language Constituency: Various

Potrero Hill/Dog Patch Merchants Association

Keith Goldstein

keith@everestsf.com

Language Constituency: Various

Public Advocates

131 Steuart Street, Suite 300, San Francisco, CA 94105

(415) 431-7430

http://www.publicadvocates.org

Language Constituency: Spanish

Renaissance Entrepreneurship Center

275 5th Street, San Francisco, CA 94103

(415) 541-8580

http://www.rencenter.org/

Language Constituency: Spanish

Russian American Community Services

300 Anza Street, San Francisco, CA 94118

(415) 387-5336

http://www.racssf.org/

Language Constituency: Russian

Southeast Asian Community Center

875 O'Farrell Street, San Francisco, CA 94109

(415) 885-2743

http://www.seaccusa.org/contact

Language Constituency: Cantonese, English, Hindi, Japanese, Korean, Mandarin, Spanish, Tagalog,

Vietnamese

South of Market Health Center

229 7th St., San Francisco, CA 94103

(415) 503-6000

http://www.smhcsf.org/

Language Constituency: Spanish, Tagalog, Farsi, and Chinese

Veterans Equity Center

1010 Mission Street, Suite C, San Francisco, CA 94103

(415) 255-2347

http://www.vetsequitycenter.org/

Language Constituency: Filipino

Vietnamese Community Center

766 Geary Street, San Francisco, CA 94109 (415) 351-1038

http://vietccsf.org

Language Constituency: Vietnamese

Visitacion Valley Planning Alliance

Fran Martin

fma6764860@aol.com

Language Constituency: Various

SAN MATEO COUNTY

Bayshore Child Care Services

45 Midway Drive, Daly City, CA 94014 (650) 403-4708

http://www.bayshorechildcare.org/BCCS/Welcome.html

Language Constituency: Various

Catholic Charities Resettlement Program

36 37th Avenue, 2nd Floor, San Mateo, CA 94403

(408) 325-5100

http://community.cccyo.org

Language Constituency: Various

Center for Independence of Individuals with Disabilities

http://www.cidsanmateo.org/

Language Constituency: Various

Chicana Latina Foundation

1419 Burlingame Ave. Suite W2, Burlingame, CA 94010

(650) 373-1083

www.chicanalatina.org

Language Constituency: Spanish

Chinese Progressive Association

1042 Grant Ave., 5th Floor, San Francisco, CA 94133

(415) 391-6986

http://www.cpasf.org

Language Constituency: Chinese

Coastside Hope

99 Avenue Alhambra, El Granada, CA 94018

(650) 726-9071

www.coastsidehope.org

Language Constituency: Chinese, Filipino, Portuguese, Russian, Spanish

College of San Mateo

1700 W. Hillsdale Blvd., San Mateo, CA 94402 (650) 457-6161

http://www.collegeofsanmateo.edu

Language Constituency: Various

College Track East Palo Alto

1877 Bay Road, East Palo Alto, CA 94303 (650) 614-4875

www.collegetrack.org

Language Constituency: Various

Commission on Disabilities

http://www.co.sanmateo.ca.us/portal/site/bnc/menuitem.0309b5af9de040fc9b835363917332a0/?vgnextoid=061ba870ae729210VgnVCM1000001937230aRCRD&cpsextcurrchannel=1

Language Constituency: Various

Commission on Aging

http://www.co.sanmateo.ca.us/portal/site/bnc/menuitem.0309b5af9de040fc9b835363917332a0/?vgnextoid=12dd96cfaa419210VgnVCM1000001937230aRCRD&vgnextchannel=ade776d7e90d7210VgnVCM1000001937230aRCRD

Language Constituency: Various

Daly City Community Service Center

333 90th Street, Daly City, CA 94015

(650) 991-8007

http://www.dalycity.org/Residents/Community Service Center.htm

Language Constituency: Filipino, Spanish, Tagalog

Daly City Friendship Center/Mental Health Association of San Mateo County

2686 Spring St., Redwood City, CA 94036

(650) 368-3345

http://www.mhasmc.org/prog/friendshipcenter.shtml

Language Constituency: Various

Daly City Youth Health Center

2780 Junipero Serra Blvd., Daly City, CA 94015

(650) 985-7000

http://www.dalycityyouth.org

Language Constituency: Various

Fair Oaks Community Center

2600 Middlefield Rd., Redwood City, CA 94063

(650) 780-7500

http://www.redwoodcity.org/parks/cc/fairoaks.html

Language Constituency: Spanish

Family Crossroads/Shelter Network of San Mateo County

181 Constitution Drive, Menlo Park, CA 94025

(650) 685-5880

http://www.ivsn.org/

Language Constituency: Various

Filipino-American Chamber of Commerce

1415 Rollins Road, Suite 202, Burlingame, CA 94010

(650) 228-3533

http://faccsanmateo.com

Language Constituency: Filipino

Filipino American Democratic Club of San Mateo County

mark4life@hotmail.com

Language Constituency: Filipino

First Filipino American United Church of Christ

461 Linden Ave., San Bruno, CA 94066

(650) 952-7130

Language Constituency: Filipino

Japanese American Community Center

415 South Claremont St., San Mateo, CA 94401

(650) 343-2793

http://www.smjacc.org

Language Constituency: Japanese

Japanese Chamber of Commerce

1875 South Grant Street, Suite 760, San Mateo, CA 94402

(650)522-8500)

http://www.jccnc.org

Language Constituency: Japanese

Jordanian American Association

305 Linden Ave., South San Francisco, CA 94080

(650) 583-0132

Language Constituency: Arabic

Lady of Pillar Catholic Church

400 Church Street, Half Moon Bay, CA 94019

(650) 726-4674

http://www.ourladyofthepillar.org/home

Language Constituency: Spanish

Language Pacifica

585 Glenwood Avenue, Menlo Park, CA 94025 (650) 321-1840

http://www.languagepacifica.org

Language Constituency: ESL

Liwanag Kultural Center

222 Lausanne Avenue, Daly City, CA 94014

http://liwanag.org

Language Constituency: Filipino

Mid-Peninsula Housing

303 Vintage Park Drive, Suite 250, Foster City, CA 64404 (650) 356-2900

www.midpen-housing.org

Language Constituency: Various

Moon Ridge Apartments

2001 Miramontes Point Rd, Half Moon Bay, CA 94019

(650) 560-4872

Language Constituency: Various

Northern Peninsula Mandarin School

3115 Del Monte Street, San Mateo, CA 94403

(650) 762-8189

http://www.npms.org

Language Constituency: Chinese

North Peninsula Neighborhood Services

600 Linden Ave., South San Francisco, CA 94080

(650) 583-3373

http://npnsc.net

Language Constituency: Spanish

Organization of Chinese Americans (Peninsula Chapter of San Mateo)

P.O. Box 218, San Mateo, CA 94401

(650) 533-3065

http://www.ocasanmateo.org

Language Constituency: Chinese

Our Second Home

725 Price Street, Daly City, CA 94014

(650) 301-3300

http://www.oursecondhome.org/index.htm

Pars Equality Center

P.O. Box 1383, Menlo Park, CA 94026 (650) 321-6400

http://www.parsequalitycenter.org

Language Constituency: ESL

Peninsula Interfaith Action

1336 Arroyo Ave, San Carlos, CA 94070-3913 (650) 592-9181

http://www.piapico.org

Language Constituency: Spanish

Persian American Society

P. O. Box 25005, San Mateo, CA 94402 (650) 568-7922 1988PAS@gmail.com

Language Constituency: Persian

Pilipino Bayanihan Resource Center

2780 Junipero Serra Blvd., Daly City, CA 94015 (650) 992-9110

http://www.pilipinobayanihan.org Language Constituency: Filipino

Pillar Ridge Manufactured Home Community

164 Culebra St, Moss Beach, CA 94038 (650) 728-3389

http://www.pillarridge.com Language Constituency: Spanish

Puente De La Costa Sur

620 North Street, Pescadero, CA 94060 (650) 879-1691

www.mypuente.org

Language Constituency: Spanish

Samaritan House

4031 Pacific Blvd., San Mateo, CA 94403 (650) 341-4081

http://samaritanhousesanmateo.org Language Constituency: Spanish

San Bruno Chinese Church/Chinese School

250 Courtland Dr., San Bruno, CA 94066 (650) 589-9760

http://www.sanbrunochinesechurch.org

Language Constituency: Chinese

San Mateo County Hispanic Chamber of Commerce

475 El Camino Real, Suite 100A, Millbrae, CA 94030

http://smchcc.com

Language Constituency: Spanish

San Mateo County Commission on Disabilities, Aging and Adult Services

225 37th Ave., San Mateo, CA 94403

(650) 573-2480

http://smchealth.org/smccod Language Constituency: Spanish

Shared Housing Program/Human Investment Project

264 Harbor Blvd, Bldg., Belmont, CA 94402

(650) 802-5050

http://www.co.sanmateo.ca.us

Language Constituency: Various

Sikh Gurdwara of San Francisco

P.O. Box 25493, San Mateo, CA 94402

www.sfgurdwara.org

Language Constituency: Various Indian

Skyline College Language and Arts Division

3300 College Drive, San Bruno, CA 94066

(650) 738-4100

http://www.skylinecollege.edu

Language Constituency: Various

SparkPoint Center at Skyline College

3300 College Drive, Building 1 Floor 2, San Bruno, CA 94066

(650) 738-7035

http://www.skylinecollege.edu/sparkpoint

Language Constituency: ESL

Yaseen Foundation

621 Masonic Way, Belmont, CA 94002

(650) 591-3690

http://www.yaseen.org

Language Constituency: Arabic

Youth United for Community Action (YUCA)

2135 Clarke Ave., East Palo Alto, CA 94303

(650) 322-9165

http://youthunited.net

Language Constituency: Various

Zawaya

311 41st Ave., San Mateo, CA 94403 (650) 504-5965

www.zawaya.org

Language Constituency: Arabic

SANTA CLARA COUNTY

African Community Health Institute

1922 The Alameda Suite 425, San Jose, CA 95126 **(**408) 833-4109

http://www.africachi.org/

Language Constituency: Tigrinya, Amharic, Swahili, Somali, and Arabic

Asian Americans for Community Involvement

2400 Moorpark Ave. Suite #300, San Jose, CA 95128 (408) 975-2730

http://aaci.org/

Language Constituency: Various Asian

India Community Center

525 Los Coches St., Milpitas, CA 95035 - (408) 934-1130

http://www.indiacc.org

Language Constituency: Various Indian

Korean American Community Services

1800-B Fruitdale Avenue, San Jose, CA 95128

(408) 975-2730

http://www.kacs1.org/

Language Constituency: Korean

Metropolitan Education District

760 Hillsdale Ave, San Jose, CA 95136

(408) 723-6400

http://www.metroed.net/

Language Constituency: ESL

Mexican American Community Services

660 Sinclair Drive, San Jose, CA 95116

http://www.macsa.org/

Language Constituency: Spanish

Santa Clara County Office of Human Relations

2310 North First Street, Suite 104, San Jose, CA 95131

Language Constituency: Various

Services Immigration Rights & Education Network (SIREN)

1425 Koll Circle, Suite 109, San Jose, CA 95112 (408) 453-3003

http://www.siren-bayarea.org/

Language Constituency: Spanish, Vietnamese

VARIOUS COUNTIES

Korean American Professional Society

www.kaps.org

Language Constituency: Korean

Vista Center for the Blind and Visually Impaired

http://www.vistacenter.org/ Language Constituency: Various

B. TITLE VI OUTREACH

Examples of outreach efforts since the last JPB Title VI Compliance Report (Dec 2010 to present) follow:

SamTrans and Caltrain Title VI Outreach Plan

SamTrans and Caltrain Title VI Community Outreach Plan

Summary:

SamTrans and Caltrain are committed to ensuring that no person shall be excluded from the equal distribution of its services and amenities because of income, as well as race, color or national origin in accordance with Title VI of the Civil Rights Act of 1964.

As part of its process to develop Major Service Change, Disparate Impact and Disproportionate Burden policies that comply with Title VI, District staff did a number of activities to seek customer and community input as it develops the criteria for determining what constitutes when a service change is significant enough to require thorough analysis of the potential effects of the change on protected populations.

Title VI seeks to ensure decisions made by federally funded agencies don't result in discrimination based on race, ethnicity or national origin.

Types of outreach:

<u>SamTrans</u>	Caltrain		
Website - Information posted on the SamTrans website, with prominent Title VI icon on the homepage; showed ways to provide input through email, snail mail and phoning into customer service	Website - Information posted on the Caltrain website, with prominent Title VI icon on the homepage; showed ways to provide input through email, snall mail and phoning into customer service		
Take Ones – printed in English and Spanish and placed on all fixed-route buses and at District headquarters	Take Ones – printed in English and Spanish and placed on all trains and at District headquarters		
Visual Message Signs - on all buses	Visual Message Signs - at all train stations		
Community Meetings:	Community Meetings:		
Feb. 12, 6:30 to 8 p.m. – Pacifica	Feb. 19, 6:30 to 8 p.m. – Gilroy		
Feb. 19, 6:30 to 8 p.m. – Daly City	Feb. 21, 10:45 to 11:30 a.m San Carlos		
Feb. 21, 10 to 10:45 a.m San Carlos	Feb. 26, 5 to 6:30 p.m San Francisco		
Feb. 25, 6:30 to 8 p.m. – East Palo Alto	Feb. 27, 6:30 to 8 p.m. – Mountain View		
News releases – explaining Title VI and inviting the community to the four public meetings (sent out in English only)	News releases – explaining Title VI and inviting the community to the four public meetings (sent out in English and later translated in Chinese)		
Advertisement in newspapers	Advertisement in newspapers		
El Observador	El Observador		
El Reportero Newspaper	El Reportero Newspaper		
SF Examiner	SF Examiner – San Mateo County Edition		

SamTrans and Caltrain Title VI Outreach Plan (continued)

SamTrans and Caltrain Title VI Community Outreach Plan

Half Moon Bay Review	SF Chronicle
Pacifica Tribune	Daily Journal
Palo Alto Daily News	San Jose Mercury News
Redwood City Daily News	Gilroy Dispatch
Social Media – information posted on Facebook, Twitter and Google +	Social Media – information posted on Facebook, Twitter and Google +

Community groups/members we reached out to:

San Francisco County - list provided by the Office of SF Supervisor Malia Cohen

Potrero Hill/Dogpatch Merchants Association Keith Goldstein keith@everestsf.com

Potrero Boosters Tony Kelly tonykelly@astound.net

Dogpatch Neighborhood Association Janet Carpinelli, president 415-282-5516 Dogpatch Neighborhood Association 1459 18th St., No. 227 San Francisco, CA 94107 www.mydogpatch.org

Brite/4800 Third St Neighbors Anna DeJesus britesf@googlegroups.com anndejesus2000@yahoo.com

India Basin Neighborhood Association Michael Hamman 702 Earl Street San Francisco, CA 94124 415-643-1376 Office 415-265-0954 Cell mhamman@igc.org

Bayview Hill Neighborhood Association Shirley Moore sammy988@aol.com

SamTrans and Caltrain Title VI Outreach Plan (continued)

SamTrans and Caltrain Title VI Community Outreach Plan

Better Bayview Chris Waddling 415-810-7556 cawaddling@gmail.com

Visitacion Valley Planning Alliance Fran Martin fma6764860@aol.com

Asian Pacific American Community Center Patrick Yung Executive Director APACC_Patrick_Yung@yahoo.com Direct phone number: 415 829 9291 Cell Phone: 415 678 8309

Hunters Point Shipyard CAC Dr. Veronica Hunnicutt vhunnicu@ccsf.edu

Bayview Merchants Association Kathy Perry San Francisco, CA 94124 (415) 647-3728 x407

Dan Dodt dodt@mac.com

San Mateo County

- All City Managers
- All Mayors

Santa Clara County

- Transform. Manolo Gonzalez-Estay (<u>mgonzalezestay@transformca.org</u>)
- City Council member Newsletters:
 - Ken Yeager
 - Ash Kalra
- All City Managers
- All Mayors

Note: We also reached out to Transform, Public Advocates and Urban Habitat but they were unresponsive and did not participate.

Proposed Changes to Caltrain Codified Tariff

The Peninsula Corridor Joint Powers Board will hold a public hearing and take public comment on proposed adjustments to some Caltrain fares to become effective July 1, 2012.

Proposals to be considered include:

A. Additional Regional Clipper® Implementation Measures

- Increasing the cost of paper One-way and Zone Upgrade tickets by up to 25 cents per zone and Day passes by up to 50 cents per zone. **Note**: The cost of a One-way ride will remain the same for those using a Clipper card. Monthly Pass prices will remain the same.
- Elimination of the 8-ride Ticket.

B. Go Pass

- Increasing the cost of the Go Pass by \$10 to \$165, and setting the minimum level at \$13,750.

- Lengthening the sales period for monthly transportation passes and parking permits from the 9^{th} of the month to the 15^{th} of the month.

The public hearing will be held:

Thursday, Feb. 2, 2012 at 10 a.m. Caltrain Administrative Office 2nd Floor, Auditorium 1250 San Carlos Ave., San Carlos

Prior to the hearing, comments may be sent by mail, e-mail or phone to:

Peninsula Corridor Joint Powers Board JPB Secretary P.O. Box 3006 San Carlos, CA 94070-1306 changes@caltrain.com 1.800.660.4287

Public Meetings

Caltrain will hold four public meetings to present the proposal and receive comments. The meetings will take place at the following locations:

Gilroy – Jan. 24 at 6 p.m. Gilroy Senior Center, 7371 Hanna St.

San Francisco Tennis Club (Member Lounge), 645 Fifth St.

Mountain View - Jan. 25 at 6 p.m. City Council Chambers 500 Castro St.

San Carlos – Jan. 25 at 6 p.m. Caltrain Administrative Office, 2nd Floor, Auditorium 1250 San Carlos Ave.

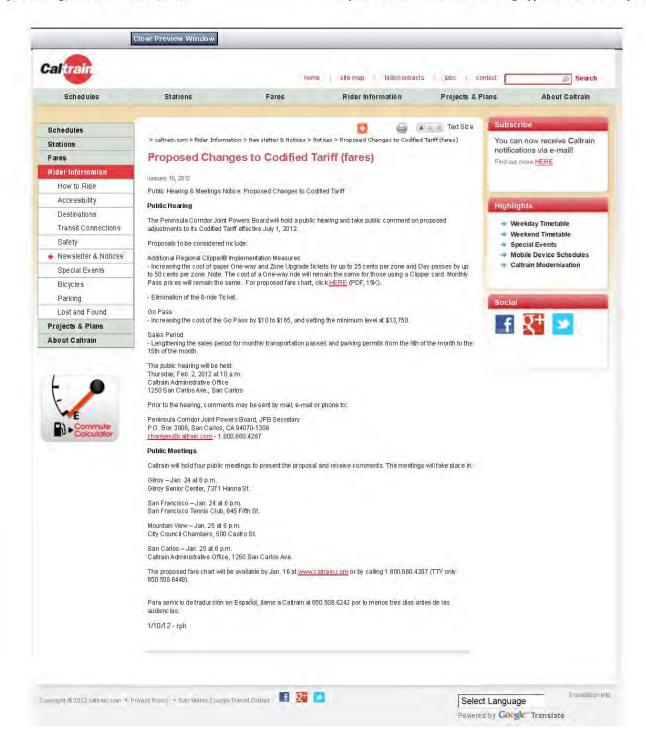
The proposed fare chart will be available by Jan. 16 at www.caltrain.com or by calling 1.800.660.4287 (TYY only 650.508.6448).



Caltrain.com News Releases

Proposed Changes to Codified Tariff (fares)

http://www.caltrain.com/CM/WebUI/PageTypes/Article/Article.aspx?Pa...



Caltrain.com News Releases (continued)



Jan. 17, 2012

Media Contact: Christine Dunn, 650.508.6238

Caltrain Holds Meetings About Proposed Changes to Codified Tariff

Caltrain will hold four public meetings and a public hearing where staff will present proposed changes to some Caltrain fares that would become effective July 1, 2012.

Proposals to be considered include:

Additional Regional Clipper® Implementation Measures
 Increasing the cost of paper One-way and Zone Upgrade tickets by up to 25 cents per zone and Day passes by up to 50 cents per zone. Note: The cost of a One-way ride will remain the same for those using a Clipper card. Monthly Pass prices will remain the same. For proposed fare chart, dick <u>HERE</u> (PDF,

15K).

Elimination of the 8-ride Ticket

. Go Dace

Increasing the cost of the Go Pass, an employer-sponsored pass, by \$10 to \$165, and setting the minimum level at \$13,750.

Sales Period

Lengthening the sales period for monthly transportation passes and parking permits from the 9th of the month to the 15th of the month.

The meetings will take place:

Jan. 24 at 6 p.m.
 Gilroy Senior Center, 7371 Hanna St., Gilroy

Jan. 24 at 6 p.m.
 San Francisco Tennis Club, 645 Fifth St., San Francisco

• Jan. 25 at 6 p.m. City Council Chambers, 500 Castro St., Mountain View

Jan. 25 at 6 p.m.
 Caltrain Administrative Office, 1250 San Carlos Ave., San Carlos

The public hearing will be held:

Thursday, Feb. 2, 2012 at 10 a.m.
 Caltrain Administrative Office, 1250 San Carlos Ave., San Carlos

Comments also can be e-mailed to changes@caltrain.com; sent by regular mail to District Secretary, Caltrain, P.O. Box 3006, San Carlos, CA 94070; or telephoned to 1.800.660.4287 (TDD for hearing impaired only 650.508.6448).

Caltrain Modifies Fare Change Proposal



> caltrain.com > About Caltrain > News > Caltrain Modifies Fare Change Proposal

Caltrain Modifies Fare Change Proposal

February 24, 2012

After seeking public comment and holding a public hearing, Cattrain staff is modifying some of the proposed changes to the rail agency's codified tariff, the document that sets its fare policy, effective July 1, 2012.

Staff is recommending that the agency retain the 8-ride Ticket, which was slated for elimination, but cut its validity period and discount in half. Under the revised proposal, the ticket would be valid for 30 days and would offer customers a 7.5 percent discount off the cost of eight one-way cash fares.

The modified proposal also recommends increasing the cost of paper One-way and Zone Upgrade tickets by 25 cents on the <u>base</u> fare and Day passes by 50 cents on the <u>base</u> fare, with the understanding that the cost of a One-way ride will remain unchanged for those using a Clipper card. Day passes are not available on Clipper. Monthly Pass prices will remain unchanged.

However, staff also is recommending that the Board authorize an increase to the cost of the paper One-way and Zone Upgrade tickets by 25 cents on the zone fare and Day passes by 50 cents on the zone fare effective no sooner than July 1, 2013 if a significant movement from paper tickets to Clipper has not occurred by March 1, 2013. A "significant movement" means at least 50 percent of passenger trips using One-way tickets in a single month are paid for with Clipper e-cash.

The proposal includes two items that weren't modified:

- Increase the cost of the Go Pass by \$10 to \$165, and increase the minimum level for employer participation at \$13,750 per calendar year.
- Lengthen the sales period for monthly transportation passes and parking permits by six days so that the sales period will end on the 15th of each month.

The recommendations will be presented to the Caltrain Board of Directors at its monthly meeting March 1 at 10 a.m.

Staff developed the proposed changes to meet the responsibilities placed on Caltrain by Metropolitan Transportation Commission Resolution 3866 and upon consideration of customer comments and analysis of customers' fare media usage. The proposed changes to paper ticket prices are intended to incentivize use of the Clipper fare payment system, which has been the focus of significant regional investment over the past several years.

Nearly 185 people provided comments prior to and at the public hearing. All comments were taken into consideration as staff developed the final proposal.

Media Contact: Christine Dunn, 650.508.6238

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Proposed Changes to Codified Tariff (fares)



> caltrain.com > Rider Information > Newsletter & Notices > Notices > Proposed Changes to Codified Tariff (fares)

Proposed Changes to Codified Tariff (fares)

February 23, 2012

Below is a copy of the staff report that will be provided to the Caltrain Board of Directors for an action scheduled for March 1, 2012 at its 10 a.m. meeting.

AMENDMENT OF CALTRAIN CODIFIED TARIFF, AND RELATED FARE PRODUCT TERMS, TO FACILITATE CLIPPER TRANSITION AND DEFRAY OPERATING EXPENSES

The Staff Coordinating Council (SCC) recommends that the Board authorize the following changes to the

- Caltrain Codified Tariff, and related fare product terms, effective July 1,2012; Increase the cost of paper One-way and Zone Upgrade tickets by 25 cents on the base fare and Day passes by 50 cents on the base fare, with the understanding that the cost of a One-way ride will remain unchanged for those using a Clipper® card. Day passes are not available on Clipper. Monthly Pass prices will remain unchanged.
- Reduce the validity period on 8-ride tickets from 60 days to 30 days and reduce the associated discount from 15 percent to 7.5 percent off the cost of eight one-way trips.
- Increase the cost of the Go Pass by \$10 to \$165, and increase the minimum level for employer participation at \$13,750 per calendar year.
- Lengthen the sales period for monthly transportation passes and parking permits by six days so that the sales period will end on the 15th of each month

The SCC further recommends that the Board authorize the following change to the Caltrain Codified Tariff effective no sooner than July 1, 2013 if a significant movement from paper tickets to Clipper has not occurred by March 1, 2013:

- Încrease the cost of paper One-way and Zone Upgrade tickets by 25 cents on the zone fare and Day passes by 50 cents on the zone fare.
- "Significant movement" for purposes of this action means at least 50 percent of passenger trips using Oneway tickets in a single month are paid for with Clipper e-cash.

See Attachment A and Charl A for a proposed Codified Tariff and fare chart showing the July 2012 and potential July 2013 increases.

The proposed changes will provide an incentive for paper ticket customers to travel on Caltrain using the Clipper card, a regional fare payment system, and will reduce the Caltrain operating budget deficit.

The July 2012 Codified Tariff changes are projected to generate increased revenues of \$1.4 million during Fiscal Year 2013.

Staff developed the proposed changes to meet the responsibilities placed on Caltrain by Metropolitan Transportation Commission Resolution 3866 and upon consideration of customer comments and analysis of customers' fare media usage.

The proposed changes to paper ticket prices are intended to incentivize use of the Clipper fare payment system, which has been the focus of significant regional investment over the past several years.

The 8-ride Ticket had been proposed for elimination for various reasons; however, based on customer feedback, the new proposal allows the product to be maintained but at a reduced discount and with a shorter validity period.

Caltrain.com News Notices (Continued)

Proposed Changes to Codified Tariff (fares)

Page 2 of 2

The proposed changes to the Go Pass cost and annual minimum would move that program towards revenue neutrality.

Finally, the extended sales period for monthly transportation passes and parking permits would benefit customers, who would gain flexibility in timing their purchases. Staff expects some increase in the quantity of passes and permits sold as a result.

The proposed changes fall within the bounds set forth in the fare chart that served as the basis of public outreach and public comment as addressed below.

The public outreach program regarding the proposed changes included a public hearing on February 2, 2012 that was preceded by four community meetings (Gilroy, Mountain View, San Carlos and San Francisco), bilingual newspaper notices, a news release, station flyers, bilingual onboard messages to train riders. Facebook postings, Tweets and a presentation to the Caltrain Citizens Advisory Committee, Information also was posted to the Caltrain website, which allows readers to translate it into dozens of languages.

Staff established a number of ways for customers and the public to provide their input; at the community meetings, via a unique e-mail address, through the postal service, and with a call to the Customer Service Center's general number or one for those with hearing impairments.

Nearly 185 people provided comments prior to and at the public hearing. All comments were taken into consideration and the proposal was adjusted in response to customers' overwhelming desire to retain the 8-ride Ticket.

In conducting a fare equity analysis as required under Title VI of the Civil Rights Act of 1964 and implementing regulations, staff found that the proposed changes may have a disproportionately adverse effect on low-income populations to the extent that this socio-economic group has not transitioned to Clipper from paper fare products. Staff will undertake measures such as conducting additional outreach to this population to encourage their use of Clipper to minimize, mitigate or offset any adverse effects.

2/23/12 - rph

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Español al otro lado

Expires 2/2/12



Cal train. Proposed Changes to **Caltrain Codified Tariff**

The Peninsula Corridor Joint Powers Board will hold a public hearing and take public comment on proposed adjustments to some Caltrain fares to become effective July 1, 2012.

Proposals to be considered include:

- A. Additional Regional Clipper® **Implementation Measures**
 - Increasing the cost of paper One-way and Zone Upgrade tickets by up to 25 cents per zone and Day passes by up to 50 cents per zone. Note: The cost of a One-way ride will remain the same for those using a Clipper card. Monthly Pass prices will remain the same.
 - Elimination of the 8-ride Ticket.

B. Go Pass

- Increasing the cost of the Go Pass by \$10 to \$165, and setting the minimum level at \$13,750.

C. Sales Period

- Lengthening the sales period for monthly transportation passes and parking permits from the 9th of the month to the 15th of the month.

continued on inside

The public hearing will be held:

Thursday, Feb. 2, 2012 at 10 a.m. Caltrain Administrative Office 1250 San Carlos Ave., San Carlos

Prior to the hearing, comments may be sent by mail, e-mail or phone to:

Peninsula Corridor Joint Powers Board JPB Secretary P.O. Box 3006 San Carlos, CA 94070-1306 changes@caltrain.com 1.800.660.4287

Public Meetings

Caltrain will hold four public meetings to present the proposal and receive comments. The meetings will take place at the following locations:

Gilroy - Jan. 24 at 6 p.m. Gilroy Senior Center, 7371 Hanna St.

San Francisco - Jan. 24 at 6 p.m. San Francisco Tennis Club (Member Lounge), 645 Fifth St.

Mountain View - Jan. 25 at 6 p.m. City Council Chambers 500 Castro St.

San Carlos - Jan. 25 at 6 p.m. Caltrain Administrative Office 2nd Floor, Auditorium 1250 San Carlos Ave.

The proposed fare chart will be available by Jan. 16 at www.caltrain.com or by calling 1.800.660.4287 (TYY only 650.508.6448).

Caltrain Customer Take ones (in Spanish)

English on other side



Cambios propuestos para las tarifas de Caltrain

The Peninsula Corridor Joint Powers Board llevará a cabo una audiencia pública y recibirá los comentarios públicos sobre los ajustes propuestos de algunas tarifas de Caltrain a hacerse efectivas el 1 de julio de 2012.

La propuesta en consideración incluyen:

A. Medidas adicionales de Implementación Clipper*

- El aumento en el precio de los boletos de papel: One-way y Zone Upgrade de hasta 25 centavos por zona y Pases diarios hasta 50 centavos por zona. Nota: El precio del viaje Oneway permanecerá igual para aquellos que utilicen la tarjeta Clipper. Los precios del pase mensual seguirá siendo el mismo.
- La eliminación del boleto de 8 viajes.

B. Go Pass

 El aumento de \$10 en el precio del Go Pass llevándolo a \$165, y estableciendo el nivel mínimo en \$13,750.

C. Período de Ventas

 Alargar el periodo de ventas por pases de transportes mensuales y permisos de estacionamiento desde el día 9 al 15 del mes.

continúa en la página siguiente

La audiencia pública se llevará a cabo el:

Jueves, el 2 de Febrero de 2012 a las 10 a.m.

Oficinas administrativas de Caltrain 1250 San Carlos Ave., San Carlos

Pueden enviarse los comentarios antes de la audiencia vía correo postal o electrónico o por teléfono a:

Peninsula Corridor Joint Powers Board JPB Secretary P.O. Box 3006 San Carlos, CA 94070-1306 changes@caltrain.com 1.800.660.4287

Reuniones públicas

Caltrain llevará a cabo cuatro reuniones públicas para presentar las propuestas y recibir los comentarios. Las reuniones tendrán lugar en:

Gilroy –24 de enero a las 6 p.m. Gilroy Senior Center 7371 Hanna St.

San Francisco – 24 de enero a las 6 p.m. San Francisco Tennis Club, (Member Lounge) 645 Fifth St.

Mountain View – 25 de enero a las 6 p.m. City Council Chambers 500 Castro St.

San Carlos – 25 de enero a las 6 p.m. Caltrain Administrative Office 2nd Floor, Auditorium 1250 San Carlos Ave.

La tabla de tarifas propuesta estará disponible a partir del 16 de enero en www.caltrain.com o llamando al 1.800.660.4287 (TTY solo 650.508.6448).

Para servicio de traducción en español, llame a Caltrain al 650.508.6242 por lo menos tres días antes de las audiencias.



Proposed Codified Tariff Changes Comment Card

Caltrain is considering the following fare changes: increasing the cost of tickets purchased from the ticket vending machines, eliminating the 8-ride Ticket, increasing the cost of the Go Pass and extending the sales periods that Monthly passes can be loaded to Clipper cards.

All comments from the public will be taken into consideration before a final proposal is presented to the Caltrain Board of Directors.

Name (optional)						
Comments						

Use back, if needed

Caltrain Public Comments Tally (Mail)

FY 2013 CHANGES TO CODIFIED TARIFF

SUMMARY OF COMMENTS THROUGH FEBRUARY 9 (Closure of Mailbox)

Increase Fare and/or Fees			Other Ticket Changes					
Paper	Paper Increase GO		GO Pass to \$165		Eliminate 8-ride		Extend Sales Period	
For	Against	For	Against	For	Against	For	Against	
6	36	2	2	4	126	4	0	
3.0%	18.1%	1,0%	1.0%	2.0%	63.3%	2.0%	0.0%	

Total Comments: 199

Caltrain Public Comments Tally (Email, Written, Phone)

Caltrain Public Comments Regarding FY2013 Changes to the Codified Tariff **NAMES** Wait for more Clipper penstration "changes look okay to me" Add Zone Upgrade to Clipper, use 30-day p Harts poor, disadvantaged **REDACTED** Stanford ineligible for GO pass Clipper is terrible with wait for period for validity Codified Turiff is non-transparent, hidding something Bring back the grace period "hiding the proposed changes" Codified Tariff is intentially deceptive Against coersion to utilize Clipper Get rid of zones - sta to sta fares Lower off-peak fares Against Zone Upgrade increase, introduce 30-day pass 8-ride and morthly pass only Clipper cards Reduce valid period to 30 or 45 days Introduce Day Pass on Clipper Did not like use of "Codified Tard" Liked TBM Need to be more efficient H6 Wants WiFi 24-Jan Russell 24-Jan no name Wide ranging comments
Did not voice an opinion on the proposals

^{54 (27%)} commentors said they would ride less or stop riding Caltrain

<u>Caltrain Public Hearing & Meeting Notices – News Releases</u>

Notice Description	Ad Description	Publication dates	
Newspaper Na	me DISPATCH		
NOTICE OF HEARING	CALTRAIN CMTY MEETINGS & HEARING (ENGLISH)	01/13/12, 01/17/12	·
Newspaper Na	me EL REPORTERO NEWSPAPER		
NOTICE OF HEARING	CALTRAIN CMTY MEETINGS & HEARING	01/11/12, 01/18/12	-,
Newspaper Na	me SAN FRANCISCO DAILY JOURNAL		
NOTICE OF HEARING	CALTRAIN CMTY MEETINGS & HEARING (ENGLISH)	01/11/12, 01/17/12	
Newspaper Na	me SAN JOSE POST-RECORD		
NOTICE OF HEARING	CALTRAIN CMTY MEETINGS & HEARING (ENGLISH)	01/11/12, 01/17/12	
Newspaper Na	me SAN MATEO DAILY JOURNAL		
NOTICE OF HEARING	CALTRAIN CMTY MEETINGS & HEARING (ENGLISH)	01/11/12, 01/17/12	

CALTRAIN **PUBLIC HEARING & MEETINGS NOTICE**

Proposed Changes to Codified Tariff

Public Hearing

The Peninsula Corridor Joint Powers Board will hold a public hearing and take public comment on proposed adjustments to its Codified Tariff effective July 1, 2012.

- Proposals to be considered include:

 A. Additional Regional Clipper® Implementation Measures
 - Increasing the cost of paper One-way and Zone Upgrade tickets by up to 25 cents per zone and Day passes by up to 50 cents per zone. Note: The cost of a One-way ride will remain the same for those using a Clipper card. Monthly Pass prices will remain the same.
 - Elimination of the 8-ride Ticket.
 - B. Go Pass
 - Increasing the cost of the Go Pass by \$10 to \$165, and setting the minimum level at \$13,750.
 - C. Sales Period
 - Lengthening the sales period for monthly transportation passes and parking permits from the 9th of the month to the 15th of the month.

The public hearing will be held:

Thursday, Feb. 2, 2012 at 10 a.m. Caltrain Administrative Office 1250 San Carlos Ave., San Carlos

Prior to the hearing, comments may be sent by mail, e-mail or phone to: Peninsula Corridor Joint Powers Board, JPB Secretary P.O. Box 3006, San Carlos, CA 94070-1306 changes@caltrain.com • 1.800.660.4287

Public Meetings

Caltrain will hold four public meetings to present the proposal and receive comments. The meetings will take place in:

Gilroy - Jan. 24 at 6 p.m. Gilroy Senior Center, 7371 Hanna St.

San Francisco - Jan. 24 at 6 p.m. San Francisco Tennis Club, 645 Fifth St.

Mountain View - Jan. 25 at 6 p.m. City Council Chambers, 500 Castro St.

San Carlos - Jan. 25 at 6 p.m. Caltrain Administrative Office, 1250 San Carlos Ave.

The proposed fare chart will be available by Jan. 16 at www.caltrain.com or by calling 1.800.660.4287 (TTY only 650.508.6448).

Para servicio de traducción en Español, llame a Caltrain al 650.508.6242 por lo menos tres días antes de las audiencias.

1/3/12 - mh

CALTRAIN AUDIENCIA PÚBLICA & NOTIFICACIONES DE REUNIONES

Cambios propuestos para las tarifas de Caltrain

Audiencia Pública

The Peninsula Corridor Joint Powers Board Ilevará a cabo una audiencia pública y recibirá los comentarios públicos sobre los ajustes propuestos de algunas tarifas de Caltrain a hacerse efectivas el 1 de julio de 2012.

La propuesta en consideración incluyen:

- A. Medidas adicionales de Implementación Clipper®
 - El aumento en el precio de los boletos de papel: One-way y Zone Upgrade de hasta 25 centavos por zona y Pases diarios hasta 50 centavos por zona. Nota: El precio del viaje One-way permanecerá igual para aquellos que utilicen la tarjeta Clipper. Los precios del pase mensual seguirá siendo el mismo.
 - La eliminación del boleto de 8 viajes.
- B. Go Pass
 - El aumento de \$10 en el precio del Go Pass llevándolo a \$165, y estableciendo el nivel mínimo en \$13,750.
- C. Período de Ventas
 - Alargar el periodo de ventas por pases de transportes mensuales y permisos de estacionamiento desde el día 9 al 15 del mes.

La audiencia pública se llevará a cabo el:

Jueves, el 2 de Febrero de 2012 a las 10 a.m. Oficinas administrativas de Caltrain 1250 San Carlos Ave., San Carlos

Pueden enviarse los comentarios antes de la audiencia vía correo postal o electrónico o por teléfono a:
Peninsula Corridor Joint Powers Board, JPB Secretary
P.O. Box 3006, San Carlos, CA 94070-1306
changes@caltrain.com • 1.800.660.4287

Reuniones públicas

Caltrain llevará a cabo cuatro reuniones públicas para presentar las propuestas y recibir los comentarios. Las reuniones tendrán lugar en:

Gilroy – 24 de enero a las 6 p.m. Gilroy Senior Center, 7371 Hanna St.

San Francisco – 24 de enero a las 6 p.m. San Francisco Tennis Club, 645 Fifth St.

Mountain View – 25 de enero a las 6 p.m. City Council Chambers, 500 Castro St.

San Carlos – 25 de enero a las 6 p.m. Caltrain Administrative Office, 1250 San Carlos Ave.

La tabla de tarifas propuesta estará disponible a partir del 16 de enero en www.caltrain.com o llamando al 1.800.660.4287 (TTY solo 650.508.6448).

Para servicio de traducción en Español, llame a Caltrain al 650.508.6242 por lo menos tres días antes de las audiencias.

1/4/12 - rph

C. OTHER OUTREACH

Examples of outreach for various Caltrain issues follow:

Caltrain Customer Comments

Caltrain	English on other side Califration
Customer Comments	Comentario del Consumidor
We want your trip on Caltrain to be pleasurable. To help us provide better service, we would appreciate any questions, comments, complaints or suggestions you may have. Please assist us by taking the time to fill out this customer comment card.	Queremos que su viaje en Caltrain sea lo más agradable posible. Para ayudarnos a proveerle mejor servicio, por favor toma el tiempo para darnos sus comentarios, preguntas o sugerencias.
PLEASE PRINT	POR FAVOR ESCRIBA LO MAS CLARO POSIBLE
Name:	Nombre:
Address:	Dirección:
Train # Conductor's Name	Tren # Nombre del conductor
Date Time of Occurence	Fecha Hora de ocuriencia
Please print your comments below:	Por favor escriba su comentario abajo:
Mail completed form to: Caltrain Customer Service P.O. Box 3006, San Carlos, CA 94070-1306	Envie la forma completa a: Caltrain Customer Service P.O. Box 3006, San Carlos, CA 94070-1306
Caltrain is committed to ensuring that no person shall be excluded from the equal distribution of its service and amenities because of race, color, national origin, language proficiency, economic status, creed, sex, age, or disability. Any person who believes they have been discriminated against may file a complaint. For complaints or more information, please call 1.800.660.4287 (TTY: 650.508.6448) or visit www.caltrain.com.	Caltrain se compromete que nadie sea excluido del proporcionamiento de los servicios que se ofrece al publico por razones de raza, origen nacional, idioma, estatus económico, creencias, sexo, edad, ni descapacidad. Cualquier persona quien cree que haya recibido descriminación puede sometir una queja. Para obtener más información llame al 1.800.660.4287 (TTY: 650.508.6448), o visite www.caltrain.com.

Caltrain Customer Take ones in English & Spanish

Español al otro lado Expires 9/8/13

Parking Fee to Increase at Caltrain Station Lots

Effective Sept. 1, 2013, the Caltrain daily parking fee will increase to \$5, and Monthly parking permits will cost \$50.

All-day parking (24-hour limit) is available for Caltrain customers at most Caltrain stations. Higher rates are charged at the San Jose Diridon Caltrain Station parking lot during SAP Center events.

A Daily Parking Permit may be purchased for \$5 from a station ticket machine. Monthly parking permits are sold only in conjunction with a Monthly Pass, 8-ride Ticket or Go Pass.

For more information, please visit www.caltrain.com/parking.





7/22/13

English other side Expires 9/8/13

Caltrain

La tarifa de estacionamiento aumentará en los lotes de las estaciones de Caltrain

Efectivo 1 de septiembre de 2013, el costo del estacionamiento diario de Caltrain aumentará a \$5, y los permisos de estacionamiento mensual costarán \$50.

Los clientes de Caltrain pueden accesible al estacionamiento durante todo el día (con un límite de 24 horas) en la mayoría de las estaciones de Caltrain. Se cobrarán mayores tarifas en el lote de estacionamiento de la estación de Caltrain de San Jose Diridon durante los eventos del SAP.

Los permisos de estacionamiento diarios podrán adquirirse por \$5 en las máquinas expendedoras de billetes de las estaciones. Los permisos de estacionamiento mensual solo se venderán junto con los pases mensuales, los billetes de ocho viajes o los pases Go Pass.

Para obtener más información, visite www.caltrain.com/parking.

Caltrain Twitter Updates



Caltrain @Caltrain News

11

NOTICE: Work on #SanBruno grade sep & #CBOSS this week, incl. night work. bit.ly/18UuLJn



Caltrain @Caltrain_News

16 Sep

NOTICE: Work on #SanBruno grade sep & #CBOSS this week, incl. night work. bit.ly/18UuLJn



Caltrain @Caltrain News

12 Sep

NB 257 is 15 mins down out of San Antonio. #Caltrain T15:22
Expand



Caltrain @Caltrain News

12 Sep

NOTICE: #CBOSS installation work 9/14-9/20. Some night work in #SanJose & #SanBruno. #Caltrain Info: bit.ly/18UuLJn



Caltrain @Caltrain_News

9 Sep.

Work has begun on the **#CBOSS** install. Night work will continue Sept. 11-13 in **#SanJose**. bit.ly/1awYa1w

Caltrain Facebook Updates



The CBOSS-PTC installation has begun in San Jose. This federally-mandated project will increase capacity and safety along the Caltrain corridor and will work with the current diesel trains and the planned electrified system. For more information on the CBOSS-PTC project, follow the link below.

CBOSS - Communications Based Overlay Signal System PTC - Positive Train Control



(EMUs). There is still lots of work to be done.

® Boost Post →

Like . 1 · September 9 at 1:18pm

Write a reply...

706 people saw this post

Other News Media



July 2, 2012 by Public Affairs

CALTRAIN INVESTS IN AGING SAN MATEO BRIDGES

By Will Reisman, @WillReisman



As part of Caltrain's commitment to safety and reliability, Caltrain is embarking on a Sgo million project to replace four bridge crossings in San Mateo, with work on the plan starting later this year.

The bridges are each over 100 years old, and don't meet current seismic-safety standards. They must be replaced to conform to local, state and federal regulations.

The four crossings are at Tilton, Monte Diablo, East Santa Inez and East Poplar a venues. Because of federal and state safety standards, the bridges must be updated with single-span structures. As part of this project, the bridges will be raised to provide greater vehicle access and increase clearance levels for emergency response equipment, trucks and other high-profile vehicles. To accommodate the height increase, Caltrain will have to alter the berms and add retaining walls and fences near the bridges, resulting in tree and vegetation removal. That work will begin in November and last three months.

In April, crews will begin the actual replacement of the bridges, a process expected to last two years. During that time, each street will be closed for up to eight weeks to accommodate construction. Those road closures will begin in late 2015. Residents should expect localized noise impacts during construction.

Funding for the \$30 million project will come from a combination of local, state and federal sources. The Federal Transit Administration will provide \$20.6 million and state bond proceeds will account for \$9 million. The remaining \$374,000 will be split between Caltrain's three local funding partners—the \$an Francisco County Transportation Authority, \$anta Clara Valley Transportation Authority and \$3mTrans.

Contact information for the project:

Email: sbridges@caltrain.com

Phone: 650-508-7726

Enter your comment here



CALTRAIN ON TWITTER

My Tweets

SAMTRANS ON TWITTER

Mr Treess

SAMTRANS FACEBOOK PAGE



CALTRAIN ON INSTAGRAM







RECENT POSTS

Other News Media (Peninsula Moves)

Caltrain Invests in Aging San Mateo Bridger | Peninsula Moves!

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PENINSULA MOVES!

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- Caltrain
 - . Dump the Pump
 - EZ-Transit Tool Box
 Board Proclamations
 - #LaterPump Tweets
 - · Dump the Pump Winners
- · Caltrain's Rail Safety Film Festival
- · SamTrans
- · Transportation Authority



July 3, 2013 by Public Affairs

CALTRAIN INVESTS IN AGING SAN MATEO BRIDGES

By Will Reisman, @WillReisman

As part of Caltrain's commitment to safety and reliability, Caltrain is embarking on a \$30 million project to replace four bridge crossings in San Mateo, with work on the plan starting later this year.

http://peninsularnoves.wordpress.com/2013/07/03/caltrain-invests-in-aging-sun-mateo-brid... 10/2/2013

Cultrain Invests in Aging San Mateo Bridges | Peninsula Moves!

Page 3 of 11

Calmus Inverts in Aging San Mass: Bridges | Peninsila Moves)

Page 2 of 1



The bridges are each over 100 years old, and don't meet current seismic-safety standards. They must be replaced to conform to local, state and federal regulations.

The four crossings are at Tilton, Monte Diablo, East Santa Inez and East Poplar avenues, Because of federal and state safety standards, the bridges must be updated with singlespan structures. As part of this project.

the bridges will be raised to provide greater vehicle access and increase clearance levels for emergency response equipment, trucks and other high-profile vehicles. To accommodate the height increase, Caltrain will have to alter the berms and add retaining walls and fences near the bridges, resulting in tree and vegetation removal. That work will begin in November and last three months.

In April, crews will begin the actual replacement of the bridges, a process expected to last two years. During that time, each street will be closed for up to eight weeks to accommodate construction. Those road closures will begin in late 2015. Residents should expect localized noise impacts during construction.

On July 10, Caltrain will make a presentation on the bridge replacement, project to San Mateo's Public Works Commission. That meeting will include information on design specifications of the new structures, including details about graffit abatement and lighting plans. Caltrain will also host a community meeting in October, before the vegetation removal, to provide local residents with a project update. The date and location of that meeting is still being determined.

Funding for the \$30 million project will come from a combination of local, state and federal sources. The Federal Transit Administration will provide \$20.6 million and state bond proceeds will account for \$9 million. The remaining \$374,000 will be split between Caltrain's three local funding partners—the San Francisco County Transportation Authority, Santa Clara Valley Transportation Authority and SamTrans.

Contact information for the project:

Email: shridges@caltrain.com

Phone; 650-508-7726

Share this.

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This entry was posted in Caltrain and tagged bridge replacement, caltrain, public works commission, San Mateo, VTA, Bookmark the permalink. The Definitive Field Guide to Caltrain — SamTrans Offers Assistance in Bay Spoof

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http://peninsulamoves.wordpress.com/2013/07/05/caltrain-invests-in-liging-oin-muten-bird 19/2/0

Caltrain.com News Release



> caltrain.com > About Caltrain > News > Caltrain Construction and Maintenance: Sept. 28 to Oct. 4, 2013

Caltrain Construction and Maintenance: Sept. 28 to Oct. 4, 2013

September 26, 2013

Construction

San Bruno Grade Separation Project

Day work will be done from 7 a.m. to 6 p.m. This week, crews will continue working on the San Mateo and San Bruno bridge platforms. Crews also will continue working on the Sylvan and Euclid tunnels.

Road work will continue this week with the street closure for San Bruno Avenue. Construction crews will continue excavating and removing portions of the roadway to lower streets and allow for greater vehicular dearance under the crossings. Once completed, the clearance for the intersection will be approximately 15 feet. The following is a list of road dosure times and dates:

 San Bruno Avenue, between First and Huntington avenues, will be closed around the clock until 5 a.m. Monday, Sept. 30.

The \$155 million project will elevate the Caltrain tracks above three existing at-grade street crossings at San Bruno, San Mateo and Angus avenues. A new elevated Caltrain station between San Bruno and San Mateo avenues will replace the station at Sylvan Avenue. Work on this project will not disrupt Caltrain service.

For more information, please call the dedicated outreach line at 650.508.7726 or email sbgradesep@caltrain.com.

Installation

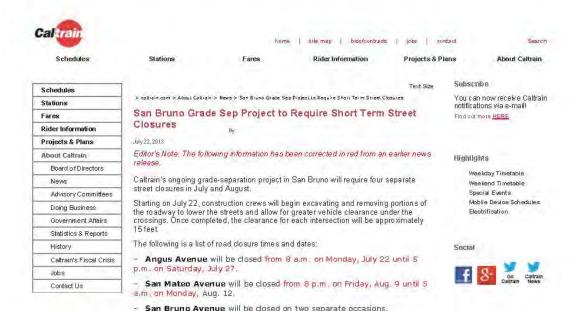
Communications Based Overlay Signal System (CBOSS) Positive Train Control (PTC) Project

The CBOSS PTC Project is an advanced signal system that will equip the corridor with federallymandated safety technology and will increase system capacity to help accommodate future ridership demand.

The project will monitor and, if necessary, control train movement in the event of human error. This will increase safety by:

- Eliminating the risk of train-to-train collisions.
- · Reducing risk of potential derailments by enforcing speed limits.
- · Providing additional safety for railroad workers on the tracks.
- Improving grade crossing performance to reduce gate downtime and improve local traffic circulation.

San Bruno Grade Sep Project to Require Short Term Street Closures





Closure #t will require partial closure of the street from 8 p.m. on Friday, Aug. 16 until 5 a.m. on Monday, Aug. 19.

 Closure #2 will completely shut down San Bruno Avenue. That closure is tentatively scheduled for 8 p.m. on Friday, Aug. 23 until 5 a.m. on Monday, Sept. 2, pending approval from the City of San Bruno.

During the closures, Caltrain will establish pedestrian and motorist detours. During the full shutdown of San Bruno, electronic signs will be displayed on Highway 101 and El Carnino Real to direct traffic to Interstate 380. For more project information, visit www.caltrain.com/shos.email/shoradesep@caltrain.com or call the Construction Hotline at 650.508.7726.

The grade separation is a \$155 million project that will dramatically improve safety in downtown San Bruno by elevating the train tracks over San Bruno, San Mateo and Angus avenues.

Grade separations reduce accidents by separating vehicle and pedestrian traffic from train tracks at railroad crossings. Since the Ralston/Harbor/Holly Grade Separation Project in Belmont and San Carlos was completed in 2001, there have been only two fatalities in the area. Before the grade separation, five fatalities occurred in the area during an eight-year period.

San Bruno's grade separation project is funded by \$92.5 million in sales tax revenues from Measure A, a voter-approved half-cent sales tax for transit and transportation projects in San Mateo County. Those Measure A dollars were leveraged to attract \$55.9 million in state funds and \$6.6 million in federal funds. Fifteen percent of all the funds collected under the reauthorized measure (approved by voters in 2004) are allocated to Caltrain grade separation projects.

About Caltrain: Owned and operated by the Peninsula Cordor Joint Powers Board, Caltrain provides commuter rail service from San Francisco to San Jose, with limited commute service to Gilroy. Caltrain has enjoyed 28 consecutive months of idenship increases, surpassing more than 50,000 average weekday riders earlier this year. While the Joint Powers Board assumed operating responsibilities for the service in 1992, the railroad will celebrate 150 years of continuous passenger service in 2014. Planning for the next 150 years of Peninsula rail service, Caltrain is on pace to electrify the corridor by 2019, reducing diesel emissions by 90 percent and adding more service to more stations.

Like us at www.facebook.com/caltrain or follow us on Twitter @Caltrain News.

Media contact: Will Reisman, 650.508.7704

Caltrain Mailed Notice

CALTRAIN
PUBLIC AFFAIRS DEPARTMENT
1250 SAN CARLOS AVE.
SAN CARLOS, CA 94070





DEAR CALTRAIN NEIGHBOR:

The four null bridges in Sen Mateo's North Central Neighborhood are 100 years old and have reached the and of their useful life. They must be replaced in order to mishin jade null operations and meet seismic askey stendards.

Calitain, in cooperation with the City of San Mateo, is preparing to replace the bridges as soon as possible. The bridges are located at Tilton, Monte Diablo, Santa Inez and Poplar avenues.

State and Federal design requirements and the City of San Mateo's desize for increased vehicular destrances on city streets require raising the height of the tracks throughout the project area.

To accommodate this height increase, new retaining walls and fancing will be constructed on the Calcain right of way. The project will require the removal of way the project will require the removal of tracks, including alruba, weed a, bushes and tracks, on Caltarin property.

The project will ensure that the bridges are rafe and arguipped to meet the segion's future transportation needs, including new electrified rail service and improved traffic flow on city streets.

We would like to instea you to join us for a community meeting to discuss these upcoming construction activities in your area and answer any questions that you may have about the project.

Join us to learn more about the project at our upcoming community meeting:

Thursday, May 2, 2013 6 P.M. To 8 R.M. Dr. Martin Luther King Jr. Community Center Assembly Room 725 Monte Diablo Ave.

For questions, please call the Caltrain Construction Outreach Hothine at 650,508,7726 or email smbridges@caltrain.com.

ESTIMADO VECINO DE CALTRAIN:

Los castro paentes ferrovarios del barrio centro norte de San Mateo tieren 100 a Pos y han llegado al final de su vida dil. Deban ser feenipissado para minimate la seguridad de las operacionas ferroviarias y cumplir con los estándares de seguridad sismica.

Calitain, encolaboración con laciudad de San Mateo, se está preparando para reemplazar los puentes lo antes posible. Los puentes se encuentran en las averidas

Lorrequistos de diseño estatales y licitantes y el deseo de la ciudad de San Mater de terrer major altun respecto al suelo para el paso de seltición en las callas de la ciudad requieren que se eleve la altun de las vita en toda el risas del proyecto.

Para fecilitar este aumanto de altura, se construirán nuavos muros de contanción y vallados en al denecho de paso de Calirain. El proyecto requesirá à altrirración de segatodos, incluidos matorra las, maiszo, arbustos y árboles, en la propisadad de Caliraín.

El proyecto garantianti que los puentes sean seguros y antin equipados para satisficar la risturas recendadas de transporte de la región, incluido un nuevo servicio ferrovarso eléctrico y un tránsito mejorado en las calles de la ciudad.

Quererros treitarle a unime a nosotros en una reunión comunitaria paía debatir estas peloumas actividades deconstrucción en su área y para responder a cualquier pregunta que ustad pasda barar sobre el proyecto.

Únase a nosotros para saber más sobre el proyecto en nuestra próxima reunión comunitaria;

Jueves 2 de Mayo de 6 P.M. a 6 P.M. Dr. Martin Luther King Jr. Community Center Assembly Room 725 Monte Diablo Ave.

Si tiene alguna pregunta, por favor llame a la linaa directs de información sobre la construcción de Catraira al número 650-587726 o envie un marcasje de correo electrónico a ambridges@caltrain.com



Connection Connection

information for Calibrata Customers

Parking Fees to Increase

On Sept. 1, the daily parking fee will increase to \$5, and Monthly parking permits will cost \$50.

All day parking (24 hour limit) is available for Caltrain customers at most Caltrain stations. Higher rates are charged at the San Jose Diridon Caltrain Station parking lot during SAP Center events.

A Daily Parking Permit may be purchased for \$5 from a station ticket machine. Morthly parking permits are sold only in conjunction with a Monthly Pass. 8-rice Ticket or Go Pass.

Rail Agency Experiencing Unprecedented Growth

As indership continues to grow, surging more than 11 percent each year for the past three years. Caltrain's farobox revenue also is strong, this is helping to partially clisel. The agency's structural delicit and the increasing operating costs. Caltrain is projecting farebox revenue of \$66.1 million and parking revenue of \$3.7 million for FY2014. Passenger fares cover about 55 percent of the operating cost.

Since 2010, Caltrain has ex perienced a 38 percent increase in ridership. Based on the annual ridership counts conducted in February 2013, Caltrain is carrying more than 47,000 customers each weekday, nearly double its ridership since introducing the Baby Builet service in 2004. High demand has resulted in service constraints during the peak-hour commute.

"Caltrain is straining at the seams," said Cluck Harvey, deputy CEO of operations, engineering and construction. "We need to look for ways to add capacity to the existing system to take some pressure off the most popular trains and to provide a more comfortable ride for our passengers."

Bike Sharing Program Launches in August

Bike sharing bridges the lastmile gap between transit and final destination for many commuters, and It's coming to Caltrain.

The \$7 million program, which will be operated by the Bay Area Air Quality Management District, the Metropolitan Transportation Commission and the Santa Clara Valley Transportation Authority, launches in August.

Bike cocking stations will be located at Caltrain stations in San Francisco, Recwood City, Palo Allo, San Antonio, Viountain View and San Jose, Participants pay to borrow a bike at a docking station and drop it off at another one close to their destination.



Spotlight is on the Watchman who keeps everyone working on the right of way at the San Bruno Grade Separation Project and other Caltrain rail projects safe and alert to trains on the tracks.

Caltrain Information Guide

Ticket Information



Caltrain is a Proof-ol-Paymont system.

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DAY PASS
This pass is valid all day on the date of purchase and allows unlimited this paleousen zones indicated. I* just making a round tip, Clipper can save you money.

8-RIDETICKET
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ELIGIBLE DISCOUNT TICKETS
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ZONE UPGRADE
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Inter-agency Transfers

MUNI PASS
Calledin customers connecting with San Francisco Muni may purchase a Catholin-Plus-Muni Fess on Citipoe: Cell Mun at 511 for pricing

FREE / REDUCED TRANSIT CONNECTION
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Purchasing Tickets / Parking Permits

CALTRAIN HEADQUARTERS
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TICKET VENDING MACHINE
All regular service Calibraria stations have ticket wenting machines that accept oach. Vise and MasterCard cobit ordered cards and Disoover credit cards.

PARKING
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Information publical to change

Schedule and fare information is available in the Caltrain pocket timetable and at www.caltrain.com

Station locations available unline at www.cattrain.com/stations

Información en español disponible en www.caltrain.com





1290 San Carlos Ave.
P.O. Box 2006
San Tarlos, Ca 94071
1,800,800,4287
(TTY) gan en ez--



Bicycle Information

Each train has two bide cars Condedire rate carry's total of 45 bides and goal ery car sets carry 90. Bicycles must be convenient below on soft with the distinguished bides for some of the condedire that one of the distinguished bides down some of the distinguished bides of the distinguishe

For information island matting a pocycle forker (Sun Francisco le Termion), cell Celtrain at 555 506,6359 or 498 321,7320 (Cao lel la Ghoy). The City of Sen Matter manages talks tockers at San Makey, Hayword Fark are Hi isdale statione. Eligible Discount: Age / Disability

Call se'n provides an approximate half-are 'ar sention customers with disabilities, Medicare covers core and youth. All toket yoes are another for a disabunit. Concustor in farming pass in senting ask to sent on a of 10 to write a gist by.

YOUTH
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Electric Personal Assistive Michility Devices, including Segways: may be transported on Caltrain with an ELWAVD permit. Call Call fair Accessible Transil Services at 550,508,5202 to obtain a permit.

Service animals, such as guide drugs may accompany persons with disabilities on Californi if the numbers we on a feed that does not inferior with passenger and the names is under the constant super-vision and control of the work. The named may not possible size if an air child vision-rever, the parameter and control of the work. The named may not possible size if an air child vision-rever, the passenger will be asked to remove the animal from the frain. No other animals one permitted.

Bus / Rail / Air Connections

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SAN FRANCISCO TRANSBAY TEMPORARY TERMINAL Sam Trans, AC Transil, Muni and Golden Gots Transil serve the Transbay Temporary Terminal, Muni Route 10 runs between the perminal and the California station.

BART CONNECTION Caltrain connects with BART at the Willbrae Tronsit Center.

SAN FRANCISCO INTERNATIONAL AIRPORT
Transfer from Colonia in BART of the Milliana Transit Center.
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SAN JOSE INTERNATIONAL AIRPORT
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ALTAMONT COMMUTER EXPRESS

Commuters traveling from Sen Josephin Valley and the Lass, tay to Silicon Valley can connect from ACE to Califarin at the Sand Care are San Jose Printen state, information: 1,800.411 RAIL or www.scarall.com.

AUTRAK
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Information 1,000,072,7245 or now antirak com.

CAPITOL CORRIDGE
Commuters traveling on Capitol Confect to insifering the Capitol Confect to insifering at the Base Bay can connect to California at the Santa Carino and San Joseph Chicker scalabors. Information: 1.877-974-3022 or www.capitolscomidar.org.

HIGHWAY 17 EXPRESS BUS
The Highway 17 Express Bus effors service every day
from So. Josen Ditions Islain to Spottis Vallay, countown
Sontia Craz are Sequel diffinited service. Information:
Santa Craz Malero at 651 425 5600 or VTP.

NONTEREY
Movemey-Sa max Transil Route 55 comments Sen Jose Disigni with Mantary, Information* 1880 MSTRUST Arring sales of the service belowes the Sen Jose Disigni College Sed created ChapSalines Manhator Cal Andrew of 1900 MTZ-7745

Connections to and from Caltrain are not guaranteed.

SHUTTLES: BELLIONT'S BROADWAY
Gallian specifies find according terremises and shall a service belover the Bernard and Filipide stations and the Broadway and Millians shall be late. Schedules: 1,800,860,4267 or www.cofinain.com/shuttles.

SHUTLES: EMPLOYER COMMUTER
Commuter shuttles convect many Permisute and South Bay
is time with replayers: siles it Stuttles are holded by
the Bay Area Air Quality Managament, District, Cattrain
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SHITTLE: NARQUERTE
The first struller medit are struller medit and the Pack Alto
and California Avanue Celtrain stations from 8 a.m. to 8 p.m.
and Menits Pack station from 6.00 a.m. to 8 p.m.
Stanford University fracts but and propping center.
Information; 560,723,9595

General Information

HOUDAY SERVICE
Call the remains the Sunday schedule on the following
red cryst and calched to days: New Year Elizy, Mismortal
Day, Indigenationse Day, Labor Day, Thanksgirking Day, and
Callishing all Day, Assturay's schedule will be operated by other Three spiring and a modified-Shakuday achedule on Breakhada Cally.

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PASSENGER SAFETY
Passengers must be ready to board as the train armaes at the static natural statics always stand part of the yellow safety, time and do not order to the center platform unit the train stope trains religi

Always look before crossing tracks.

If you see suspicious activity or sicrime orbitate Califain or at one of its stations, dail the Transit Police at 1,877,723,7245.

BASEBALL SERVICE Californi offers regular and special train service to AT&T Park for all Glants home games.

HOCKEY SERVICE The Sen Jose Diridon Station is ecross the street from HP Pavillon, home to the Sen Jose Sharks.

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LOST AND FOUND To roport a lost item, go to www.calitiem.com/lost or oail 1,500,660,4227

Caltrain for People with Disabilities

Ridina Caltrain if You Have a Disability

On the Train

Every train car has priority seats for seniors and persons with discbillities. The seats are marked with a sign. If all of these seats are taken, ask a conductor for assistance.

Talking Signs
Caltrain has installed an audible sign system at the San Francisco and San Jose Diridon stations to help customers with visual impairments

to help customers with visual impairments movigaria independently.

Strategically placed transmitters emil infrared signals that are picked up by a light-weight, hand-held receiver find guides the user to their destination by an audible value that calls out names of fundmarks. The receivers are available upon request by calling 550,508,6202 or (TTY 650,508,6448).

Travel Training.
Calvain offices from towal training to tooch people with disobilities how to ride the train. Porticipant's learn where to wall for the train; travel to purchess lettes and tog Clippers, how to make connections and how to get help it lost or howing trouble on the trip. For information about travel training, call 650,506,6202 or ITTY 650,508,6448).

Parking
The Cathelin parking fee is waived for any person with a disability whose or displays, a disabled California license place or parking placeral issued by the California Department of Mator Vehicles. The cor does not nove to be parked in an accessible space for the fee to be worked.

Farres
Seniors and persons with disabilities who
present a Regional Transit Connection Discount
card, Medicaro card on a Department of Motor
Vehiclaes Disabled Person Ricard Identification
card may ride Caltroin at approximately haif
the fare. In organized Regional Transit
Connection Discount card with Clipper visit
www.clipper.card.com or call Clipper Customer
Senice at 1,877,878,8883 or Coltroin at
1,870,661,422,777,878,8883. 1.800.660.4287 ITTY only 650.508.64481.

Ticket Purchase

HLASE PUTCHOSE
Caltroin operates a Proof-ol-Payment system,
One-way lickets, Day passes and Zone
Upgrades can be purchased at licket vending
machines at each stallon Clapper's a lare
paymant method an Caltroin. Monthly passes,
8-ride lickets or cash may be loaded on the
Clipper/RTC card.

Accessible Caltrain Stations

ACCESSIBLE C San Francisco Boyshore San Bruno Millbroe* Burlingame San Mateo* Hayward Park Hillsdale* Belmont San Carlos* Redwood City Menlo Park Pala Allo*

California Avenue San Antonio Mountain View* Sunnyvale Lawrence Santa Clara" San Jose Diridon" Tamien Capital Blossom Hill Morgan Hill San Martin

* accessible ramp to board some trains

Key Points

- Coltrain conductors will assist you to the best
 of their abilities to ensure that your ride on
 Coltrain is safe, smooth and comfortable.
 If you need assistance from the conductor,
 please ask.
- All accessible stations also have backur lifts on the plotform if the onboard lift needs
- The designated ADA car is the second car from the north, or San Francisco end, of the train. On all trains, the car is marked with the blue accessibility symbol next to the doors. All accessible cars have an accessible restroom.
- Most stations have a public address system to announce emergencies and train delays. Many stations also have electronic message boards. On the train, stops are announced by the conductor on a public address system.

Safety note: Please remain behind the yellow line on the platform until the train has come to a complete stop. Some trains are expresses and do not stop at all stations. It is possible for both express and local trains to run on either track in either direction.



We appreciate your comments and suggestions. Call 1.800.660.4287 ITTY 650.508.6448 www.caltrain.com

1250 San Carlos Ave

July 2013

Caltrain for People with Disabilities Tips to make train travel easy Calinain

Using a Wheelchair or Two-wheeled Mobility Device on Caltrain

Wheelchair Accessibility

Wheelchair Accessibility
The Galley train asts how at least one
wheelchair accessible car that can accommodate
threa wheelchair and use an onboard wheelchair lift. The Bombardier froin asts have up to five
wheelchair accessible can soul use the accessible
comp or the mobile platform wheelchair lift.
Wheelchairs are boarded at all accessible
strikes is listed in the box on back. For all frains,
fleases with at the blue international wheelchair
fleases with at the blue international wheelchair.

please wait at the blue international wheelchoir symbol pointed on the platform for the conductor to assist you.

If a train already has the maximum number

of wheelchair/mobility device customers our board, the conductor will ask you to wail for the next train. If it is the last train of the day, Coltrain will provide you with paratransit service to your



destinction. If you are your attendant can fold your wheelchair and you can transfer to a seat, you may board the train even if all the wheelchair

How to Board the Train

How to Board the Train Customers may use the life or accessible ramp if they are in a wheelchair, have a mobility device or are unable to use the stairs. If you will need help boarding, or finding a seat, please with on the blue boarding assistance square painted on the ground lat the north end of the plotform or sit on the boarding assistance bench in the same area. Conductors look for passengers in this area and will ofter assistance.

Use of the Lift

Caltrain will board and transport any size wheelchair that can be safely accommodated on the lift and in the rail car.

- . Remove poles or flags attached to your mobility device before boarding the train. The conductor will osk for your destination before you board to make sure the station you are traveling to is accessible
- Set the brakes of your wheelchair. The conductor will raise the lift until you are level with the entryway to the train
- Release your brakes and proceed into the Irgin.
- Move into the area designated for
- Set your brakes once you are positioned in

How to Get Off the Train

When the train arrives at your destination, please wait until all passengers have gotten on or aff.

on or an.

Proceed onto the lift facing forward and set
the brakes of your wheelchair. The conductor
will then lower the lift.

Release your brakes and exit the lift onto

the platform.

All accessible cars have pressure-sensitive An accessible cors nove pressure-sensitive doors and an audible tone and light to indicate the doors are opening and closing. All cars have an automated voice warning that announces, "Caution; doors are about to close."

Two-wheeled Mobility Devices

Electric Personal Assistive Mobility Devices, including Segways, may be transported on Caltrain with an EPAMD permit. The permit may be obtained by contacting Caltrain Accessible Transit Sorvices at 650.508.6202.

Operating Rules

- PERMONE MUST not be operated on the station platform at a speed greater than the walking speed of other customers, must be operated parallel to the tracks and the user must avoid backing up.
- Users are encouraged to wear helmets and to equip the device with a light when operating at night.
- . EPAMDs must remain "off" when stowed in the wheelchair area on the train

Boarding and Alighting

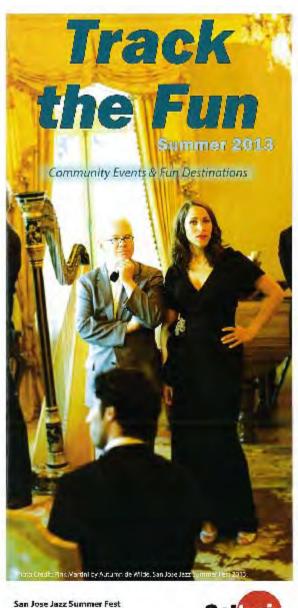
- To board Catrain, stand in the blue boarding assistance square with the EPAMD "off" until the train arrives.
- the train arrives.

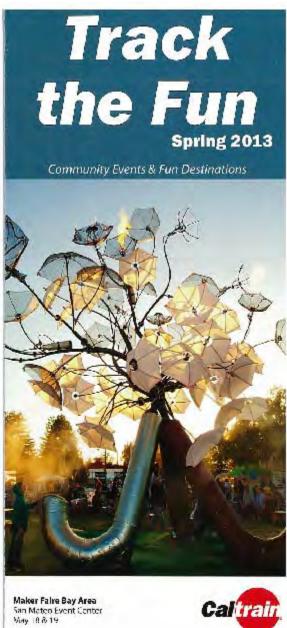
 **To board a Gallery car, you must accompany the EPAAD on the lift, but may not dide it on the lift. Stow the EPAAD in the area reserved to wheelchairs, Position the EPAAD parallel to the aids with the wheels restling against the back wall impacted you parallel. Lower the steering column to the floor and switch the EPAAD to Tack's mode. Sit in the closest available sect to your EPAAD.
- . To board a Bambardier car, push or pull the To board a Bombordier car, push or pull The ERAMD up the accessible ramp base other side for Isiling of staffans aros she bridge pide to the car. Slow the EPAMD in the area reserved for wheelchairs. Position the EPAMD agains the wall with the handlebor against the seat back of the figure seet and staff beside the EPAMD. Switch the EPAMD to "lock" mode.

Visitors

. Visitors with an EPAMD may ride for seven consecutive days before an EPAMID permit is required. Proof of visitor status may be either documentation that the individual's disability requires use of the device or proof of residence outside the Caltrain service area.

Track the Fun (Listing of Community Events and Fun Destination using Caltrain)





Downtown San Jose Aug. 9 - 11



Caltrain Bike Access Information





Caltrain has the most extensive bike access program in the nation, allowing bikes on all of its trains. Each train has two bike cars. Its Bombardier cars (newer, bi-level passenger cars) carry a total of 48 bikes and the gallery car sets carry 80. Collapsed folding bikes no wider than 32 inches are allowed to travel in non-bike cars.

Bike Rules

Caltrain would like to remind bicyclists to follow the onboard posted instructions for bike placement. The aisle in the bike car must be kept clear for emergency access purposes.

Bicyclists are legally responsible for damage to Caltrain equipment and/or injury to other passengers due to the bicyclist's negligence. Caltrain assumes no responsibility for theft or damage to bikes while on the train.

General

- Bicyclists must be at least 6 years old.
 Cyclists younger than 12 years of age must be accompanied by an adult and be able to carry their own bike on and off the train.
- No extra charge to board a bike.
- Bike cars are marked with this decal-
- · Only single-rider bikes permitted.
- · Maximum bike length: 80 inches.
- No fuel-powered bikes or three-wheeled bikes.



Bike

Car

Bike Rules (continued)

General

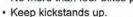
- Detachable and collapsible trailers aren't allowed. Large bulky attachments which expand bike width such as saddlebags, backpacks and baskets, aren't allowed to remain on bike.
- Bikes are not allowed on escalators and should be walked on station platforms and ramps.

Boarding

- · Board designated bike cars only.
- · Boarding is on a first-come, first-served basis.
- Be prepared to board when the train arrives; however, allow passengers to disembark first.
- · Conductors are prohibited from handling bikes.

Onboard

- Quickly enter the bike car and allow room for other bicyclists that follow.
- to aid in organizing bike storage.
 No more than four bikes per rack.





- Use the bungee cords provided to secure your bike, not bike locks.
- · Aisle must be kept clear for emergency access.
- Sit or travel in the bike car if possible; keep the entrance area clear.
- Allow non-bike passengers to disembark first.
- In an emergency, follow the direction of train personnel and leave your bike on the train.

Caltrain offers more than 1,000 lockers at its stations for rent. Information and application at www.caltrain.com/bikelockers.

Other bike parking options are listed at www.caltrain.com/bikelockers.

Caltrain Information

caltrain.com/bicycles 1.800.660.4287 (TTY 650.508.6448

5/13 - 10K - E - RJC

Clipper On Caltrain (In Spanish)



¿Nuevo usuario de Clipper??

- Oblenga una tarjeta Clipper
 Puede obtener una tarjeta Clipper en:
 Clippercad.com

 Clippercad.com

 Wolgrieeris, Whole Foods y otras liendas
 (jida complete en clippercad.com)

 El programa de beneŝicios de
 fransporte publico de su trabajo

 Officina de Califrain de San Carlos

 Centros de Sérviccia al Cliente de VTA

 Estaciones del Metro de Muni
 Noto: Pera o letrem información sobre trajeta Cli

- Agregue valor a su tarjeta Clipper
 Usted tiene la familia da de agregar
 Passe Minaules de Califoria
 Passe Monaules de Califoria
 Belotos de 8 viajes de Califain
 Valor en refotivo--apespado en holos los sistemas
 de transparte principales de la Raña

Dénde agregar valor a su tarjeta Clipper Puede agregar valor en las siguientes ubicaciones: En linea en clippercard.com En las tiendas participantes (lista completa en clippercard.com)

- Máquinas para agregar valor de Clipper en las estaciones Caltrain de San Francisco, Palo Alto y
- estaciones Cotifon de San Francisco, Palo Alfo y San Javás Diridon Máquinar de boletos de VTA en las estaciones de tranvia de Mountain View, San Javás Diridon y Tamien Máquina de boletos en la estación BART de Millibrae (oble valor en efectivo) Biprograma de beneficios de transporte pública de su trabajo.

Autocargue su tarjeta Clipper (Autoload)

¡No se quede sin valor en su tarjeta! Configure Autoload en **clippercard.com** para ogregar valor su tomáticamente a su trajeta mediante su cuer

- Su saldo en efectiva esté por debajo de los \$10 dólares
 Su Boleto de 8 viajes sólo tenga dos viajes restantes
 Su Pase Mensual expire

- Proteia su tarjeta Clipper y su valor

 Registre su tarjeta grafulfamente. Visite-clippercard.com o liame al 87/878,8883.

 Con el registro grafulto. Clipper puede remplazar tarjetas perdidas o robodas y restaurar los saldos por
- una modica cuota.

 No le haga hoyos a su tarjeta; ni la doble, ni la altere
 de ninguna forma. Si o hiciera, su tarjeta podría no
 funcionar adecuadamente.

Preguntas frecuentes

¿Cómo pago la tarifa de Caltrain con Clipper?

Usted necesitará utilizar su farjeta Clipper dos veces durante su vioje. Primero, antes de abordar el tren, pase la trafeta Clipper por un lector en la estación a en la plataforma. En segundo lugar, después de que usted salga del tren en su destito, encuentre un lector de tarjetas Clipper y páseta antes de salir de la estación.

- Si utiliza valor en efectivo o un Boleto de 8 viajes, debe pasarla al principio y al final de cada viaje.
- debe passarla al principio y al final de cada viaje. S utiliza un Prese Mensual de Cattains, sólo debe passarla al inicio y al final de su primer viaje del mes para validar su parse. Luago uteda puede obordar y viajar en cualquier tren sin tener que passarla al inicio a cal final hasta que se cumple el mes. Para obtener más corregos sobre cóma comprar y utilizar un Pase Mensuu de Cattains, por trour valter cautinain com («Eipper.

Califrain es un sistema de comprobante de pago; cuando se le pida, por tavos entregue su tarjeta Clipper al conductór o al inspector de tarlías para mostra que usted ha pagado su fartio. El conductor utilizará un lector de larjetas portátil para comprobar su tarlía,

¿Por qué necesito pasar la tarjeta al inicio y al final?

Ede sistema es la forma en la que Calitain determina su tatifia correcta. Cuando usted pasa su tajeto al Inicia, el Jector no sabe su destino final, así que deduce la tarifa máxima de vigle sencillo. La diferencia entre su tarifa teal y el máximo se devuelve a su tajeto cuando usted la para por ellectro a final. El sociar a usuvamente a final garantiza que usted no reciba cargos mayores a los reciber para por electro a final. El sociar a usuvamente a final garantiza que usted no reciba cargos mayores a los recibers.





¿Debo tener valor en efectivo en mi tarjeta Clipper?

Si; el sistema de Caltrain requiere que usted conserve un saldo de valor en efectivo de \$1.25 en toda momento, incluso si fiene un Pase Mensi de Caltrain a un Boleto de 8 viajes.

¿Obtengo descuentos y transbordos con mi

tayera Cupper (Clipper audiomáticomente calcula los descuentos adecuados y los transbotados por participar en los sistemas de transporte público. En Caltian, utilizar volor en efectivo de su lagiela Clipper en lugar de belefos de popel la ohora dinero en cada viaja. Para conoce los discuentes y transporte disponiblos en Caltian, vialte caltina, com/elipper.

Tengo un Pase Mensual. ¿Cómo obtengo una Actualización a Zona?

Actualización a Zona? Antes de abordar el tren deténgase en una máquina de boletos de Caltraín y compre una Actualización a Zona. La Actualización a Zona impresa y su tarjeta Clipper son sus comprobantos de pago.

¿Cómo obtengo un Permiso de Estacionamiento?

Estacionamiento?
Los cientas que agregon un Pase Mensual o un Boleto de 8 viales a su tarjeta Cilipper pueden comprer un Permiso de Estacionamiento Mensual en cualquier máquina de boletos de Catirain dos disa despuis de nober comprarod su pase o delía despuis de nober comprarod su pase o delía despuis de hober comprarod su pase o destina despuis viales en información sobre cómo obtener y utilizar un Permiso de Estacionamiento Mensual vialte catirain.com/clipper.

Para obtener información más específica sobre Clipper visite clippercard.com.

E. LIMITED ENGLISH PROFICIENCY LANGUAGE ASSISTANCE PLAN

The following document is JPB's Limited English Proficiency (LEP) Language Assistance Plan (LAP), updated for this Title VI Compliance Program submission.

Limited English Proficiency (LEP) Language Assistance Plan (LAP)



Prepared by Nancy Whelan Consulting October 2013

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Executive Summary

Title VI prohibits discrimination by recipients of Federal financial assistance on the basis of race, color, and national origin, including the denial of meaningful access for limited English proficient (LEP) people. As a recipient of Federal funds, Caltrain must "take reasonable steps to ensure meaningful access to their programs and activities by LEP persons."

On August 11, 2000, the President signed Executive Order 13166, "Improving Access to Services for Persons with Limited English Proficiency" that requires Federal agencies and recipients of Federal funds to examine the services they provide, identify any need for services to those with limited English proficiency (LEP), and develop and implement a system to provide those needed services so that LEP persons can have meaningful access to them. Further guidance was provided in 2012 with the release of the Federal Transit Administrations circular--FTA C 4702.1B—that further codified the FTA's objective to "promote full and fair participation in public transportation decision-making without regard to race, color, or national origin; and ensure meaningful access to transit-related programs and activities by persons with limited English proficiency." ²

As a means of ensuring this access, the Federal Transit Administration Office of Civil Rights has created a handbook for public transportation agencies that provides step-by-step instructions for conducting the required LEP needs assessment and developing a Language Assistance Plan. The Language Assistance Plan becomes a blueprint for ensuring that language does not present a barrier to access to the agency's programs and activities.

To develop the Language Assistance Plan necessary to comply with the guidance, an individualized agency assessment is required that balances the following four factors:

- 1. The number or proportion of LEP persons eligible to be served or likely to encounter a program, activity, or service of the recipient or grantee;
- 2. The frequency with which LEP individuals come in contact with the program;
- 3. The nature and importance of the program, activity, or service provided by the recipient to people's lives; and
- 4. The resources available to the recipient and costs for translation services.

To ensure compliance with federal guidance, Caltrain undertook a needs assessment with the goal that all reasonable efforts should be made to ensure no member of its riding public is left underserved due to a limited ability to speak, read, write and understand English. Caltrain believes in the rights of all residents within its community, and furthermore wholeheartedly supports the overriding goal of providing meaningful access to its services by LEP persons. Given the diverse nature of the Caltrain service area, eliminating the barrier to persons of limited-English-speaking abilities will have a positive impact not only on LEP individuals themselves, but also on Caltrain ridership in general.

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¹ Federal Register Volume 70, Number 239 (Wednesday, December 14, 2005)

² FTA Circular 4702.1B- TITLE VI REQUIREMENTS AND GUIDELINES FOR FEDERAL TRANSIT ADMINISTRATION RECIPIENTS, October 1, 2012.

Agency Background:

Caltrain provides commuter rail service along the San Francisco Peninsula, through the South Bay to San Jose and Gilroy. The San Francisco and San Jose Railroad Company began passenger rail service on the Peninsula in 1863. The system known today as Caltrain had its start in 1992, when the Peninsula Corridor Joint Powers Board took over the operation of the train. It operates within three diverse counties: San Francisco, San Mateo and Santa Clara. Caltrain is governed by the Peninsula Corridor Joint Powers Board (JPB), which consists of agencies from the three Caltrain counties. The member agencies are: the City and County of San Francisco, San Mateo County Transit District and the Santa Clara Valley Transportation Authority (VTA). Caltrain's service area population is 3,402,678.

Caltrain has 29 regular stops, one special event-only stop (Stanford Stadium), and two weekend-only stops (Broadway and Atherton). There are three main types of services provided: Local service, which stops along all of the 29 regular stations; Limited-stop service that operates in the peak periods and bypasses some of the local stops; and Baby Bullet service, which only stops at 6 stations in the peak period between San Francisco and San Jose Diridon station. There is also special service provided for football games at Stanford Stadium. As of September 2013, Caltrain operates 92 weekday trips, 36 Saturday trips, and 32 Sunday trips.

Plan Methodology

A variety of data for the three counties within the Caltrain service area was combined to form the basis of the Caltrain LEP plan development. This included census data, information from the Department of Education Language Learner data set, a Caltrain On-Board Survey (October 2010), a Caltrain Shuttle Survey (October 2012) and information obtained through public outreach on the development of LEP plans in the service area.

Because Caltrain provides commuter rail service within three counties—each with their own robust bus and rail transit operators (VTA, San Francisco Municipal Transportation Agency, and SamTrans)—the Caltrain four factor analysis considered the significant level of outreach that had already been undertaken by the transit operators in the service area to complete their individual LEP plans. The three transit agencies' outreach represents a comprehensive engagement with LEP communities who use transit in the service area and there was extensive information available directly from LEP communities about the importance of transit and the methods of outreach and communication that they would prefer.

The plan was also informed by the existing translations being provided through the Caltrain website, on-board the trains and at the stations, through the call-center Language Line, and through the customer service and train personnel that provide front line interaction with Caltrain riders. These employees were also consulted to help assess prior experiences with LEP individuals through an employee survey devised to seek information about the frequency of

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³ 2012 Estimates: US Census Quickfacts

contact with LEP individuals. Finally, outreach to Community Based Organizations (CBOs) performed within the three counties provided a greater understanding of the needs of LEP communities, as well as preferred methods of communication in order to ensure that language is not a barrier to Caltrain's programs and services.

General Plan Findings

By consulting the data sources identified above, the findings reveal the top 9 languages in the Caltrain service area that will inform the Language Assistance Plan:

- Spanish (or Spanish Creole)
- Chinese (Mandarin & Cantonese)
- Vietnamese
- Tagalog
- Korean
- Russian
- Japanese
- Persian
- Hindi (and Indian languages)

By a large margin, Spanish remains the most predominantly spoken language within the service area and within the Caltrain rider population. As a result, while other languages should be considered for translation assistance, as reflected in the Language Assistance Plan, continued care should be taken to translate information into Spanish to ensure that Spanish language speakers are not presented with barriers to access Caltrain's services and programs based on their English language ability. Other languages, including those falling under the "Safe Harbor" provision, should be provided translation services as funding permits or if required by federal regulations.

Limited English Proficiency: Four Factor Framework Analysis

Factor 1: Determining the number or proportion of LEP persons in the service area who may be served or are likely to encounter a Caltrain program, activity or service.

The first step in the Language Assistance Plan development process is to quantify the number of persons in the service area who do not speak English fluently and would benefit from language assistance. This process includes examining the agency's prior experience with LEP populations, using census data to identify concentrations of LEP persons in the service area, using alternate data sources to help in the analysis, and reaching out to Community Based Organizations that serve LEP populations to obtain information from them to help assess the unique needs of the LEP populations.

Service Area Boundaries

In attempting to isolate the likely populations for consideration, the Caltrain Shuttle Survey was consulted to determine the catchment areas of Caltrain riders. Based on the survey data, the average distance to the Caltrain stop was 5 miles, while the median was 2 miles.

Table 1: Distance from Home to Station⁴

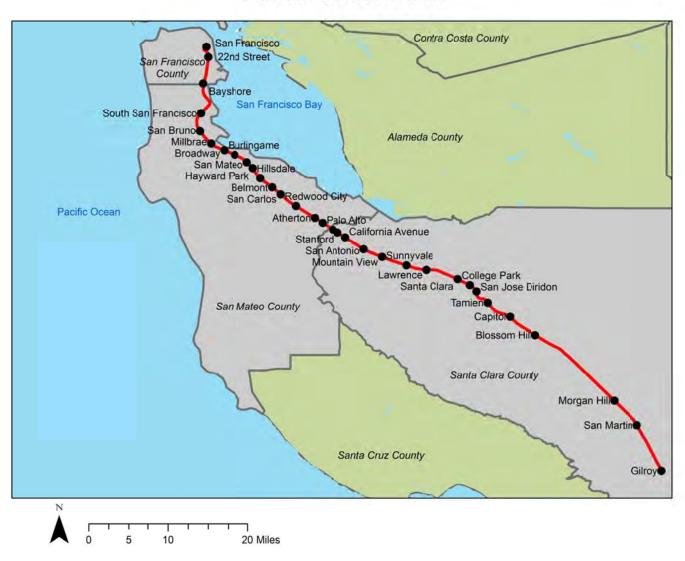
Distance	2012	2011	2010
Less than 1 mile	18%	21%	17%
1-10 miles	68%	66%	69%
11-20 miles	5%	5%	4%
21-30 miles	1%	1%	1%
31 or more miles	3%	1%	1%
No response	4%	6%	9%
TOTAL:	100%	100%	100%
Average:	5 miles	4 miles	4 miles
Median:	2 miles	2 miles	2 miles

Using the average distance from home, a 5 mile buffer was drawn from the Caltrain line. Under this approach, very few areas of the three counties were excluded from consideration. Consequently, the entire three counties were used as the service area for the Caltrain LEP analysis. Map 1 provides a three county map of the Caltrain line and stations that form the geographic basis of the analysis.

⁴ Caltrain Shuttle Survey Oct 2012 p. 11 Corey, Canapary and Galanis Research

Map 1: Caltrain Service Area and Stations

Caltrain Service Area



Data Sources

A variety of data were consulted to determine the most prevalent languages spoken in the service area, as well as those that may benefit from language assistance. This included:

- United States Census 2010 (census tract boundaries)
- American Community Survey 2007-2011 five-year sample languages of people that speak English less than "Very Well")
- California Department of Education (English Language Learners)
- Caltrain Customer Service Information
- Caltrain On-Board Survey 2010

Data Analysis

Using data from the American Community Survey (ACS) 5-year sample (2007-2011) within the three-county Caltrain service area, the estimated percentage of the population that indicated they speak English "Less than Very Well" is approximately 21.6%. Table 2 presents the breakdown by language for those within the service area that speak English Very Well and Less Than Very Well. Based on the information, the most prevalent languages spoken in the Caltrain service area are Spanish, Chinese, Vietnamese and Tagalog, with each language representing greater than 1% of the service area population.

Because the Department of Transportation (DOT) guidelines regarding "Safe Harbor Provision" for translation of written materials requires the identification of "Safe Harbor Languages", careful attention must be paid to the absolute numbers as well as the percentage of the population that do not speak English in the development of the LEP Plan. FTA Circular 4702.1B states the following with respect to the Safe Harbor Provision:

The Safe Harbor Provision stipulates that, if a recipient provides written translation of vital documents for each eligible LEP language group that constitutes five percent (5%) or 1,000 persons, whichever is less, of the total population of persons eligible to be served or likely to be affected or encountered, then such action will be considered strong evidence of compliance with the recipient's written translation obligations. Translation of non-vital documents, if needed, can be provided orally. If there are fewer than 50 persons in a language group that reaches the five percent (5%) trigger, the recipient is not required to translate vital written materials but should provide written notice in the primary language of the LEP language group of the right to receive competent oral interpretation of those written materials, free of cost.

Based on these guidelines, 22 discrete languages have more than 1,000 persons who speak English less than "Very Well" and would qualify as "Safe Harbor" languages, requiring the translation of vital documents. According to federal guidance, vital written documents include, but are not limited to, consent and complaint forms; intake and application forms with the potential for important consequences; written notices of rights; notices of denials, losses, or decreases in benefits or services; and notices advising LEP individuals of free language assistance services. This does not include three groups of languages (such as, "Other Pacific Island Languages") that also have more than 1,000 individuals represented. The Safe Harbor

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⁵ FTA Circular 4702.1B

Languages are indicated in bold on Table 2. It is important to note that due to the size of the service area, the 1,000 person Safe Harbor threshold can sometimes represent a very small percentage of the overall population. For instance, while 2,249 Thai speakers speak English "Less Than Very Well", this equates to about .07% of the total population in the service area. Regardless, this language constitutes more than 1,000 individuals and would qualify for "Safe Harbor Provisions" along with several other languages that represent less than 1% of the service area population.

Table 2: Three County LEP Populations by Language

	Total Caltrain Service	Speak English "Very	Speak English Less Than "Very	% of Total Caltrain Service Area Speaking English Less
Language	Area	Well"	Well"	Than "Very Well"
Speak only English	1,593,707			
Spanish or Spanish Creole:	529,504	284,039	245,465	8.01%
Chinese:	312,597	136,337	176,260	5.75%
Vietnamese:	119,324	45,819	73,505	2.40%
Tagalog:	124,106	80,745	43,361	1.41%
Korean:	34,403	16,386	18,017	0.59%
Russian:	32,083	16,517	15,566	0.51% 0.36%
Japanese:	24,862 43,627	13,792 33,279	11,070 10,348	0.34%
Other Asian languages: Other Indic languages:	29,198	20,508	8,690	0.28%
Persian:	29,196	13,345	6,839	0.22%
Other Pacific Island languages:	18,459	11,637	6,822	0.22%
Hindi:	35,590	30,239	5,351	0.22 %
Arabic:	14,598	9,604	4,994	0.16%
Portuguese or Portuguese Creole:	12,209	7,563	4,646	0.15%
French (incl. Patois, Cajun):	21,280	17,882	3,398	0.11%
Mon-Khmer, Cambodian:	5,402	2,195	3,207	0.10%
Italian:	11,514	8,581	2,933	0.10%
African languages:	6,224	3,803	2,421	0.08%
Thai:	4,296	2,047	2,249	0.07%
German:	14,244	12,421	1,823	0.06%
Serbo-Croatian:	5,145	3,367	1,778	0.06%
Gujarati:	7,764	6,110	1,654	0.05%
Other and unspecified languages:	3,706	2,392	1,314	0.04%
Urdu:	6,790	5,540	1,250	0.04%
Other Slavic languages:	3,686	2,465	1,221	0.04%
Armenian:	3,507	2,390	1,117	0.04%
Polish:	2,948	1,932	1,016	0.03%
Hebrew:	5,882	4,872	1,010	0.03%
Other Indo-European languages:	3,759	2,802	957	0.03%
Laotian:	1,725	814	911	0.03%
Greek:	3,662	2,939	723	0.02%
Scandinavian languages:	3,666	3,248	418	0.01%
Other West Germanic languages:	3,087	2,724	363	0.01%
Hungarian:	1,252	939	313	0.01%
Hmong:	742	488	254	0.01%
Yiddish:	201	90	111	0.00%
Other Native North American	705	22-	400	0.000/
languages:	735	627	108	0.00%
French Creole:	255	241	14	0.00%
Navajo:	31	21	10	0.00%
Total	3,065,954	810,740	661,507	21.58%

Additional data points were also analyzed using the American Community Survey (ACS) 5-year sample to help understand the percentage of the community that may be affected by language barriers. The Census defines a "linguistically isolated" household as one in which no member over the age of 14 years old speaks English only or the household members speak a non-English language and don't speak English "very well." Individuals in these households may face significant language barriers because they may not be able to rely on an adult relative who speaks English well to provide translation assistance. Table 3 shows that approximately 12% of the households would be considered linguistically isolated. Table 4 provides the information for Linguistic Isolation by county. Averages may not add to 100% due to sampling variability.

Table 3: Linguistically Isolated Households in Caltrain Service Area

Linguistically Isolated Households	Estimate Average
All Considered "Linguistically Isolated	11.8%
Households Speaking	
Spanish	24.2%
Other Indo-European languages	15.8%
Asian and Pacific Island languages	30.5%
Other languages	15.6%

Source: US Census American Community Survey 2007-2011 Table S1602

Table 4: Percentages of Linguistically Isolated Households by County

Linguistically Isolated Households	San Francisco	San Mateo	Santa Clara
Considered Linguistically Isolated	13.5%	9.7%	12.1%
 Spanish 	22.8%	26.5%	24.1%
Other Indo European	22.3%	13.3%	13.3%
Asian and Pacific Island	40.3%	23.3%	28.4%
Other Languages	13.3%	13.1%	17.9%

Source: US Census American Community Survey 2007-2011 Table S1602

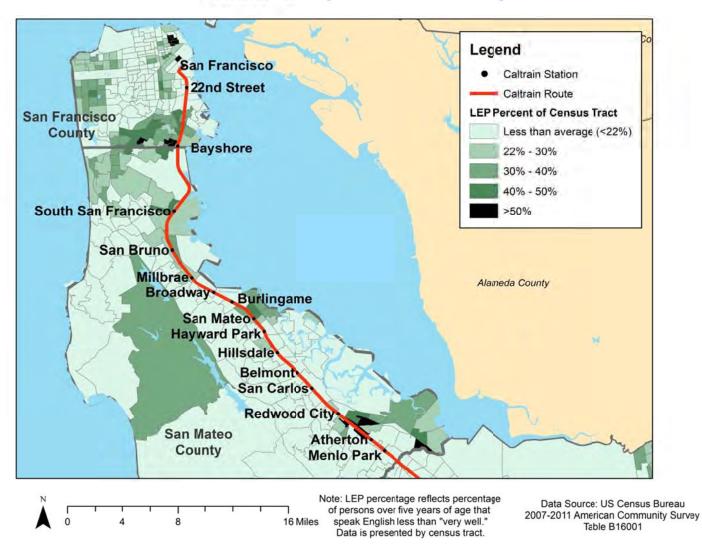
GIS Analysis of the American Community Survey, 2007-2011

In order to better understand the location of the LEP concentrations, the analysis also includes a Geographic Information Systems (GIS) analysis of the ACS survey data. The data provides tract-level information to provide a geographic picture of where concentrations of LEP individuals live within the service area. The following maps 2 through 10 provide a GIS view of the concentrations of LEP populations for the following top seven discrete languages from the ACS data which represent greater than 10,000 individuals per language:

- Spanish or Spanish Creole
- Chinese
- Vietnamese
- Tagalog
- Korean
- Russian
- Japanese

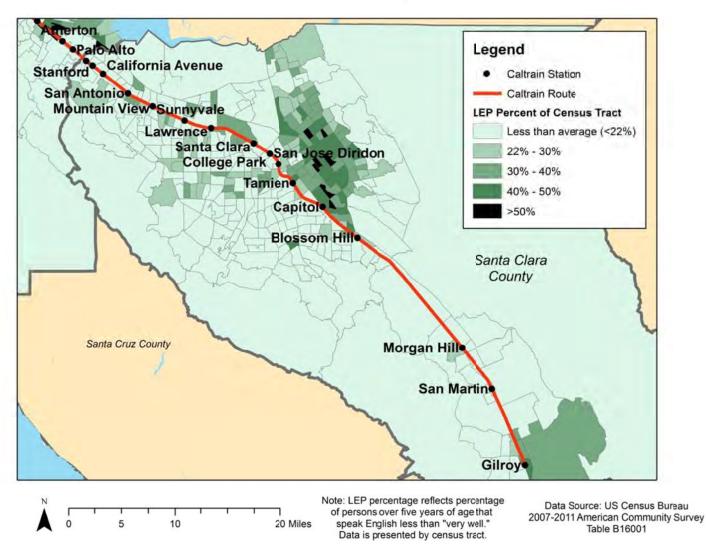
Map 2: Caltrain Service Area LEP Concentrations--San Francisco to Menlo Park

Limited English Proficiency Concentrations: San Francisco County and San Mateo County



Map 3: Caltrain Service Area LEP Concentrations-- Menlo Park to Gilroy

Limited English Proficiency Concentrations: Santa Clara County



Map 4: Caltrain Service Area Spanish LEP Concentrations

Number of Spanish Speaking Persons Within Caltrain Service Area

San Francisco

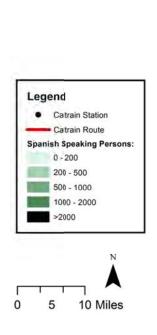
ayward Park

22nd Street

San Francisco

County

South San Francist





Note: Spanish speaking persons reflects number of persons over five years of age that speak Spanish and speak English less than "very well."

Data is presented by census tract.

Data Source: US Census Bureau 2007-2011 American Community Survey Table B16001

Morgan I

San N

Santa Clara County

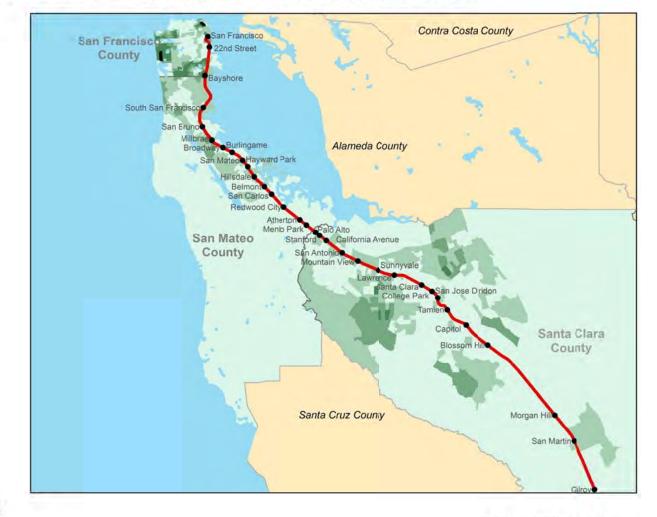
Contra Costa County

Alameda County

Map 5: Caltrain Service Area Chinese LEP Concentrations

Number of Chinese Speaking Persons Within Caltrain Service Area



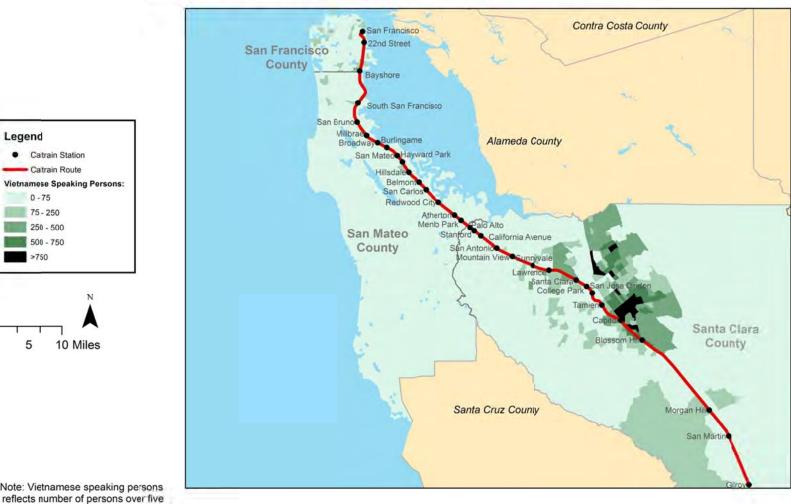


Note: Chinese speaking persons reflects number of persons over five years of age that speak Chinese and speak English less than "very well."

Data is presented by census tract.

Map 6: Caltrain Service Area Vietnamese LEP Concentrations

Number of Vietnamese Speaking Persons Within Caltrain Service Area



Note: Vietnamese speaking persons reflects number of persons over five years of age that speak Vletnamese and speak English less than "very well."

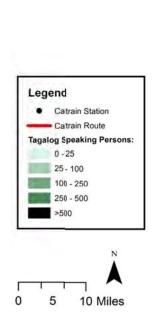
Data is presented by census tract.

Map 7: Caltrain Service Area Tagalog LEP Concentrations

Number of Tagalog Speaking Persons Within Caltrain Service Area

San Francisco 22nd Street

San Francisco County





Note: Tagalog speaking persons reflects number of persons over five years of age that speak Tagalog and speak English less than "very well."

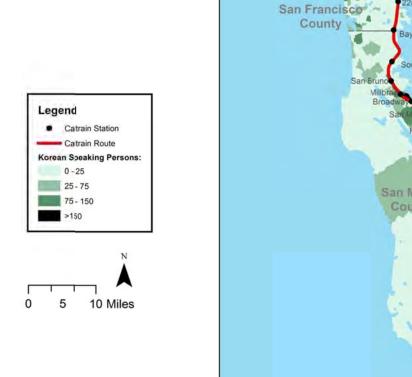
Data is presented by census tract.

Data Source: US Census Bureau 2007-2011 American Community Survey Table B16001

Contra Costa County

Map 8: Caltrain Service Area Korean LEP Concentrations

Number of Korean Speaking Persons Within Caltrain Service Area



Note: Korean speaking persons reflects number of persons over five years of age that speak Korean and speak English less than "very well."

Data is presented by census tract.



Map 9: Caltrain Service Area Russian LEP Concentrations

Number of Russian Speaking Persons Within Caltrain Service Area



Note: Russian speaking persons reflects number of persons over five years of age that speak Russian and speak English less than "very well."

10 Miles

Legend

 Catrain Station Catrain Route

> 0 - 25 25 - 100

>250

5

100 - 250

Data is presented by census tract.

Map 10: Caltrain Service Area Japanese LEP Concentrations

Number of Japanese Speaking Persons Within Caltrain Service Area



Note: Japanese speaking persons reflects number of persons over five years of age that speak Japanese and speak English less than "very well."

10 Miles

Legend

Catrain Station

0 - 50 50 - 100

>200

5

100 - 200

Catrain Route

Japanese Speaking Persons:

Data is presented by census tract.

According to the guidelines set forward by the FTA, the LEP analysis should also review alternate and local sources of data. For this analysis, the California Department of Education (DOE) 2012-13 Census of English Learners provides an overview of the primary languages of the English Learners in the service area. The English Learner survey does not provide the most useful data for the LEP analysis, as it is collected among students and not the population as a whole. However, it provides another means of cross-checking census data analyses. It will be noted that all of the most common languages reported spoken are within the 22 languages identified as "Safe Harbor" languages by the census data analysis.

Table 5 provides a breakdown of the primary language of the Department of Education English Learners reported for the school districts in all three counties of the Caltrain service area. Appendix A contains a breakdown of language by county.

Table 5: Department of Education English Learners for San Francisco, San Mateo and Santa Clara County

Language Name	Total English Learners	Percent of Total English Learners
Spanish	66,952	65.26%
Vietnamese	8,168	7.96%
Cantonese	6,604	6.44%
Filipino (Pilipino or Tagalog)	4,061	3.96%
Mandarin (Putonghua)	3,142	3.06%
Other non-English languages	1,883	1.84%
Japanese	1,231	1.20%
Arabic	1,175	1.15%
Korean	1,108	1.08%
Russian	882	0.86%
Hindi	797	0.78%
Punjabi	769	0.75%
Tongan	441	0.43%
Farsi (Persian)	431	0.42%
Portuguese	429	0.42%
Telugu	329	0.32%
Hebrew	326	0.32%
French	323	0.31%
Urdu	309	0.30%
Khmer (Cambodian)	271	0.26%
Toishanese	240	0.23%
Samoan	229	0.22%
Ilocano	213	0.21%
Tamil	190	0.19%
Gujarati	187	0.18%
Burmese	169	0.16%
German	167	0.16%
Thai	117	0.11%
Tigrinya	115	0.11%

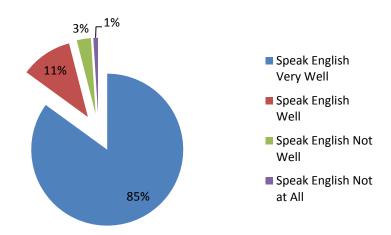
Language Name	Total English Learners	Percent of Total English Learners
Turkish	105	0.10%
Serbo-Croatian (Bosnian, Croatian, Serbian)	104	0.10%
Bengali	96	0.09%
Assyrian	94	0.09%
Italian	92	0.09%
Somali	90	0.09%
Kannada	82	0.08%
Marathi	81	0.08%
Dutch	69	0.07%
Lao	67	0.07%
Armenian	58	0.06%
Indonesian	58	0.06%
Polish	48	0.05%
Rumanian	36	0.04%
Taiwanese	35	0.03%
Cebuano (Visayan)	33	0.03%
Pashto	33	0.03%
Greek	31	0.03%
Ukrainian	28	0.03%
Hungarian	21	0.02%
Hmong	19	0.02%
Mien (Yao)	12	0.01%
Albanian	11	0.01%
Chaozhou (Chiuchow)	9	0.01%
Chamorro (Guamanian)	8	0.01%
Marshallese	4	0.00%
Kurdish (Kurdi, Kurmanji)	3	0.00%
Chaldean	2	0.00%
Mixteco	1	0.00%

Caltrain Rider Data

In addition to reviewing census and other pertinent available data, it is also helpful to view any available data collected by Caltrain about its ridership regarding its prior experience with LEP individuals and their needs. Two important sources are the Language Line Usage data and the Caltrain On-Board Survey (October 2010). ⁶

On-Board Survey

According to the On-Board Survey, "almost all riders (96%) speak English Well or Very Well." 85% of respondents indicated that English is spoken in the home "Very Well". 14% indicate that English is spoken in the home "Less Than Very Well." When asked about English use in the home, the following response percentages were reported.



However, because the survey questionnaire did not ask about the *rider*, but about "English spoken in the home," this can only provide limited information about the rider who may or may not need language assistance. For purposes of the LEP analysis, we will assume the worst case: that 15% of riders who do not speak English better than "Very Well" may need language assistance.

The analysis also considers other aspects of survey responses to understand the language stratification of those who do not indicate that English is spoken well in their home. For the most part, the top languages correspond to the languages previously presented from the ACS and DOE English Learner data. Table 6presents the languages spoken in households that speak English less than "Very Well".

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⁶ Caltrain On-Board Survey 2010: Corey, Canapary and Galanis Research

⁷ Ibid

Table 6: Percentage of Caltrain Riders in Households that speak English less than "Very Well"

Language	Percentage of Households that Speak English Less Than Very Well
Spanish	29.6%
Mandarin	15.7%
Hindi or Other Indian Language	13.9%
Cantonese	8.3%
Tagalog	6.3%
Russian	4.6%
Korean	3.1%
Other	3.0%
Vietnamese	2.6%
French	2.6%
Japanese	2.0%
German	1.7%
Persian/Farsi	1.7%
Hebrew	1.5%
Nepali	0.9%
Thai	0.9%
Arabic	0.7%
Polish	0.7%
Portuguese	0.7%
Burmese	0.6%
Finnish	0.6%
Turkish	0.6%
Croatian	0.4%
Hungarian	0.4%
Indonesian	0.4%
Italian	0.4%
Dutch	0.2%
Swedish	0.2%
Tibetan	0.2%
Urdu	0.2%

Source: Caltrain On-Board Survey 2010

Language Line Data

Caltrain call-in Customer Service provides AT&T Language Line assistance for those needing translation services. The information contained in Table 7 below, provides the number of calls that used AT&T Language Line services. This provides a good corroboration of the languages that are most often used by individuals seeking language assistance. Additionally, translation services for Caltrain customers are also provided by in-house staff who are paid a stipend for translation services. Table 8 summarizes the tracking of the number and languages that were provided by Customer Service staff during the last year. Even though this information represents both calls for Caltrain and for SamTrans, it provides further information about languages being currently requested for translation. When viewing these numbers in totality, total translations requested amount to about 0.3% of all Call Center calls, provided in Table 9.

Table 7: Language Line Usage from June 1, 2012 to May 31, 2013

Languages	Annual Estimated Number of Translations
Spanish	306
Mandarin	15
Cantonese	7
Tagalog	1
Vietnamese	1
Korean	1
Indonesian	1
French	1
Mongolian	1

Table 8: Call Center Translations

Language	Annual Number of Call & Translations
Spanish	576
Tagalog	96
Mandarin	24

Table 9: Total Calls to Translations

Languages	Annual Estimated Calls and Translations
English	300,000
All translations	1,030
Percent of all calls translated	0.3%

Factor 1 Findings:

Factor 1 of the LEP Plan was undertaken to assess the proportion of LEP individuals that may encounter or use the Caltrain services. A number of data sources were used as a way to inform the conclusions, including the American Community Survey (census), the California Department of Education English Learners, and information from the most recent Caltrain On-Board survey.

Additionally, data on use of existing translation services provided through the Caltrain customer service department was also viewed to verify the top languages. By consulting a number of data sources, the findings reveal the following about languages spoken in the Caltrain service area that will inform the Language Assistance Plan:

- 22 Discrete languages qualify under the "Safe Harbor Provision" for written materials
- 9 Languages represent the top languages spoken in the Caltrain service area
- 7 Languages represent those predominantly spoken in the home by Caltrain riders

Table 10, below, combines the outputs of the data considered and presents a ranking of the languages by the data used. Using this to determine the prevalence of the Safe Harbor Languages, the top 9 highlighted languages are identified as those that should be considered for translation service. However, only Spanish could be considered a predominant language using all data sets, as it is almost twice as prevalent as other languages in all of the data sets.

Table 10: Top 9 Predominant Languages within Caltrain Service Area

Safe Harbor Language	American Community Survey	Department of Education English Learners	Caltrain On Board Survey
Spanish (or Spanish Creole)	1	1	1
Chinese (Mandarin & Cantonese)	2	2	2
Vietnamese	3	3	9
Tagalog	4	4	5
Korean	5	7	7
Russian	6	8	6
Japanese	7	5	11
Persian	8	12	13
Hindi (both Hindi or Other Indian)	9	9	3
Arabic	10	6	17
Portuguese or Portuguese Creole	11	13	19
French (incl. Patois, Cajun)	12	16	10
Mon-Khmer, Cambodian	13	18	33
Italian	14	32	26
Thai	15	26	16
German	16	25	12
Serbo-Croatian	17	29	23
Gujarati	18	23	N/A
Urdu	19	17	30
Armenian	20	38	N/A
Polish	21	40	18
Hebrew	22	15	14

Factor 2: The frequency with which LEP Populations come in contact with Caltrain's programs activities and services.

Assessing the frequency with which LEP populations come in contact with Caltrain's programs, activities and service helps the agency determine which languages need to be considered for language services. Generally, "the more frequent the contact, the more likely enhanced language services will be needed." Strategies that help serve an LEP person on a one-time basis will be very different than those that may that serve LEP persons on a daily basis. For purposes of estimating the frequency of contact with LEP individuals, Caltrain reviewed the programs and services, and analyzed data from the following sources:

- Caltrain 2010 On-Board Survey
- Calls to Caltrain Customer Service Number and Language Line Use
- Caltrain website page views
- September 2013 survey of frontline Caltrain employees*
- Review of Community Based Organization (CBO) Interaction

Caltrain On Board Survey 2010

As noted in Factor 1, the vast majority of Caltrain riders speak English "Very Well" (85%), while 11% speak English "Well", 3% speak English "Not Well", and 1% do not speak English at all. Knowing this helps inform the frequency that non-English speaking riders come in contact with Caltain's services.

Using survey data, it appears that LEP persons use Caltrain frequently. Over 50% of those that indicate that they live in households where English is spoken less than "Very Well" use Caltrain 5 days a week or more. However, as referenced earlier, these percentages are based on the households and may not reflect the actual Caltrain rider. Table 11 presents the frequency of using Caltrain for those who live in households that speak English "Less Than Very Well."

⁸ Implementing the Department of Transportation's Policy Guidance Concerning Recipients' Responsibilities to Limited English Proficient (LEP) Persons--A Handbook for Public Transportation Providers, 2007

^{*} For purposes of this LAP, employees of the San Mateo County Transit District and Transit America Services Incorporated who interact with Caltrain passengers are referred to as "Caltrain employees."

Table 11: Frequency of Caltrain Usage by Riders who Speak English "Less Than Very Well"

Frequency	Percentage
6 -7 Days a Week	9.01%
5 days/week	43.21%
4 days/week	10.38%
3 days/week	6.72%
2 days/week	6.87%
1 day/week	4.12%
1-3 days/month	5.65%
Less than once a month	14.05%
Total	100.00%

Caltrain Frontline Employee Survey

In order to help determine the frequency of contact, an employee survey was distributed regarding both the frequency of contact as well as the languages of the LEP populations encountered. The survey was distributed to front-line employees (Customer Service Representatives and Train Conductors) and is attached in Appendix B. It was neither designed nor conducted to be a statistically valid survey; rather, it was designed to get an anecdotal sense of the employees' experience with LEP populations. If LEP persons come in contact more frequently than English speakers, it may reflect the need for language services.

The employee survey provided insight that LEP persons are not the predominant users of Caltrain services; and while Caltrain employees cross paths with LEP persons, they do not represent a large percentage of those with whom they interact. Even though the majority of the employees indicated that they came in contact with LEP persons (95%), about half indicated that it was a few times a day or more. Table 12 presents Caltrain staff responses as to how often they come in contact with those that are unable to communicate well in English.

Table 12: Frequency of Caltrain Employees Encountering LEP persons

Many times per day (more than six)	11%
A few times a day	39%
A few times week	41%
A few times a month	7%
Less than once a month	2%
Total	100%

However, even though 50% of employees indicated that they came in contact with LEP populations a few times a day or more, this only reflected a little more than 10% of their total volume of customer interactions.

As the analysis in Factor 1 shows with regard to the types of languages spoken by these LEP persons, Spanish was the most frequently encountered language of LEP persons. When the Customer Service personnel were asked, "Which of these languages do you recognize as being often used by limited or non-English speaking customers and/or members of the public you encounter," 100% of the respondents said "Spanish". Table 13 reflects the percentages of the non-English languages most often encountered by Caltrain employees and corresponds to the findings from Factor 1.

Table 13: Languages Encountered Most Often by Caltrain Employees*

Spanish	93%
Chinese	89%
Vietnamese	45%
Japanese	45%
Hindi	25%
Russian	25%
Korean	23%
Tagalog	14%
Persian	14%

^{*}multiple answers allowed

Language Line and Website

In addition to the Language Line information presented in Factor 1 that indicated that Spanish was the predominant language requested for translation, Caltrain also compiles information from the Caltrain website regarding numbers of translations sought through the website, which offers a Google Translate option. Of the 42 million unique page views between July 2010 and July 2013, only 6,149 translations were sought. Whether this is due to the fact that the translations do not provide the type of information sought, or whether this is due to LEP populations seeking information from other sources is unclear. This also corresponds to information from the Caltrain On-Board Survey that reflects that 71% of riders from households that speak English "Less than Very well" do not visit www.caltrain.com.

CBO and LEP Outreach

Because CBO and LEP outreach was conducted by all three of the main transit districts (SamTrans, VTA and SF MUNI) within the last year, the Caltrain LEP Plan capitalizes on the work completed by these agencies, and augmented the effort with some additional outreach to CBOs. This ensures that the information collected and reviewed represented the best understanding of the needs of the LEP population. These CBOs were identified based on their intimate ties with populations in the counties that were considered language-isolated or disenfranchised for cultural, language, or income-related reasons. The outreach helped identify the issues that LEP populations have, as well as to brainstorm ideas to improve their riding experience (that are addressed in Factor 3). Table 14 provides the CBOs that were contacted. Appendix C also provides addresses for the CBOs consulted.

Table 14: CBOs contacted and Language Constituency

CBO Name	Language Constituency
African Community Health Institute	Tigrinya, Amharic, Swahili, Somali,
Arrican community riealth institute	and Arabic
Arab Resource & Organizing Center	Arabic
Asian Americans for Community Involvement	Asian
Asian Pacific American Community Center	Chinese
Asian Pacific Islander American Public Affairs Association	Asian
Bay Area Chapter	
Bayshore Child Care Services	Various
Bayview Multi-Purpose Senior Center	English as Second Language
Cameron House	Chinese
Catholic Charities' Refugee & Immigrant Forum	Various
Chicana Latina Foundation	Spanish
Chinatown Community Development Center	Chinese
Chinese for Affirmative Action	Chinese
Chinese Progressive Association	Chinese
Coastside Hope	Chinese, Filipino, Portuguese,
	Russian, Spanish
College of San Mateo	Various
College Track East Palo Alto	Various
Daly City Community Service Center	English, Spanish, Tagalog
Daly City Friendship Center/Mental Health Association of San Mateo County	Various
Daly City Youth Health Center	Various
Fair Oaks Community Center	Spanish
Family Crossroads/Shelter Network of San Mateo County	Various
Filipino Community Center San Francisco	Filipino
Filipino-American Chamber of Commerce	Filipino
First Filipino American United Church of Christ	Filipino
Gujarati Cultural Association of Bay Area	Guajarati
Hunters Point Family	Various
India Community Center	Indian Languages
Indo American Chamber of Commerce	Indian Languages
Japanese American Community Center	Japanese
Japanese Chamber of Commerce	Japanese
Jewish Family and Children's Services	Russian
Jordanian American Association	Arabic
Korean American Community Services	Korean

CBO Name	Language Constituency
Korean American Professional Society	Korean
Korean Community Center	Korean
Lady of Pillar Catholic Church	Spanish
Language Pacifica	English as Second Language
Liwanag Kultural Center	Filipino
Metropolitan Education District	ESL-Various
Mexican American Community Services	Spanish
Mid-Peninsula Housing	Various
Mission Neighborhood Center	Spanish
Moon Ridge Apartments	Various
Neighborhood Jobs Initiative	Various
North Peninsula Neighborhood Services	Spanish
Northern Peninsula Mandarin School	Chinese
Organization of Chinese Americans	Chinese
Our Second Home	Various
Pars Equality Center	English as Second Language
Peninsula Interfaith Action	Spanish
Persian American Society	Persian
Persian Center	Persian
Pilipino Bayanihan Resource Center	Filipino
Pillar Ridge Manufactured Home Community	Spanish
Public Advocates	Spanish
Puente De La Costa Sur	Spanish
Renaissance Entrepreneurship Center	Spanish
Russian-American Community Services	Russian
Samaritan House	Spanish
San Bruno Chinese Church/Chinese School	Chinese
San Francisco Institute of English	Various
San Mateo County Commission on Disabilities Aging and Adult Services	Spanish
San Mateo County Hispanic Chamber of Commerce	Spanish
Santa Clara County Office of Human Relations	Various
Services Immigration Rights & Education Network (SIREN)	Spanish and Vietnamese
Shared Housing Program/Human Investment Project	Various
Sikh Gurdwara of San Francisco	Various
Skyline College Language and Arts Division	Various
South of Market Health Center	Spanish, Tagalog, Farsi, and Chinese

CBO Name	Language Constituency
Southeast Asian Community Center	Cantonese, English, Hindi, Japanese,
	Korean, Mandarin, Spanish, Tagalog, Vietnamese
SparkPoint Center at Skyline College	English as Second Language
Transform	Various
Urban Habitat	Various
Veterans Equity Center	Filipino
Vietnamese Community Center	Vietnamese
Yaseen Foundation	Arabic
Youth United for Community Action (YUCA)	Various
Zawaya	Arabic

Outreach Findings

The key findings of the CBO and LEP outreach underscore the need to provide vital information in languages other than English, along with the reliance on transportation services in their daily life. The most common recommendations are summarized below:

- Of primary importance to LEP individuals are the following: transit service, information on service changes, fare changes and additional services, including native language information at the Customer Service call center. They desire this information in their native language whenever possible.
- Use of the service was not difficult, but it could be improved by native language information.
- Using ethnic community leaders for advice and direction on how best to approach the LEP constituencies will improve access for LEP communities.
- Information is most easily accessed when provided directly to community centers or community leaders.
- Native-language or bilingual information in signage and brochures in stations and on vehicles (at point-of-travel) is favored as an information source.
- Maps and timetables in native language are preferred.
- Native-language information through the following channels was considered important: signage, ethnic media, telephone customer service center, and the website. Mailers, emails, and meeting notices were considered less important in San Francisco, but preferred in San Jose.
- Some customers (language not specified) would appreciate a phone line or an ability to email questions or concerns, which may indicate they are unaware of existing translation services
- For Tagalog speakers, verbal translations are preferred; however, Tagalog speakers prefer written information in English.
- Tagalog speakers watch the Filipino News Channel that broadcasts in Tagalog and may be a good tool to communicate important information.

- Despite resources in their languages, most San Francisco respondents were unaware of the existence of information in their language on the websites and also of the ability to access information in their language via Customer Service Centers. However, San Jose residents were more likely to know about the translation services available.
- Ticket machines with languages other than English and Spanish were indicated as a way to improve the customer experience.
- Use of pictographs (picture signs) would help non-English speakers understand if signs cannot be translated into all languages.
- Audible announcements on board vehicles should be translated.

Language Specific Input

The following provides language specific information for the top two language groups that were heard most often in the outreach with CBOs and LEP individuals.

Indicated preferences for communicating in Spanish include:

- Preference for translated schedules, although LEP Spanish speakers could read the timetables.
- Prefer translations at public meetings if held to talk about service or fare changes.
- Prefer to receive information by the following resources/methods:
 - Newspaper (Gilroy Newspaper, El Observador)
 - o Radio: Station(s): 93.3 La Preciosa.
 - o TV: Station(s): Univision and Telemundo.
 - Other: Promotoras. This is a model that is used in the public health sector where laypersons are trained to provide medical information to members of their community. The same model could be applied to transit where laypersons would be used to educate residents of their respective communities about public transit issues and using public transportation.

Indicated preferences for communicating in Chinese include:

- Preference for translated schedules
- Prefer translations at public meetings if held to talk about service or fare changes.
- Prefer to receive information by the following resources/methods:
 - Newspaper—Sing Tao Daily
 - o Radio: Station(s): 1400 AM.
 - o TV: Station(s): KTSF Channel 26.
 - o Other:
 - Website (Internet)
 - Maps
 - Mobile Phone

Factor 3: The nature and importance of the program, activity, or service provided by the recipient to people's lives.

"The more important the activity, information, service, or program, or the greater the possible consequences of the contact to the LEP individuals, the more likely language services are needed." 9

As previously noted, in addition to the input from outreach conducted with the CBOs, using the Caltrain On-Board rider survey also helps the agency understand the nature and importance of the Caltrain service to its riders. Greater than 50% of the riders that come from households where English is spoken "Less Than Very Well" take Caltrain more than 5 days a week. And, almost 70% use Caltrain more than 3 days a week. It also appears that a little less than half do not have a car available for the trip, and rely heavily upon Caltrain for their trip. Table 15 presents those who live in households that speak English less than "Very Well" and their car availability.

Table 15: Car Availability for Riders in Households that Speak English "Less than Very Well"

Car Available	Percentage
No	51%
Yes	49%
Total	100%

Caltrain Critical Services

Caltrain provides commuter rail service along the San Francisco Peninsula, through the South Bay to San Jose and Gilroy. Caltrain is governed by the Peninsula Corridor Joint Powers Board (JPB), which consists of agencies from the three Caltrain counties. The member agencies are: the City and County of San Francisco, San Mateo County Transit District and the Santa Clara Valley Transportation Authority (VTA). Caltrain's service area population is 3,402,678.

There are three main types of services are provided: Local service, which stops along all of the 29 regular stations; Limited-stop service that operates in the peak periods and bypasses some of the local stops; and Baby Bullet service, which only stops at 6 stations in the peak period between San Francisco and Diridon Station. There is also special service provided for football games at Stanford Stadium. As of September 2013, Caltrain operates 92 weekday trips, 36 Saturday trips, and 32 Sunday trips.

⁹ Implementing the Department of Transportation's Policy Guidance Concerning Recipients' Responsibilities to Limited English Proficient (LEP) Persons--A Handbook for Public Transportation Providers, 2007

In addition to train service, the following elements of the Caltrain operation could also be considered part of the services, activities or programs that LEP populations may encounter:

- Station Information, including safety and security signage
- On board vehicle information (announcements or printed materials)
- Television and print ads
- Customer Service Call-in Center
- Website and Social Media
- Station Access and Parking
- Ticket Machines and Clipper (Regional Transit Card) Machines
- Construction notices

To better understand the needs of the LEP community, the Caltrain On-Board Survey was examined to see how LEP individuals may access Caltrain information or services. Table 16 provides an overview of how different language groups receive Caltrain materials.

Based on this evaluation, the top five ways that LEP populations access Caltrain information is:

- Printed material on Train
- Caltrain website
- Station information boards
- Mobile phone (except for Spanish speakers)
- Conductor/Engineer

Additionally, Map 11 provides a depiction of which stations are most used by LEP populations. This can aid in targeting language services to stations that may have the greatest need. Based on this analysis, two stations have greater than 10% of the riders that are LEP:

- Downtown San Francisco
- Mountain View

Six additional stations have between 5% and 9% of the riders that are LEP:

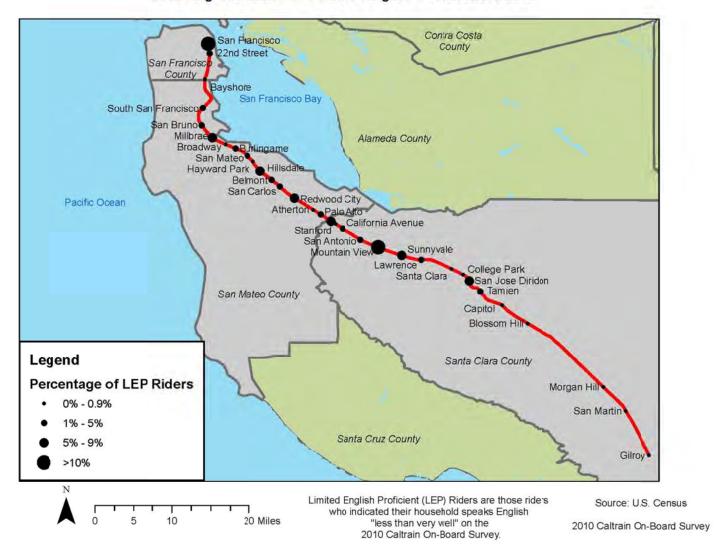
- Millbrae
- Hillsdale
- Redwood City
- Palo Alto
- Sunnyvale
- San Jose (Diridon)

Table 16: Access to Caltrain Information by Language Spoken in Home Less Than Very Well

Access to Caltrain Information	Spanish	Mandarin	Hindi or Other Indian Language	Cantonese	Tagalog	Russian	Korean	Viet- namese	Japanese	Other Language
Printed Material on Train	43%	38%	20%	38%	43%	31%	36%	39%	27%	35%
Caltrain Website	24%	34%	57%	36%	30%	36%	36%	33%	27%	30%
Station information boards	19%	18%	13%	19%	19%	17%	20%	17%	27%	27%
Mobile Phone		4%		3%	4%	11%		11%	7%	4%
Conductor/Engineer	5%	2%	1%	1%	2%	6%			7%	
Caltrain connection newsletter	4%	1%	1%							1%
Caltrain Customer Service	2%		1%		2%					2%
Google Maps/Google		1%	4%							1%
Twitter		1%	1%				4%			
Schedule brochure at station				1%						2%
School							4%			
511 (phone number or website)			1%							
Word of Mouth									7%	
Other transit										
Internet/Other				1%						
Total	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%

Map 11: Boarding Stations by LEP Riders

Boarding Locations of Limited English Proficient Riders



The On-Board Survey was also useful in helping assess whether parking lots had high use by LEP households. Based on the survey data, fewer than 3% of LEP riders park in Caltrain Parking lots, depicted in Table 7 below, which stratifies the responses by language. From this, it appears that LEP populations are not prevalent users of Caltrain parking facilities.

Table 17: Languages of Riders Parking in Caltrain lot

Language	Percentage of Caltrain riders
Chinese	0.8%
Spanish	0.5%
Hindi (Or Other Indian Languages)	0.5%
Tagalog	0.2%
Korean	0.2%
Russian	0.1%
Japanese	0.1%
Vietnamese	0.1%
Other Languages	0.5%
Total LEP Riders	2.9%

Factor 3 Findings

Insofar as it is practical, ensuring that critical information is available in languages most commonly spoken within the Caltrain service area is important to providing access to Caltrain service for LEP populations. By evaluating the services Caltrain provides, the following represent the most important general areas that Caltrain would benefit from ensuring language is not a barrier to access:

- Station information, including safety and security signage
- Service and fare change information
- Onboard vehicle information (announcements or printed materials)
- Customer Service Call-in Center

Based on feedback from CBO leaders and LEP individuals obtained through the outreach, it is clear that Caltrain will need to continue to assess and identify program components that may require language assistance to LEP customers. This includes information on service, fares, schedules, service disruptions, emergencies and safety and security information. By identifying the most critical elements to ensure LEP access, Caltrain's programs and activities can be routinely assessed to avoid language barriers that could have serious consequences to LEP customers. Caltrain should continue to seek input on the importance of its programs, activities and services to LEP customers to help identify how to best meet their needs. The CBOs can now become part of an extended information network that benefits both Caltrain and their stakeholders.

Factor 4: The resources available to Caltrain and costs associated with translation services

Caltrain currently provides a variety of translation services to ensure that language proficiency is not a barrier to access Caltrain's service and programs. Not only does Caltrain translate many documents, such as outreach materials on fare increases or service changes into Spanish—the predominant language in the service area—they also provide ticket machines that provide audio and menu-screen instructions in English or Spanish.

Language translation of the website is provided by Google Translate, and currently provides translations for 71 languages. Caltrain also has a number of bilingual staff that can provide translation services for public events provided advanced request, as well as in the Customer Call Center, where representatives are provided an extra stipend to provide translations in languages that they speak besides English. Caltrain uses the AT&T Language Line when needed. Caltrain also contracts for outside interpreter and/or translation services to provide translation services. Caltrain's Office of Customer Service and Marketing maintains a list of on-site and forhire bilingual resources available for LEP services.

Part of Caltrain's signage also includes the use of pictographs, aimed at eliminating language barriers for all non-English speakers. Pictographs provide universal instruction, such as those pictured, below, and do not require translations. These are often a very cost effective way to communicate vital information to the greatest number of people.







Caltrain's Operating Budget does not have a specific line item for providing language services and translations; costs for translators and outsourcing translation needs are split among several departments depending on which department is responsible for the outreach project being undertaken. A formula (65% SamTrans and 35% Caltrain) is used to fund the Customer Service Center, including Language Line expenses. In general, translation expenses are within the Marketing and Market Development and Research department's budget.

Typical annual expenses include:

- Customer Service Stipend: \$1,000 annually
- Written Materials Translation: \$1,500 (such as fare change brochure)
- Public meetings/hearings: \$6,500
- Document production: \$11,000 annually (brochures and "Take Ones")
- Market research: \$71,000 every three years
- AT&T Language Line: \$3,000 annually

Translated documents include mailers, customer "take ones", meeting notices, brochures, and other customer outreach materials, such as construction-related notices and information documents. Most translation is from English into Spanish, which covers the predominant language of Caltrain's customer base. Additional languages — Chinese, Russian, Vietnamese, Tagalog and other "Safe Harbor Provision" languages are translated as resources allow and circumstances dictate. Appendix D provides current examples of materials and pictographs.

In addition to traditional and routine materials, the Public Affairs Department spends roughly \$125,000 to \$175,000 annually, but these expenses are generally associated with specific, large-scale projects being undertaken by both SamTrans and Caltrain as a whole. Caltrain also has specific projects, such as the Caltrain Modernization project, that include budget items for public communication that include Spanish translation of related notices.

Factor 4 Findings

Even though Caltrain does not have many LEP individuals using the system, Caltrain has a commitment to ensure that language does not represent a barrier to Caltrain use. As such, Caltrain needs to budget for additional services to provide more meaningful access to LEP groups, especially when concerning information related to fares, safety and security. It is also recommended that Caltrain budget translations expenses under one line item for the agency instead of through individual departments that may need translation services. This will also help establish practices that get the greatest result in the most cost-effective manner.

The following are recommendations that can be implemented within the next several years:

- Providing Title VI complaint forms in all "Safe Harbor" languages
- Increasing use of universal pictograms or other symbols at stations or trains
- Providing more translated material on board trains, such as information regarding fares and service
- Increasing translation of safety signage within Caltrain Right of Way at crossings and stations
- Moving the "Google Translate" bar to a more prominent position
- Conducting Customer Satisfaction Surveys in multiple languages
- Increasing the translations of documents, such as train schedules or rider newsletters
- Conducting more language-specific outreach to assess Caltrain's efforts to engage non-English speaking populations
- Improving signage associated with construction projects to ensure LEP consideration

Limited English Proficiency: Language Assistance Plan

Language Assistance Plan Overview

The DOT LEP Guidance recommends that recipients develop an implementation plan to address the needs of the LEP populations they serve. The DOT LEP Guidance notes that effective implementation plans typically include the following five elements: 1) identifying LEP individuals who need language assistance; 2) providing language assistance measures; 3) training staff; 4) providing notice to LEP persons; and 5) monitoring and updating the plan.

1. Identifying LEP Individuals Who Need Language Assistance

As indicated in the analyses provided in the four factor study, while the Caltrain ridership base does not reflect the large concentrations of LEP populations within the service area, there remains a need to provide vital information in Spanish, which represents the largest concentration of LEP riders as well as population.

The Four Factor analysis considered a number of data sets to determine the languages that would require "Safe Harbor" consideration, in addition to languages predominantly used by Caltrain riders. These data included Census data (American Community Survey 5-year sample 2007-2011), the Department of Education English Learners data, and the Caltrain 2010 On Board Survey. Approximately 22% of the population in the three-county service area speak English less than "Very Well" and would be considered the LEP population.

The following represent the top language groups within the Caltrain service area:

- Spanish
- Chinese (Mandarin & Cantonese)
- Vietnamese
- Tagalog
- Korean
- Russian
- Japanese
- Persian
- Hindi

In addition, the following languages also qualify for "Safe Harbor" provisions, indicating that more than 1,000 individuals within these language groups speak English less than "Very Well" and would require translations of vital documents: Arabic, Portuguese, French, Mon-Khmer Cambodian, Italian, Thai, German, Serbo-Croatian, Gujarati, Urdu, Armenian, Polish and Hebrew.

Because Spanish remains the predominant language of LEP households, Caltrain will continue to focus language assistance to Spanish speaking populations. However, based on the Four Factor Finding, there is a need for more language translations beyond Spanish.

2. Providing Language Assistance Measures

Caltrain is committed to providing meaningful access to information and services to its LEP customers. Caltrain uses various methods to accomplish this goal. Specific methods pertaining to outreach will be discussed in Caltrain's Public Participation Plan.

Currently, Caltrain's primary language assistance tools include:

- Google Translate tool on Caltrain website
- Spanish translations on ticket machines
- Pictograms on trains, at stations and grade crossings
- Translators (by request) for focus groups and public hearings
- Multilingual printed materials, especially for fare and service changes, and construction notices
- Posting Caltrain news, notices, and information to newspapers in other languages (e.g. El Observador, El Reportar)
- Multilingual media advertising (print, television and radio)
- Partnering with Clipper to produce shared multilingual Caltrain customer information
- AT&T Language line
- Caltrain bilingual Customer Service Representatives
- CBO assistance in outreach to LEP populations and translations

The following are recommendations that would improve the level of service that Caltrain provides to its LEP customers and that can be implemented within the next several years:

- Providing complaint forms in all "Safe Harbor" languages
- Increasing use of universal pictograms or other symbols at stations or trains
- Providing more translated material on board trains, such as information regarding fares and service
- Increasing translation of safety signage within Caltrain Right of Way
- Changing the "Google Translate" bar to a more prominent position on the Caltrain website, and add national flags to delineate "Google Translate" languages
- Use of Social Media in other languages
- Increase translation services at key locations where LEP individuals board
- Conducting Customer Satisfaction Surveys in multiple languages
- Increasing the translations of documents, such as train schedules or rider newsletters
- Conducting more language-specific outreach to assess Caltrain's efforts to engage non-English speaking populations
- Improving signage associated with construction projects to ensure LEP consideration
- Continue to work with CBOs to serve multilingual communities
- Continue partnering with regional and other agencies to produce shared multilingual customer information materials (511.org and Clipper)

Vital Documents and Translation Policy:

An effective Language Assistance Plan includes the translation of vital and other documents into the languages of frequently encountered LEP customers. According to federal guidance, vital written documents include, but are not limited to, consent and complaint forms; intake and application forms with the potential for important consequences; written notices of rights; notices of denials, losses, or decreases in benefits or services; and notices advising LEP individuals of free language assistance services.¹⁰

Based on the Four Factor analyses, the most frequently encountered languages have been broken down into the following three categories:

- Primary Language: Spanish
- Secondary Languages: Chinese (Mandarin & Cantonese), Vietnamese, Tagalog, Korean, Russian, Japanese, Persian and Hindi.
- "Safe Harbor" Languages for vital document translation in addition to those listed above: Arabic, Portuguese, French, Mon-Khmer Cambodian, Italian, Thai, German, Serbo-Croatian, Gujarati, Urdu, Armenian, Polish and Hebrew.

Table 18 below lists both vital and non-vital documents and categories of documents (such as promotional materials) and identifies the language category into which they should be translated. Caltrain may provide a summary of a vital document and/or notice of free language assistance in the "Safe Harbor" languages, rather than a word-for-word translation of the vital document. Caltrain may reserve the right to translate documents into more languages as circumstances dictate and resources allow. For example, community outreach may provide translated construction notices in languages other than Spanish, depending on the area and particular concentrations of LEP individuals, as is the current practice. Due to the critical nature of safety and security information, Caltrain may rely on pictographs to the extent possible, so that information is communicated regardless of language spoken.

Table 18: Vital and Non-Vital Document List

Document	Languages	Vital Document?
Title VI Public Notice	All Safe Harbor Languages	Yes
Title VI Complaint Form and	All Safe Harbor Languages	Yes
Procedures		
Notice of Free Language Assistance	All Safe Harbor Languages	Yes
Safety and Security Information	Use of Pictographs	Depends on subject
		matter
Fare & Major Service Change	Spanish and Secondary	Depends on content
Information		
General Promotional Materials	Spanish and Secondary	No
	Languages as funding	
	permits	
Construction Notices	As determined by location	No

¹⁰ FTA Circular 4702.1B

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The following represents the current documents that will be translated by the end of FY 2013/14 using the Vital Document table, above:

- Title VI Public Notice
- Title VI Complaint Form and Procedures
- Notice of Free Language Assistance

3. Training Staff

Currently, frontline Caltrain staff members are trained in a number of areas to ensure that they consider the needs of LEP individuals. Employees are put through a variety of "course modules" that concentrate on understanding and interacting with a diverse customer clientele. They are also given specific skills for giving service to customers with a variety of challenges that may require extra attention. In all cases, employees practice appropriate responses to sensitive cases such as those involving non-English speaking customers.

Caltrain will continue to promote the principles of good customer service to all Caltrain riders while understanding the special needs of its LEP riders.

Caltrain also uses bilingual staff within their organization to provide translations services for events, hearings and in their Customer Service Call Center. When recruiting for customer service personnel, bilingualism is a desired qualification to ensure that the best customer service can be provided. Caltrain's continued use of the diverse employee base helps to ensure that the needs of LEP groups can be accommodated efficiently and effectively.

It is Caltrain's goal to recruit and train more staff that is bilingual in order to provide an effective and cost-efficient method of addressing the needs of LEP populations.

4. Providing Notice to LEP Persons of Language Assistance Measures

The methods that Caltrain will use to notify LEP customers of language assistance services include the following:

- Post Language Assistance Notification on Caltrain website, in lobby and at stations
- Provide Language Assistance Notification for use on public hearing notices
- Post availability of AT&T Language Line Assistance on the Caltrain website under "Contact Us" page
- Continue posting Caltrain news, notices, and information to newspapers in other languages (e.g. El Observador, El Reportar)
- Work with CBOs to inform LEP customers about the Language Assistance services

5. Monitoring and Updating the Plan

On an on-going basis, Caltrain will monitor activities and information that require LEP accessibility, including data collection and continued LEP plan assessment, to ensure that the Language Assistance Plan meets the changing needs of LEP populations. At a minimum, monitoring will be conducted to coincide with the submittal of the Title VI Program update as required by FTA Circular 4702.1B. It is the goal of Caltrain to show continued improvement to Language Assistance Services and LEP Plan monitoring.

Monitoring methods include:

- Review new customer outreach materials prior to production to determine whether the document can be considered "vital" and what translation is needed.
- Review existing customer documentation to assess whether the document is "vital" and what translation is needed.
- Evaluate and analyze outreach efforts pertaining to LEP populations.
- Review translation and language assistance efforts to determine whether they are adequate and/or effective.
- Analyze demographic data from the U.S. Census, the ACS, and On-Board Customer Survey, taking place in 2013/14.
- Gather information from CBOs and regional agencies and partners through on-going coordination
- Gather feedback from LEP customers (public outreach, CBO meetings, etc.)

Compliance will be monitored by the Caltrain Title VI Administrator in coordination with Caltrain and SamTrans staff, and Caltrain Board.

Appendix A: California Department of Education English Learners by County 2012

Appendix A: California De	San Mateo County	% of SM County	San Francisco County	% of SF County	Santa Clara County	% of SC County	Total English Learners	Percent of Total English Learners
Spanish	16,796	73.39%	7,062	46.96%	43,094	66.64%	66,952	65.26%
Vietnamese	119	0.52%	466	3.10%	7,583	11.73%	8,168	7.96%
Cantonese	757	3.31%	4,845	32.22%	1,002	1.55%	6,604	6.44%
Filipino (Pilipino or Tagalog)	1,620	7.08%	438	2.91%	2,003	3.10%	4,061	3.96%
Mandarin (Putonghua)	501	2.19%	467	3.11%	2,174	3.36%	3,142	3.06%
Other non-English languages	229	1.00%	235	1.56%	1,419	2.19%	1,883	1.84%
Japanese	273	1.19%	133	0.88%	825	1.28%	1,231	1.20%
Arabic	516	2.25%	299	1.99%	360	0.56%	1,175	1.15%
Korean	139	0.61%	77	0.51%	892	1.38%	1,108	1.08%
Russian	257	1.12%	179	1.19%	446	0.69%	882	0.86%
Hindi	225	0.98%	35	0.23%	537	0.83%	797	0.78%
Punjabi	60	0.26%	13	0.09%	696	1.08%	769	0.75%
Tongan	363	1.59%	8	0.05%	70	0.11%	441	0.43%
Farsi (Persian)	59	0.26%	2	0.01%	370	0.57%	431	0.42%
Portuguese	187	0.82%	55	0.37%	187	0.29%	429	0.42%
Telugu	38	0.17%			291	0.45%	329	0.32%
Hebrew	21	0.09%	14	0.09%	291	0.45%	326	0.32%
French	79	0.35%	44	0.29%	200	0.31%	323	0.31%
Urdu	45	0.20%	32	0.21%	232	0.36%	309	0.30%
Khmer (Cambodian)	10	0.04%	27	0.18%	234	0.36%	271	0.26%
Toishanese	1	0.00%	238	1.58%	1	0.00%	240	0.23%
Samoan	83	0.36%	84	0.56%	62	0.10%	229	0.22%
Ilocano	19	0.08%	17	0.11%	177	0.27%	213	0.21%
Tamil	23	0.10%			167	0.26%	190	0.19%
Gujarati	26	0.11%	18	0.12%	143	0.22%	187	0.18%
Burmese	96	0.42%	52	0.35%	21	0.03%	169	0.16%
German	37	0.16%	18	0.12%	112	0.17%	167	0.16%
Thai	35	0.15%	31	0.21%	51	0.08%	117	0.11%
Tigrinya	2	0.01%	42	0.28%	71	0.11%	115	0.11%

Language Name	San Mateo County	% of SM County	San Francisco County	% of SF County	Santa Clara County	% of SC County	Total English Learners	Percent of Total English Learners
Turkish	61	0.27%	5	0.03%	39	0.06%	105	0.10%
Serbo-Croatian (Bosnian, Croatian, Serbian)	10	0.04%	11	0.07%	83	0.13%	104	0.10%
Bengali	16	0.07%			80	0.12%	96	0.09%
Assyrian	7	0.03%			87	0.13%	94	0.09%
Italian	20	0.09%	20	0.13%	52	0.08%	92	0.09%
Somali	1	0.00%			89	0.14%	90	0.09%
Kannada	7	0.03%			75	0.12%	82	0.08%
Marathi	11	0.05%			70	0.11%	81	0.08%
Dutch	12	0.05%	4	0.03%	53	0.08%	69	0.07%
Lao	7	0.03%	11	0.07%	49	0.08%	67	0.07%
Armenian	22	0.10%	5	0.03%	31	0.05%	58	0.06%
Indonesian	19	0.08%	16	0.11%	23	0.04%	58	0.06%
Polish	6	0.03%	2	0.01%	40	0.06%	48	0.05%
Rumanian	9	0.04%	2	0.01%	25	0.04%	36	0.04%
Taiwanese	4	0.02%	1	0.01%	30	0.05%	35	0.03%
Cebuano (Visayan)	15	0.07%	1	0.01%	17	0.03%	33	0.03%
Pashto	7	0.03%	2	0.01%	24	0.04%	33	0.03%
Greek	16	0.07%	4	0.03%	11	0.02%	31	0.03%
Ukrainian	6	0.03%	11	0.07%	11	0.02%	28	0.03%
Hungarian	3	0.01%			18	0.03%	21	0.02%
Hmong					19	0.03%	19	0.02%
Mien (Yao)			1	0.01%	11	0.02%	12	0.01%
Albanian	3	0.01%			8	0.01%	11	0.01%
Chaozhou (Chiuchow)	1	0.00%	4	0.03%	4	0.01%	9	0.01%
Chamorro (Guamanian)			6	0.04%	2	0.00%	8	0.01%
Marshallese	3	0.01%			1	0.00%	4	0.00%
Kurdish (Kurdi, Kurmanji)	3	0.01%					3	0.00%
Chaldean					2	0.00%	2	0.00%
Mixteco					1	0.00%	1	0.00%

All Language Learners

102,588

Appendix B: Caltrain Employee Survey

Thank you for participating in this short survey. Your feedback is extremely valuable to Caltrain's effort to provide better service to riders who may have limited ability to communicate in English, or "Limited English Proficiency." Collecting this information will also help us comply with federal Civil Rights compliance. Your responses will be kept confidential unless you wish to provide your name at the end of the survey.

Please answer each question to the best of your ability. Return this completed survey to your supervisor by Friday, September 20, 2013.

1. What is your title?

Service Agent

Conductor

 What is your 	rtitle?		
	Service Agent		Conductor
	Central Control Facility Dispatcher		Customer Service Representative
	Transit Police Officer		Station Security Guard
	Engineer		Other (please indicate)
	your job, do you ever encounter members of the pu ave "Limited English Proficiency"?	blic	that do not speak or read English well or
	Yes No		
	L DAY, how many customers and/or members of the e: (number)	pub	lic do you interact with? Please give your
	now many would you estimate are unable to communumber)	unica	ate well in English? Please give your best
	do you TYPICALLY encounter customers and/or membe o communicate well in English? Please selectone.	ers of	f the public who are seeking assistance and
	Many times a day (more than 6)		A few times a month
	A few times a day		Less than once a month
	A few times a week		Rarely or Never
	es or Information are those limited or non-English spe eeking? Please select all that apply.	akin	g customers and/or members of the public
	Service changes/detours		Train condition (such as broken
	Fares		equipment, cleanliness, etc.)
	Parking at stations		ADA/accessibility for the disabled
	Complaints		Public meetings (such as service or fare
	Compliments		adjustment hearings, Board meetings,
	Crime/security		etc.)
	Discrimination		Construction projects
	Lost and Found		Routes/Wayfinding
	Ticket purchasing instructions		Restrooms
	Bus or other connections		Safety
	Schedules		Other

Please Turn Over to Complete

	nese languages do you recognize anbers of the public you encounter?	s being OFTEN used by limited or non Please select all that apply.	-Engl	ish speaking customers
	Spanish	☐ Korean	П	Hindi
_	Chinese	Russian	=	I don't know or
	Vietnamese	☐ Japanese		recognize the language
	Tagalog	Persian	П	Other:
	languages are most of your limiting to you? Please select five.	ed or non-English speaking riders an	d/or	other members of the
П	Spanish	Russian	П	I don't know or
	Chinese	☐ Japanese		recognize the
	Vietnamese	Persian		language
_	Tagalog	☐ Hindi	П	Other:
	Korean			
9. For which, it	fany, of these language groups cou	ld Caltrain services be improved? Plea:	se sel	ect all that apply.
	Spanish	☐ Korean		Hindi
	Chinese	Russian		Other
	Vietnamese	Japanese		
	Tagalog	Persian		
10. In what spe	ecific ways would you suggest impr	oving Caltrain services for limited Engl	ish sp	eaking customers?
11. Can you sp	eak well in languages cther than E	nglish? If "Yes", please list the languag	e tha	t you speak well?
12. Please use	the space below if you have any co	mments or suggestions:		
13. Optional N	lame			

Please return to your supervisor. Thank you for your participation in helping make Caltrain better!

Appendix C: CBO Contact List

			Language
CBO Name	Street Address	City	Constituency
African Community Health Institute	1922 The Alameda Suite 425	San Jose	Tigrinya, Amharic, Swahili, Somali, and Arabic
Arab Resource & Organizing Center	522 Valencia St.	San Francisco	Arabic
Asian Americans for Community Involvement	2400 Moorpark Ave. Suite #300	San Jose	Asian
Asian Pacific American Community Center	2442 Bayshore Blvd	San Francisco	Chinese
Asian Pacific Islander American Public Affairs Association Bay Area Chapter	1963 Sabre Street	Hayward	Asian
Bayshore Child Care Services	45 Midway Drive	Daly City	Various
Bayview Multi-Purpose Senior Center	1706 Yosemite Avenue	San Francisco	ESL
Cameron House	920 Sacramento St	San Francisco	Chinese
Catholic Charities' Refugee & Immigrant Forum	36 37th Avenue 2nd Floor	San Mateo	Various
Chicana Latina Foundation	1419 Burlingame Ave. Suite W2	Burlingame	Spanish
Chinatown Community Development Center	1525 Grant Avenue	San Francisco	Chinese
Chinese for Affirmative Action	17 Walter U. Lum Place	San Francisco	Chinese
Chinese Progressive Association	1042 Grant Ave. 5th Floor	San Francisco	Chinese
Coastside Hope	99 Avenue Alhambra	El Granada	Chinese, Filipino, Portuguese, Russian, Spanish
College of San Mateo	1700 W. Hillsdale Blvd.	San Mateo	Various
College Track East Palo Alto	1877 Bay Road	East Palo Alto	
Daly City Community Service Center	333 90th Street	Daly City	English, Spanish, Tagalog

			Language
CBO Name	Street Address	City	Constituency
Daly City Friendship Center/Mental Health Association of San Mateo County	2686 Spring St.	Redwood City	Various
Daly City Youth Health Center	2780 Junipero Serra Blvd.	Daly City	Various
Fair Oaks Community Center	2600 Middlefield Rd.	Redwood City	Spanish
Family Crossroads/Shelter Network of San Mateo County	181 Constitution Drive	Menlo Park	Various
Filipino Community Center San Francisco	4681 Mission St.	San Francisco	Filipino
Filipino-American Chamber of Commerce	1415 Rollins Road Suite 202	Burlingame	Filipino
First Filipino American United Church of Christ	461 Linden Ave.	San Bruno	Filipino
Gujarati Cultural Association of Bay Area	46560 Fremont Blvd. #109	Fremont	Guajarati
Hunters Point Family	1800 Oakdale Ave.	San Francisco	Various
India Community Center	525 Los Coches St.	Milpitas	Various Indian
Indo American Chamber of Commerce	1616 University Ave.	Berkeley	Various Indian
Japanese American Community Center	415 South Claremont St.	San Mateo	Japanese
Japanese Chamber of Commerce	1875 South Grant Street Suite 760	San Mateo	Japanese
Jewish Family and Children's Services	2150 Post Street	San Francisco	Russian
Jordanian American Association	305 Linden Ave.	South San Francisco	Various

			Language
CBO Name	Street Address	City	Constituency
Korean American Community Services	1800-B Fruitdale Ave.	San Jose	Korean
Korean American Professional Society			Korean
Korean Community Center	765 Buchannan St.	San Francisco	Korean
Lady of Pillar Catholic Church	400 Church Street	Half Moon Bay	Spanish
Language Pacifica	585 Glenwood Avenue	Menlo Park	ESL
Liwanag Kultural Center	222 Lausanne Avenue	Daly City	Filipino
Metropolitan Education District	760 Hillsdale Ave	San Jose	ESL classes—various locations
Mexican American Community Services	660 Sinclair Dr.	San Jose	Spanish
Mid-Peninsula Housing	303 Vintage Park Drive Suite 250	Foster City	
Mission Neighborhood Center	362 Capp Street	San Francisco	Spanish – 2 sessions
Moon Ridge Apartments	2001 Miramontes Point Rd	Half Moon Bay	Various
North Peninsula Neighborhood Services	600 Linden Ave.	South San Francisco	Spanish
Northern Peninsula Mandarin School	3115 Del Monte Street	San Mateo	Chinese
Organization of Chinese Americans (Peninsula Chapter of San Mateo)	P.O. Box 218	San Mateo	Chinese
Our Second Home	725 Price Street	Daly City	Various
Pars Equality Center	P.O. Box 1383	Menlo Park	ESL
Peninsula Interfaith Action	1336 Arroyo Ave	San Carlos	Spanish
Persian American Society	P. O. Box 25005	San Mateo	Persian

			Language
CBO Name	Street Address	City	Constituency
Persian Center	2029 Durant Ave.	Berkeley	Persian
Pilipino Bayanihan Resource Center	2780 Junipero Serra Blvd.	Daly City	Filipino
Pillar Ridge Manufactured Home Community	164 Culebra St	Moss Beach	Spanish
Public Advocates	131 Steuart Street Suite 300	San Francisco	Spanish
Puente De La Costa Sur	620 North Street	Pescadero	Spanish
Renaissance Entrepreneurship Center	275 5th St.	San Francisco	Spanish
Russian-American Community Services	300 Anza St.	San Francisco	Russian
Samaritan House	4031 Pacific Blvd.	San Mateo	Spanish
San Bruno Chinese Church/Chinese School	250 Courtland Dr.	San Bruno	Chinese
San Mateo County Commission on Disabilities Aging and Adult Services	225 37th Ave.	San Mateo	Spanish
San Mateo County Hispanic Chamber of Commerce	475 El Camino Real Suite 100A	Millbrae	Spanish
Santa Clara County Office of Human Relations	2310 North First Street, Suite 104	San Jose	Various
Services Immigration Rights & Education Network (SIREN)	1425 Koll Cir.	San Jose	Spanish and Vietnamese
Shared Housing Program/Human Investment Project	2600 Middlefield Road	Redwood City	Various
Sikh Gurdwara of San Francisco	P.O. Box 25493	San Mateo	Various Indian
Skyline College Language and Arts Division	3300 College Drive	San Bruno	Various

cho M	6	0:1	Language
CBO Name	Street Address	City	Constituency
South of Market Health Center	229 7th St.	San Francisco	Spanish, Tagalog, Farsi, and Chinese
Southeast Asian Community Center	875 O'Farrell St.	San Francisco	Cantonese, English, Hindi, Japanese, Korean, Mandarin, Spanish, Tagalog, Vietnamese
SparkPoint Center at Skyline College	3300 College Drive Building 1 Floor 2	San Bruno	ESL
Transform	436 14th Street Suite 600	Oakland	Various
Urban Habitat	1212 Broadway Suite 500	Oakland	Various
Veterans Equity Center	1010 Mission Street	San Francisco	Filipino
Vietnamese Community Center	766 Geary	San Francisco	Vietnamese
Yaseen Foundation	621 Masonic Way	Belmont	Arabic
Youth United for Community Action (YUCA)	2135 Clarke Ave.	East Palo Alto	Various
Zawaya	311 41st Ave.	San Mateo	Arabic

Appendix D: Examples of Translated Materials and Pictographs

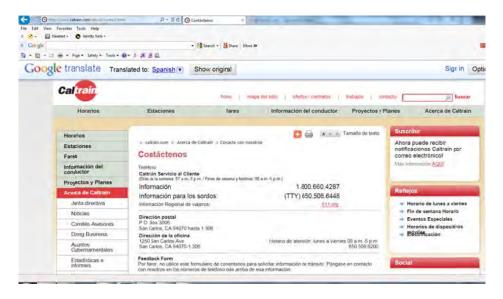
Ticket Vending Machine: Spanish



Pictograms at Station



Google Translate of Caltrain Website



Translation of Fare Change

Español al otro lado

Expires 9/8/13



Parking Fee to Increase at Caltrain Station Lots

Effective Sept. 1, 2013, the Caltrain daily parking fee will increase to \$5, and Monthly parking permits will cost \$50.

All-day parking (24-hour limit) is available for Caltrain customers at most Caltrain stations. Higher rates are charged at the San Jose Diridon Caltrain Station parking lot during SAP Center events.

A Daily Parking Permit may be purchased for \$5 from a station ticket machine. Monthly parking permits are sold only in conjunction with a Monthly Pass, 8-ride Ticket or Go Pass.

For more information, please visit www.caltrain.com/parking.





7/22/13

English other side

Expires 9/8/13



La tarifa de estacionamiento aumentará en los lotes de las estaciones de Caltrain

Efectivo 1 de septiembre de 2013, el costo del estacionamiento diario de Caltrain aumentará a \$5, y los permisos de estacionamiento mensual costarán \$50

Los clientes de Caltrain pueden accesible al estacionamiento durante todo el día (con un límite de 24 horas) en la mayoría de las estaciones de Caltrain. Se cobrarán mayores tarifas en el lote de estacionamiento de la estación de Caltrain de San Jose Diridon durante los eventos del SAP.

Los permisos de estacionamiento diarios podrán adquirirse por \$5 en las máquinas expendedoras de billetes de las estaciones. Los permisos de estacionamiento mensual solo se venderán junto con los pases mensuales, los billetes de ocho viajes o los pases Go Pass.

Para obtener más información, visite www.caltrain.com/parking.

Translations of Policy Change Input

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c/o Operations Planning, P.O. Box 3006 San Carlos, CA 94070-1306

- U.S. Postal Service: Caltrain
- correo electrónico: TitleVI@caltrain.com

bnestas ya sea en las renniones o por: El público puede ofrecer sus comentarios a estas pro-

También desea conocer opiniones para determinar cuándo los electos de un cambio de tarifa o servicios general es lo suficientemente diferente para ser considerados un "Impacto desigual" sobre las minorias o una "carga desproporcionada" para las personas con bajos ingrescs. El proyecto de estas políticas estará disponible en el sitio web de Caltrain a partir del 5 de febrero de 2C13 o llamando a Servicio al Cliente de Caltrain a partir del 5 de Caltrain a partir del 5 de febrero de 2C13 o llamando a Servicio al Cliente de Caltrain al 1.800.660.4287.

La agencia ferroviaria busca la opinión de los clientes y la comunidad a medida que desarrolla criterios para determinar la que constituye un cambio en el servicio, siendo éste tan importante como para requerir un análisis profundo de los efectos potenciales del cambio en las poblaciones protegidas.

Caltrain llevara a cabo cuatro reuniones públicas como parte de su proceso para desarrollar las políticas de cambio general en el servicio, impacto desigual y carga desproporcionada que cumplan con Titulo VI de Acta de Derechos Civiles de 1964. El Titulo VI tiene por objeto garantizar que las decisiones el Titulo VI tiene por objeto garantizar que las decisiones formadas por agenta financiadas con fondos federatem o den lugar a la discriminación ya sea por diferencia de razz, etnicidad u orgen nacional.

caltrain busca la opinion publica sobre cambios en las políticas



Español al otro lado



Expires 3/29/13

Caltrain Seeks Public Input on Policy Changes

Caltrain will hold four public meetings as part of its process to develop Major Service Change, Disparate Impact and Disproportionate Burden policies that comply with Title VI of the Civil Rights Act of 1964. Title VI seeks to ensure decisions made by federally funded agencies don't result in discrimination based on race, ethnicity or national origin.

The rail agency is seeking customer and community input as it develops the criteria for determining what constitutes when a service change is significant enough to require thorough analysis of the potential effects of the change on protected populations.

It also wants input to determine when the effects of a fare change or major service change are sufficiently different to be considered a "disparate impact" on minorities or a "disproportionate burden" on individuals with low income. Draft policies will be made available on the Caltrain website starting Feb. 5, 2013 or by calling Caltrain Customer Service at 1.800.660.4287. See inside for meeting dates.

The public may offer comments on these proposals at the meetings or by:

- e-mal: TitleVI@caltrain.com
- U.S. Postal Service: Caltrain c/o Operations Planning, P.O. Box 3006 San Carlos, CA 94070-1306
- Phone: 1.800.660.4287 (TTY: 650.508.6448 hearing impaired)

continued inside